## "AdS/CFT and local renormalization group",

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Aim: to explain

- What is local renormalization group(RG)?
- How is that described in the AdS/CFT correspondence?

#### References

K.Kikuchi and T.S., PTEP(2016) 033B02, arXiv:1511.00403, and related papers

KMI topics. June 8, 2016

# $\S1.$ local RG

What is conformal perturbation theory?

- $S_* = \int d^4x \, \mathcal{L}_*$ : fixed point Lagrangian, 4d conformal field theory(CFT)
- $\mathcal{O}_i(x)$ : a set of scalar primaries with  $\Delta = 4$ . It follows from conformal symmetry that

$$\langle \mathcal{O}_i(x)\mathcal{O}_j(0)\rangle_{S_*} = \frac{\delta_{ij}}{|x|^8}$$

• perturbed Lagrangian

$$S_{\lambda} = S_* + \int d^4x \,\lambda^i \mathcal{O}_i(x) \;.$$

• The perturbed two-point function becomes

$$\begin{split} \langle \mathcal{O}_i(x)\mathcal{O}_j(0)\rangle_{S_\lambda} &= \left\langle \mathcal{O}_i(x)\mathcal{O}_j(0) e^{-\int d^4 z \,\lambda^k \mathcal{O}_k} \right\rangle_{S_*} \\ &= \sum_{n=0}^\infty \frac{(-1)^n}{n!} \left\langle \mathcal{O}_i(x)\mathcal{O}_j(0) \left( \int d^4 z \,\lambda^k \mathcal{O}_k \right)^n \right\rangle_{S_*} \end{split}$$

•  $\int d^4z_1 \cdots d^4z_n$  gives rise to UV divergences due to short distance singularities of OPE

$$\mathcal{O}_i(x)\mathcal{O}_k(z) \sim \sum_l \frac{C_{ikl}}{|x-z|^{\Delta_i + \Delta_k - \Delta_l}} \mathcal{O}_l(z) \;.$$

• UV cut-off  $\Lambda$  is necessary to remove a tiny disc around z = x, 0.



• The perturbed two-point function becomes

$$\langle \mathcal{O}_i(x)\mathcal{O}_j(0)\rangle_{S_\lambda} = \frac{1}{|x|^8} \left(\delta_{ij} - 2C_{ijk}\lambda^k \log(\Lambda^2 |x|^2) + \mathcal{O}(\lambda^2)\right)$$

 $\mathcal{O}_i$  get renormalized as

$$\mathcal{O}_i \to Z_i^{\ j} \mathcal{O}_j = \left(\delta_i^j + C_{ijk} \lambda^k \log \Lambda^2 + \mathcal{O}(\lambda^2)\right) \mathcal{O}_j \ .$$

This yields the anomalous dimension of  $\mathcal{O}_i$ 

$$\gamma_i^{\ j} = (Z^{-1})_i^{\ k} \frac{d}{d\log\Lambda} Z_k^{\ j} = 2C_{ijk}\lambda^k + \mathcal{O}(\lambda^2) \ .$$

• renormalization of  $\lambda^i$ 

$$\lambda_i \to \lambda_i + C_{ijk} \lambda^j \lambda^k \log \Lambda + \mathcal{O}(\lambda^3)$$
.

This gives the  $\beta$ -function of  $\lambda^i$ 

$$\beta^{i} = \frac{d\lambda_{i}}{d\log\Lambda} = C_{ijk}\lambda^{j}\lambda^{k} + \mathcal{O}(\lambda^{3}) \; .$$

## local RG:

- $\lambda^i$  are promoted to spacetime-dependent function  $\lambda^i(x)$ .
  - ◊ enabling one to study RG flows of the generating functional of correlators
- The perturbed theory remains renormalizable.
  - ♦ UV divergences come from short distance singularities of OPE even in the presence of spacetime-dependent couplings  $\lambda^i(x)$ .
  - The UV divergences are thus local, being proportional to local functional of  $\lambda^i(x)$ .
  - ♦ They can be removed by a finite number of local counter terms.
- renormalized generating functional  $\Gamma[\lambda^i(x),\mu]$

$$\Gamma_0[\lambda_{(0)}^i(x),\Lambda] = \Gamma[\lambda^i(x),\mu] + S_{\rm div}[\lambda^i(x),\frac{\mu}{\Lambda}]$$

The renormalized correlators are computed as

$$\frac{\delta}{\delta\lambda^{i_1}(x_1)}\frac{\delta}{\delta\lambda^{i_2}(x_2)}\cdots\frac{\delta}{\delta\lambda^{i_n}(x_n)}\Gamma[\lambda^i(x),\mu] = \langle \mathcal{O}_{i_1}(x_1)\mathcal{O}_{i_2}(x_2)\cdots\mathcal{O}_{i_n}(x_n)\rangle_{\lambda} .$$

