# Theory of

Quantum Hall Effect in Monolayer and Bilayer Graphene

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### Lattice in coordinate & reciprocal space

Translation vectors

$$\mathbf{a}_1 = a\left(\frac{1}{2}, \frac{\sqrt{3}}{2}\right), \quad \mathbf{a}_2 = a\left(\frac{1}{2}, -\frac{\sqrt{3}}{2}\right)$$

where a is the lattice constant



- Two carbon atoms per primitive cell
- Reciprocal lattice vectors

$$\mathbf{b}_1 = 2\pi/a(1, 1/\sqrt{3}), \, \mathbf{b}_2 = 2\pi/a(1, -1/\sqrt{3})$$



## Tight binding model

- Strong covalent sigma-bonds between nearest neighbors (carbon atoms)
- Hamiltonian

$$H = -t \sum_{\mathbf{n}, \boldsymbol{\delta}_i, s} \left[ a_{\mathbf{n}, s}^{\dagger} \exp\left(\frac{ie}{\hbar c} \boldsymbol{\delta}_i \mathbf{A}\right) b_{\mathbf{n} + \boldsymbol{\delta}, s} + \text{h.c.} \right]$$

where  $a_{\mathbf{n},s}$  and  $b_{\mathbf{n}+\delta,s}$  are the annihilation operators of electrons with spin  $s=\uparrow,\downarrow$ 

The nearest neighbor vectors are

$$\delta_1 = (\mathbf{a}_1 - \mathbf{a}_2)/3, \quad \delta_2 = \mathbf{a}_1/3 + 2\mathbf{a}_2/3,$$

$$\delta_3 = -\delta_1 - \delta_2 = -2a_1/3 - a_2/3$$

### Low energy Dirac fermions



 $\Psi_s^T = (\psi_{KAs}, \psi_{KBs}, \psi_{K'Bs}, \psi_{K'As})$ 

P. R. Wallace, Phys. Rev. **71**, <u>622</u> (1947) G.W. Semenoff, Phys. Rev. Lett. **53**, <u>2449</u> (1984)



#### **Quantum Hall effect**



 $\sigma_{yx} = -\sigma_{xy}, \quad \rho_{xx} = \rho_{yy} = 0$ 

### Quantum Hall effect in graphene



### Anomalous QHE



### **Magnetic Catalysis**

- Electron wave functions in magnetic field are localized [Gusynin, V.M., Shovkovy, PRL 73 (1994) 3499]
- Effective dimensional reduction
   *D* space directions → *D-2* space directions

Quantized energy levels:

$$egin{array}{rcl} E_n &=& \pm \sqrt{m^2 c^4 + 2n \, \hbar c^2 |eB|} \ &\simeq& \pm \sqrt{2n \, \hbar c^2 |eB|} & {
m for} & m \simeq 0 \end{array}$$

n = 0: zero energy

 $n \ge 1$  states have "high" energies



#### Spontaneous Chiral Symmetry Breaking

- At m<sub>0</sub>=0, a dynamical Dirac mass m<sub>dyn</sub> is generated **2D**:  $m_{dyn} \propto \alpha \sqrt{|eB|}$ **3D**:  $m_{dyn} \propto \sqrt{|eB|} e^{\pi/[\alpha \ln(\alpha N_f)]}$
- This happens even at the *weakest* interaction ("catalysis")
- The phenomenon is *universal* (specific details of interaction are mostly irrelevant)
- Dimensional reduction is the key ingredient

### Magnetic Catalysis in Graphene

- Charge carriers are Dirac fermions with m=0
- Theoretically, m<sub>dyn</sub>≠0 must be generated in a sufficiently strong magnetic field
   [Gorbar, Gusynin, V.M., Shovkovy, PRB 66 (2002) 045108]
- Possible complications:
  - several types of Dirac masses may exist in 2D
  - competition with quantum Hall ferromagnetism
  - nonzero electron/hole density
  - impurities, lattice defects, ripples, etc.

### **General Approach**

#### Model Hamiltonian

[Gorbar, Gusynin, V. M., Shovkovy, Phys. Rev. B 78 (2008) 085437]

$$H = H_0 + H_C + \int d^2 \mathbf{r} \left[ \mu_B B \Psi^{\dagger} \sigma^3 \Psi - \mu_0 \Psi^{\dagger} \Psi \right]$$
  
where

$$H_0 = v_F \int d^2 \mathbf{r} \,\overline{\Psi} \left( \gamma^1 \pi_x + \gamma^2 \pi_y \right) \Psi,$$

is the Dirac Hamiltonian, and

$$H_C = \frac{1}{2} \int d^2 \mathbf{r} d^2 \mathbf{r}' \Psi^{\dagger}(\mathbf{r}) \Psi(\mathbf{r}) U_C(\mathbf{r} - \mathbf{r}') \Psi^{\dagger}(\mathbf{r}') \Psi(\mathbf{r}')$$

is the Coulomb interaction term.

Note that 
$$\Psi_s^T = (\psi_{KAs}, \psi_{KBs}, \psi_{K'Bs}, \psi_{K'As}), s = \pm$$
  
*Spin index*  $v_F \approx 10^6 \text{ m/s}$ 

### Symmetry

- The Hamiltonian  $H = H_0 + H_C$ possesses "flavor" U(4) symmetry (no Zeeman term)
- 16 generators read (spin  $\otimes$  valley)

where  $\gamma^3 \gamma^5 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$  - diagonal valley matrix • A ferromagnetic like order parameter breaks spin

 $\frac{\sigma^{\alpha}}{2} \otimes I_4, \ \frac{\sigma^{\alpha}}{2i} \otimes \gamma_3, \ \frac{\sigma^{\alpha}}{2} \otimes \gamma_5 \text{ and } \frac{\sigma^{\alpha}}{2} \otimes \gamma_3 \gamma_5$ 

- degeneracy. Thus, U(4) breaks down to  $U^{(+)}(2)_{v} \times U^{(-)}(2)_{v}$
- QHF order parameter and/or Dirac mass breaks U(4) down to  $U^{(K)}(2)_{s} \times U^{(K')}(2)_{s}$

## Full propagator



#### Physical meaning of the order parameters

$$\mu_3: \quad \langle \Psi^{\dagger} \sigma^3 \Psi \rangle = \sum_{\kappa=K,K'} \sum_{a=A,B} \left\langle \psi_{\kappa a+}^{\dagger} \psi_{\kappa a+} - \psi_{\kappa a-}^{\dagger} \psi_{\kappa a-} \right\rangle$$

$$\begin{split} \tilde{\mu}_{s} : & \langle \Psi^{\dagger} \gamma^{3} \gamma^{5} P_{s} \Psi \rangle = \left\langle \psi_{KAs}^{\dagger} \psi_{KAs} - \psi_{K'As}^{\dagger} \psi_{K'As} + \psi_{KBs}^{\dagger} \psi_{KBs} - \psi_{K'Bs}^{\dagger} \psi_{K'Bs} \right\rangle \\ \Delta_{s} : & \langle \bar{\Psi} \gamma^{3} \gamma^{5} P_{s} \Psi \rangle = \left\langle \psi_{KAs}^{\dagger} \psi_{KAs} - \psi_{KBs}^{\dagger} \psi_{KBs} - \left( \psi_{K'As}^{\dagger} \psi_{K'As} - \psi_{K'Bs}^{\dagger} \psi_{K'Bs} \right) \right\rangle \\ \tilde{\Delta}_{s} : & \langle \bar{\Psi} P_{s} \Psi \rangle = \left\langle \psi_{KAs}^{\dagger} \psi_{KAs} + \psi_{K'As}^{\dagger} \psi_{K'As} - \psi_{KBs}^{\dagger} \psi_{KBs} - \psi_{K'Bs}^{\dagger} \psi_{K'Bs} \right\rangle \end{split}$$

$$P_{\pm} = \frac{1 \pm \sigma^3}{2}$$

#### Energy scales in graphene

Large Landau energy scale  $\epsilon_B \equiv \sqrt{2\hbar |eB_\perp| v_F^2/c} \simeq 424\sqrt{|B_\perp[\mathrm{T}]|} \mathrm{K}$ Small Zeeman energy  $Z \simeq \mu_B B = 0.67 B[T] \text{ K}$ Intermediate dynamical scales  $Z \ll A \leq M \ll \epsilon_B; \quad A \to \mu_s, \tilde{\mu}_s; \quad M \to \Delta_s, \Delta_s$  $A \leqslant M \sim 10^{-2} \epsilon_B$ 