#### • local RG equation

$$0 = \left(\mu \frac{\partial}{\partial \mu}\right)_{0} \Gamma_{0}[\lambda_{(0)}^{i}(x), \Lambda]$$
  
=  $\left(\mu \frac{\partial}{\partial \mu} + \int d^{4}x \left(\mu \frac{\partial \lambda^{i}(x)}{\partial \mu}\right)_{0} \frac{\delta}{\delta \lambda^{i}(x)}\right) \Gamma[\lambda^{i}(x), \mu] - \int d^{4}x \mathcal{A}[\lambda(x)]$ 

Here  $\mathcal{A}$  is a local functional of  $\lambda^i(x)$ . The local RG equation of the correlators reads

$$\left( \mu \frac{\partial}{\partial \mu} + \int d^4 x \,\beta^i [\lambda(x)] \frac{\delta}{\delta \lambda^i(x)} \right) \langle \mathcal{O}_{i_1}(x_1) \mathcal{O}_{i_2}(x_2) \cdots \mathcal{O}_{i_n}(x_n) \rangle_{\lambda}$$
$$+ \sum_k \gamma_{i_k}^l [\lambda(x_k)] \langle \mathcal{O}_{i_1}(x_1) \cdots \mathcal{O}_l(x_k) \cdots \mathcal{O}_{i_n}(x_n) \rangle_{\lambda} = 0 .$$

Here,

$$\beta^i = \left(\mu \frac{\partial}{\partial \mu}\right)_0 \lambda^i(x) \ , \quad \gamma_i{}^j = \frac{\partial \beta^j}{\partial \lambda^i} \ ,$$

denote the  $\beta$ -function and the anomalous dimension matrix, respectively.

- Local RG equation can be generalized by considering spacetime-dependent RG scale
  - $\diamond$  define a field theory on a curved background of metric  $\gamma^{(0)}_{\mu\nu}(x)$ .
  - ◇ The resultant theory is left renormalizable.
  - Renormalized metric  $\gamma_{\mu\nu}(x)$  differs from  $\gamma_{\mu\nu}^{(0)}(x)$  only by a Weyl factor that is equal to the spacetime-dependent renormalization scale.
  - ◊ The unintegrated form of local RG equation follows

$$\left(2\gamma_{\mu\nu}(x)\frac{\delta}{\delta\gamma_{\mu\nu}(x)} + \beta^{i}[\lambda(x)]\frac{\delta}{\delta\lambda^{i}(x)}\right)\Gamma[\gamma_{\mu\nu}(x),\lambda^{i}(x)] = \sqrt{\gamma}\,\mathcal{A}[\gamma_{\mu\nu}(x),\lambda^{i}(x)] \;.$$

global scale transformation is reproduced as

$$\mu \frac{\partial}{\partial \mu} = \int d^4x \, 2\gamma_{\mu\nu}(x) \frac{\delta}{\delta\gamma_{\mu\nu}(x)} \, .$$

• Using

$$\langle T^{\mu\nu}(x) \rangle_{\gamma,\lambda} = \frac{2}{\sqrt{\gamma}} \frac{\delta}{\delta \gamma_{\mu\nu}(x)} \Gamma[\gamma_{\mu\nu}(x), \lambda^i(x)] ,$$

we obtain the trace anomaly

$$\gamma_{\mu\nu} \langle T^{\mu\nu}(x) \rangle_{\gamma,\lambda} = -\beta^i \frac{1}{\sqrt{\gamma}} \frac{\delta\Gamma}{\delta\lambda^i(x)} + \mathcal{A}[\gamma_{\mu\nu}(x), \lambda^i(x)]$$
$$= -\beta^i \langle \mathcal{O}_i(x) \rangle_{\gamma,\lambda} + \mathcal{A}[\gamma_{\mu\nu}(x), \lambda^i(x)] .$$

•  $\beta^i$  and  $\mathcal{A}$  are constrained by the Wess-Zumino consistency condition Osborn '91, Jack-Osborn '13

$$0 = [\delta_x, \delta_y]\Gamma = \delta_x(\sqrt{\gamma}\mathcal{A}(y)) - \delta_y(\sqrt{\gamma}\mathcal{A}(x)) .$$

Here

$$\delta_x = 2\gamma_{\mu\nu}(x)\frac{\delta}{\delta\gamma_{\mu\nu}(x)} + \beta^i[\lambda(x)]\frac{\delta}{\delta\lambda^i(x)} ,$$

is the local RG generator.