• In a model calculation [Phys. Rev. B 78 (2008) <u>085437</u>]  $M = 4.84 \times 10^{-2} \epsilon_B$  and  $A = 3.90 \times 10^{-2} \epsilon_B$ 

#### **Dispersion relations**

The dispersion relations for LLs with  $n \ge 1$  are

$$\omega_{ns}^{(\sigma)} = -\mu_s + \sigma \tilde{\mu}_s \pm \sqrt{n\epsilon_B^2 + (\tilde{\Delta}_s + \sigma \Delta_s)^2}$$

where  $\sigma = \pm 1$  is connected with the eigenvalues of the diagonal pseudospin matrix  $\gamma^3 \gamma^5$ .

For the LLL, the dispersion relations read  $\omega_s^{(\sigma)} = -\mu_s + \sigma \left( \tilde{\mu}_s \operatorname{sign}(eB_{\perp}) + \tilde{\Delta}_s \right) + \Delta_s \operatorname{sign}(eB_{\perp}).$ 

## Schwinger-Dyson (gap) equation

Hartree-Fock (mean field) approximation:



### Three types of solutions

- S (singlet with respect to  $U^{(+)}(2)_v \times U^{(-)}(2)_v$ ); v=0
  - Order parameters:  $\mu_{s}$  and  $\Delta_{s}$ 
    - Symmetry:  $U^{(+)}(2)_{v} \times U^{(-)}(2)_{v}$
- T (triplet with respect to  $U^{(\pm)}(2)_v$ ); v=0
  - Order parameters:  $\tilde{\mu}_{s}$  and/or  $\tilde{\Delta}_{s}$ 
    - Symmetry:  $U^{(K)}(2)_{\rm s} \times U^{(K')}(2)_{\rm s}$
- H (hybrid, i.e., singlet + triplet);  $v=\pm 1$ 
  - Order parameters: mixture of S and T types
  - Symmetry:  $U^{(+)}(2)_{\vee} \times U^{(-)}(1)_{K} \times U^{(-)}(1)_{K'}$  or  $U^{(+)}(1)_{K} \times U^{(+)}(1)_{K'} \times U^{(-)}(2)_{\vee}$

#### Phase diagram



# Bilayer graphene

The effective low energy Hamiltonian [McCann & Falko, PRL, 96, 086805 (2006)] Free Hamiltonian:

$$\begin{split} H_0 &= -\frac{1}{2m} \int d^2 x \Psi_{Vs}^+(x) \begin{pmatrix} 0 & (\pi^{\dagger})^2 \\ \pi^2 & 0 \end{pmatrix} \Psi_{Vs}(x) \\ m &\sim 10^{-2} m_e \sim 10^8 \text{K/c}^2, \pi = \hat{p}_{x_1} + i \hat{p}_{x_2}, \, \hat{\mathbf{p}} = -i\hbar \nabla + e\mathbf{A}/c \, . \\ \text{Bernal } (\mathsf{A}_2\text{-}\mathsf{B}_1) \text{ stacking: } \Psi_{Ks}^T &= (\psi_{A1}, \psi_{B2})_{Ks} \, \text{,whereas } \Psi_{K's}^T = (\psi_{B2}, \psi_{A1})_{K's} \, . \\ E &= \pm \frac{p^2}{2m} \text{ without magnetic field } B \text{ and } E_n = \pm \hbar \omega_c \sqrt{n(n-1)} \, , \, \omega_c = |eB|/mc \\ \text{with magnetic field } B \, . \end{split}$$

Interaction Hamiltonian:

 $H_{int} = \mu_B B \int d^2 x \Psi^+(x) \sigma^3 \Psi(x) + \frac{e^2}{2\kappa} \int d^3 x d^3 x' \frac{n(\mathbf{x})n(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|} + \tilde{\Delta}_0 \int d^2 x \Psi^+(x) \xi \tau_3 \Psi(x),$ where  $n(\mathbf{x}) = \delta(z - \frac{d}{2})\rho_1(x) + \delta(z + \frac{d}{2})\rho_2(x)$  is the three dimensional charge density ( $d \simeq 0.35$  nm is the distance between the layers). The Pauli matrix  $\tau^3$  in the voltage imbalance term acts on layer components, and  $\xi = \pm 1$  for the valleys *K* and *K'*, respectively.