 For d = 2, the WZ condition is powerful enough to give another proof of Zamolodchikov's c-theorem.
 ◊ Osborn constructed a function c(μ) such that

$$\mu \frac{d}{d\mu} c(\mu) = 3 G_{ij}(\lambda) \beta^i \beta^j \ ,$$

 $\diamond G_{ij}$  is defined as

$$G_{ij}(\lambda) = \langle \mathcal{O}_i(1)\mathcal{O}_j(0) \rangle_{\lambda} .$$

This is positive-definite thank to unitarity of CFT. Thus

$$\mu \frac{d}{d\mu} c(\mu) \ge 0 \ .$$

• Unfortunately, the same technique cannot be employed to prove  $c\mbox{-theorem}$  in  $d\geq 3$  dimensions.

## $\S2$ . Local RG flows in AdS/CFT

#### **AdS/CFT** correspondence

classical gravity in  $AdS_5 \iff CFT$  in d = 4

- GKP-Witten allows one to compute the CFT correlators from classical  $AdS_5$  gravity.
- Local RG flows from a UV fixed point can be described in 5d gravity; holographic RG

Consider a d = 5 gravity coupled to scalar fields

$$2\kappa_5^2 \mathcal{L}_{5d} = -R + \frac{1}{2} G^{MN} \partial_M \phi^i \partial_N \phi^j L_{ij}(\phi) + V(\phi) \; .$$

• 5d metric can be gauge-fixed to be

$$ds^2 = d\tau^2 + g_{\mu\nu}(x,\tau)dx^{\mu}dx^{\nu} \; .$$

Example: AdS<sub>5</sub> metric is given by setting  $g_{\mu\nu}(x,\tau) = e^{-2\tau/l}\eta_{\mu\nu}$  with the AdS boundary at  $\tau = -\infty$ .

• Solve the classical EOM under the boundary condition

$$g_{\mu\nu}(x,\tau=-\infty) = \gamma^{(0)}_{\mu\nu}(x) , \quad \phi^i(x,\tau=-\infty) = \lambda^i_{(0)}(x) ,$$

and then substitute them into the 5d action to obtain the generating functional of CFT:

$$S_0[\gamma^{(0)}_{\mu\nu}, \lambda^i_{(0)}(x)] = \int d^4x \int_{-\infty}^{\infty} d\tau \sqrt{G} \mathcal{L}_{\rm 5d} \big|_{\rm EOM} \, d\tau \sqrt{G} \, \mathcal{L}_{\rm 5d} \, \mathcal{L$$

5d gravity		4d CFT	
$\gamma^{(0)}_{\mu u}(x)$	$\iff$	background metric	
$\lambda_{(0)}^i(x)$	$\iff$	coupling to $\mathcal{O}_i$ $\lambda^i_{(0)}(x)\mathcal{O}^{(0)}_i$	

• The  $\tau$  integral diverges at the boundary  $\tau = -\infty$ . A regularization must be made

$$S_0[\gamma_{\mu\nu}^{(0)}, \lambda_{(0)}^i(x)] = \int d^4x \int_{\log \epsilon}^{\infty} d\tau \sqrt{G} \mathcal{L}_{5d} \Big|_{\text{EOM}} . \quad (\text{cut-off } \epsilon \ll 1)$$

The boundary conditions are imposed at  $\tau = \log \epsilon$  instead

$$g_{\mu\nu}(x,\tau = \log \epsilon) = \gamma^{(0)}_{\mu\nu}(x) , \quad \phi^i(x,\tau = \log \epsilon) = \lambda^i_{(0)}(x) .$$

 $\epsilon$  is regarded as a UV cut-off in CFT, and  $\gamma_{\mu\nu}^{(0)}(x)$  and  $\lambda_{(0)}^{i}(x)$  as bare couplings.

• Divide the bare  $S_0$  into two terms as

$$S_0[\gamma_{\mu\nu}^{(0)}, \lambda_{(0)}^i(x)] = \int d^4x \int_{\log \epsilon}^{\infty} d\tau' \sqrt{G} \mathcal{L}_{5d} \big|_{\text{EOM}}$$
$$= \int d^4x \left( \int_{\log \epsilon}^{\tau} d\tau' \sqrt{G} \mathcal{L}_{5d} \big|_{\text{EOM}} \right)$$
$$= S_{\text{div}} + S[g_{\mu\nu}(x, \tau), \phi^i(x, \tau)] .$$

- $S[g_{\mu\nu}(x,\tau),\phi^i(x,\tau)]$  is the renormalized generating functional with  $\tau$  being the renormalization scale. It is evident that  $S_0$  is independent of  $\tau$ .
- $g_{\mu\nu}(x,\tau), \phi^i(x,\tau)$  are the solutions of EOM and identified with the running couplings

$$\gamma_{\mu\nu}(x,\tau) = g_{\mu\nu}(x,\tau) , \quad \lambda^i(x,\tau) = \phi^i(x,\tau) ,$$