## Quantum Hall effect in bilayer graphene

#### FIRST EXPERIMENTS:

Novoselov et al., Nature Phys. 2, 177 (2006)

Henriksen et al., PRL 100, 087403 (2008)

• Quantum Hall states with the filling factor  $\nu = \pm 4n$ , n = 1, 2... predicted in the framework of the one electron problem were revealed.

#### RECENT EXPERIMENTS:

Suspended graphene:

Feldman, Martin, Yacoby, Nature Phys. 5, 889 (2009)

Graphene on SiO<sub>2</sub>/Si substrates:

Zhao, Cadden-Zimansky, Jiang, Kim, PRL 104, 066801 (2010)

- Complete lifting the eightfold degeneracy in the LLL:  $\nu = 0, \pm 1, \pm 2, \pm 3$ .
- The  $\nu = 0$  state is insulating.
- Suspended graphene:  $\Delta E \sim 3.5 10.5 B_{\perp}$ [T]K,  $B_{\perp} \lesssim 10$ T;

### QHE in bilayer graphene: theory

Barlas, Cote, Nomura, MacDonald, PRL, 101, 097601 (2008);

- Gorbar, Gusynin, V. M., JETP Lett. **91**, 314 (2010); PRB **81**, 155451 (2010);
- Gorbar, Gusynin, Junji Jia, V. M., arXiv: 1108.0650 [cond-mat];

Nandkishore and Levitov, arXiv:0907.5395, arXiv:1002.1966;

Tőke, Fal'ko, PRB 91, 115455 (2011);

## Symmetries

 $H_{int} \text{ can be rewritten as}$  $H_{int} = \mu_B B \int d^2 x \Psi^+(x) \sigma^3 \Psi(x) + \tilde{\Delta}_0 \int d^2 x \Psi^+(x) \xi \tau_3 \Psi(x)$  $+ \frac{1}{2} \int d^2 x d^2 x' \left[ V(x - x') \left( \rho_1(x) \rho_1(x') + \rho_2(x) \rho_2(x') \right) + 2V_{12}(x - x') \rho_1(x) \rho_2(x') \right].$ 

Intralayer potential V(x)  $\xrightarrow{FT} \tilde{V}(k) = 2\pi e^2/\kappa k$ interlayer potential  $V_{12}(x)$   $\xrightarrow{FT} \tilde{V}_{12}(k) = (2\pi e^2/\kappa)(e^{-kd}/k).$ 

The two-dimensional charge densities  $\rho_1(x)$  and  $\rho_2(x)$  are:  $\rho_1(x) = \Psi^+(x)P_1\Psi(x), \quad \rho_2(x) = \Psi^+(x)P_2\Psi(x),$ where  $P_1 = \frac{1+\xi\tau^3}{2}$  and  $P_2 = \frac{1-\xi\tau^3}{2}$  are projectors on states in the layers 1 and 2, respectively. If both the Zeeman and  $\tilde{\Delta}_0$  terms are ignored, the bilayer symmetry is  $G_2 = U^{(K)}(2)_S \times U^{(K')}(2)_S \times Z_{2V}^{(+)} \times Z_{2V}^{(-)}$ , where  $Z_{2V}^{(s)}$  describes the valley transformation  $\xi \to -\xi$  for a fixed spin  $s = \pm$ .  $G_2$  is much lower than  $G_1 = U(4)$  in monolayer graphene.

## Order parameters

Although the  $G_1$  and  $G_2$  symmetries are quite different, it is noticeable that their spontaneous breakdowns can be described by the same QHF and MC order parameters.  $G_1$  and  $G_2$  define the same four conserved commuting currents whose charge densities (and four corresponding chemical potentials) span the QHF order parameters:

$$\mu_3: \quad \langle \Psi^{\dagger} \sigma^3 \Psi \rangle = \sum_{\kappa=K,K'} \sum_{a=A_1,B_2} \left\langle \psi^{\dagger}_{\kappa a+} \psi_{\kappa a+} - \psi^{\dagger}_{\kappa a-} \psi_{\kappa a-} \right\rangle,$$

$$\tilde{\mu}_s: \quad \langle \Psi_s^{\dagger} \xi \Psi_s \rangle = \left\langle \psi_{KA_1s}^{\dagger} \psi_{KA_1s} - \psi_{K'A_1s}^{\dagger} \psi_{K'A_1s} + \psi_{KB_2s}^{\dagger} \psi_{KB_2s} - \psi_{K'B_2s}^{\dagger} \psi_{K'B_2s} \right\rangle.$$

While the first order parameter describes  $SU^{(K)}(2)_s \times SU^{(K')}(2)_s$  spin symmetry breakdown, the second one breaks the discrete subgroup  $Z_{2V}^{(s)}$ . Their MC cousins are

$$\Delta_s: \quad \langle \Psi_s^{\dagger} \tau_3 \Psi_s \rangle = \left\langle \psi_{KA_1s}^{\dagger} \psi_{KA_1s} - \psi_{KB_2s}^{\dagger} \psi_{KB_2s} - \left( \psi_{K'A_1s}^{\dagger} \psi_{K'A_1s} - \psi_{K'B_2s}^{\dagger} \psi_{K'B_2s} \right) \right\rangle,$$
  
$$\tilde{\Delta}_s: \quad \langle \Psi_s^{\dagger} \xi \tau_3 \Psi_s \rangle = \left\langle \psi_{KA_1s}^{\dagger} \psi_{KA_1s} + \psi_{K'A_1s}^{\dagger} \psi_{K'A_1s} - \psi_{KB_2s}^{\dagger} \psi_{KB_2s} - \psi_{K'B_2s}^{\dagger} \psi_{K'B_2s} \right\rangle.$$

## LLL quasiparticle propagator

Bare LLL propagator

$$\tilde{S}_{\xi s}(\mathbf{r};\omega) = \frac{1}{2\pi l^2} \exp\left(-\frac{\mathbf{r}^2}{4l^2}\right) \left[L_0\left(\frac{\mathbf{r}^2}{2l^2}\right) + L_1\left(\frac{\mathbf{r}^2}{2l^2}\right)\right] S_{\xi s}(\omega) P_{-},$$

where

W

$$S_{\xi s}(\omega) = \frac{1}{\omega + \bar{\mu}_s + \xi \tilde{\Delta}_0 + i\delta \operatorname{sgn} \omega}, \quad \bar{\mu} = \mu_0 - sZ, \quad P_{\pm} = \frac{1 \pm \tau_3}{2}, \quad l = \sqrt{\frac{\hbar c}{|eB|}}.$$

Full LLL propagator

here 
$$\tilde{G}_{\xi s}(\mathbf{r};\omega) = \frac{1}{2\pi l^2} \exp\left(-\frac{\mathbf{r}^2}{4l^2}\right) \left[G_{\xi s 0}(\omega) L_0\left(\frac{\mathbf{r}^2}{2l^2}\right) + G_{\xi s 1}(\omega) L_1\left(\frac{\mathbf{r}^2}{2l^2}\right)\right] P_{-},$$

$$G_{\xi sn}(\omega) = \frac{1}{\omega - E_{\xi ns} + i\delta \text{sgn}\omega}, \text{ and } E_{\xi ns} = -(\mu_s(n) + \Delta_s(n)) + \xi(\tilde{\mu}_s(n) - \tilde{\Delta}_s(n)), \quad n = 0, 1,$$

are the energies of the LLL states depending on the order parameters  $\mu_s(n), \tilde{\mu}_s(n), \Delta_s(n), \tilde{\Delta}_s(n)$ .

#### Exchange (FOCK) interactions:

$$\tilde{V}_{eff}(\omega,k) = \frac{2\pi e^2}{\kappa} \frac{1}{k + \frac{4\pi e^2}{\kappa} \Pi(\omega,\mathbf{k}^2)} , \ \mathcal{K} - \text{dielectric constant}$$

The static polarization function  $\Pi(0, \mathbf{k}^2) \equiv \frac{m}{\hbar^2} \tilde{\Pi}(y), \ y \equiv \frac{\mathbf{k}^2 l^2}{2}$ .



## $\nu = 0$ QH state

Two competing solutions of the gap equation at the neutrality point  $\nu = 0$ (I) spin polarized solution  $\Delta E^{(I)} = (E_{\xi_1+}^{(I)} - E_{\xi_1-}^{(I)})/2$ 5  $\simeq$  14.4*B*[T]K (II) layer polarized 4 Ш solution E<sub>3</sub>  $\Delta E^{(II)} = (E^{(II)}_{-1-} - E^{(II)}_{+1+})/2$ 2  $\simeq$  9.3*B*[T]K +  $\tilde{\Delta}_0$ П 1  $\tilde{\Delta}_0 = eE_\perp d/2$ 0  $E_{\perp}^{cr}\left[\frac{\mathrm{mV}}{\mathrm{nm}}\right] = CB\left[\mathrm{T}\right], \quad C \lesssim 5$ 10 20 30 40 50  $E_{\perp}$  [mV/nm]

#### Energy spectrum and gap of the $\nu = 0$ QH state



The values of the external field  $E_{\perp}$ where the conductance is not quantized correspond to the minima of the gaps.

#### Latest Experiments

Weitz, Allen, Feldman, Martin, Yacoby, Science, 330, 812 (2010)

Martin, Feldman, Weitz, Allen, Yacoby, PRL, 105, 256806 (2010)

Kim, Lee, Tutuc, PRL. 107, 016803 (2011)

Freitag, Trbovic, Weiss, Schonenberger, arXiv:1104.3816[cond-mat.mes-hall].

The confirmation of the phase transition between the ferromagnetic (spin polarized) and layer asymmetric (layer polarized) QH states

$$E_{\perp}^{cr} \left[\frac{\mathrm{mV}}{\mathrm{nm}}\right] \sim 10B \left[\mathrm{T}\right]$$

#### Higher, $\nu = \pm 1, \pm 2$ and $\pm 3$ LLL plateaus

Main experimental results:

- a) For  $\nu = \pm 1$ , there are two phases separated by approximately the same critical line as that in the  $\nu = 0$  QH state.
- b) There is only one phase for  $\nu = \pm 2$  and  $\nu = \pm 3$  QH states.
- c) The  $\nu = 0$  gap is approximately 30-40% larger than the  $\nu = \pm 2$  one and significantly (by factor 10) exceeds the  $\nu = \pm 1$  gap.
- a) For  $\nu = 0, \pm 1, \pm 2$  and  $\nu = \pm 3$ , the conductance is quantized except at particular values of the electric field  $E_{\perp}$ .

Theory (Gorbar, Gusynin, Junji Jia, V.M., arXiv: 1108.0650 [cond-mat])

The energy gaps as functions of magnetic field at zero electric field for different filling factors:



#### Energy spectrum and gap of the $\nu = 1$ QH state



#### Energy spectrum and gap of the $\nu = 2$ QH state



#### Energy spectrum and gap of the $\nu = 3$ QH state



#### Energy spectrum and gap of the $\nu = 4$ QH state

![](_page_33_Figure_1.jpeg)

## Outlook

(i) The present ansatz with the sixteen order parameters is the minimal one for describing the breakdown of the  $U^{(K)}(2)_S \times U^{(K')}(2)_S \times Z_{2V}^{(+)} \times Z_{2V}^{(-)}$  symmetry in bilayer graphene.

It could be extended in order to look for other solutions of the gap equation. A natural extension would be to include order parameters that mix the n=0 and n=1 LLL states.

(ii) It would be important to analyze the gap equation with a non-static polarization function.

(iii) It would be interesting to describe explicitly the dynamics around the threshold value  $B_{thr}$ , when the crossover between the regimes with the nonrelativistic-like scaling  $\Delta E \sim |eB|$  and the relativistic-like one  $\Delta E \sim \sqrt{|eB|}$  should take place.

## Conclusion

- It seems that a dynamical Dirac mass (masses) is (are) necessarily produced in graphene in a strong magnetic field
- The set of order parameters which describes the QHE in graphene is quite large
- Feedback of QHE in graphene for particle physics: dynamics in dense Quantum Chromodynamics in a strong magnetic field [Gorbar, V.M., Shovkovy, PRD 83, 085003 (2011)]
   In 3+1 dimensions, the analog of the Haldane mass term, ΔΨ
   <sup>3</sup>γ<sup>5</sup>Ψ, describes an axial-vector current density, rather than a mass.