• A sketch of how to solve 5d EOM when  $\lambda^i$  are independent of  $x^{\mu}$ : 5d metric is taken to be

$$ds^{2} = d\tau^{2} + (\mu(\tau))^{2} \eta_{\mu\nu} dx^{\mu} dx^{\nu}$$

 $\mu=\mu(\tau)$  is identified with an RG scale. With an ansatz  $\phi^i=\phi^i(\mu(\tau)),$  EOM of  $\phi$  can be integrated to be

$$\mu \frac{d}{d\mu} \phi^i = -\frac{6}{W(\phi)} L^{ij}(\phi) \frac{\partial W(\phi)}{\partial \phi^j} \equiv \beta^i ,$$

if the scalar potential  $V(\phi)$  is written in terms of a "superpotential"  $W(\phi)$ 

$$V(\phi) = -\frac{1}{3}W(\phi)^2 + \frac{1}{2}L^{ij}\frac{\partial W}{\partial \phi^i}\frac{\partial W}{\partial \phi^j}$$

• To summarize,

	4d CFT		5d gravity		
-	RG scale	$\iff$	5th coordinate		
	RG flow	$\iff$	profile of solutions of EOM		

## Derivation of local RG equation in AdS/CFT

Hamilton-Jacobi formulation of 5d gravity is useful

dVV '99 Fukuma-Matsuura-T.S. '00

• ADM decomposition of 5d metric with  $\tau$  regarded as Eucledean time

$$ds^{2} = N^{2}d\tau^{2} + g_{\mu\nu}(x,\tau)(dx^{\mu} + \lambda^{\mu}d\tau)(dx^{\nu} + \lambda^{\nu}d\tau)$$

• Hamiltonian and momentum constraint

$$\mathcal{H} = \mathcal{P}^{\mu} = 0 \; .$$

• The renormalized generating functional S is an on-shell action of 5d gravity, obeying the HJ equation

$$\frac{\partial S}{\partial \tau} = 0 \ , \ \ \frac{1}{\sqrt{\gamma}} \frac{\delta S}{\delta \gamma_{\mu\nu}(x,\tau)} = -\Pi^{\mu\nu}(x,r) \ , \ \ \frac{1}{\sqrt{\gamma}} \frac{\delta S}{\delta \phi^i(x,\tau)} = -\Pi_i(x,r) \ .$$

• Substituting these into the Hamiltonian constraint gives the flow equation

$$\{S,S\} = \mathcal{L}_4 ,$$

from which we obtain the local RG equation

$$\left(2\gamma_{\mu\nu}(x)\frac{\delta}{\delta\gamma_{\mu\nu}(x)} + \beta^{i}[\lambda(x)]\frac{\delta}{\delta\lambda^{i}(x)}\right)\Gamma = \sqrt{\gamma}\mathcal{A} \; .$$

Here

$$S = \Gamma + S_{\text{loc}} , \quad \beta^i = -\frac{6}{W} L^{ij} \frac{\partial W}{\partial \phi^j}$$

• The relation

$$V(\phi) = -\frac{1}{3}W(\phi)^2 + \frac{1}{2}L^{ij}\frac{\partial W}{\partial \phi^i}\frac{\partial W}{\partial \phi^j}$$

follows immediately from the flow equation.

•  $\mathcal{A}$  can be fixed from  $\mathcal{L}_5$ ; a most efficient framework for computing a holographic trace anomaly.

# extension of holographic RG by incorporating 5d gauge fields $A^a_M(X)$ in HJ formulation

5d gravity		4d CFT
gauge group $G$	$\iff$	flavor group $G$
boundary value of $A^a_\mu(x, \tau = \log \epsilon)$	$\Leftrightarrow$	background gauge potential $A^a_\mu J^{\mu a}$

• A simple derivation of Ward-Takahashi identity

$$\boldsymbol{\nabla}_{\mu} \langle J^{\mu a} \rangle_{\gamma,\phi,A} - i (T^{a} \phi)^{i} \langle \mathcal{O}_{i} \rangle_{\gamma,\phi,A} = 0 ,$$

from the Gauss law constraint

$$\frac{1}{\gamma} \frac{\delta S}{\delta A^a_\tau} = \boldsymbol{\nabla}_\mu \Pi^{\mu a} - i (T^a \phi)^i \Pi_i = 0 \; .$$

• derivation of vector  $\beta$ -function of  $A^a_\mu$ 

$$\left(\mu \frac{\partial}{\partial \mu}\right)_0 A^a_\mu(x) = \rho^a_i \, \boldsymbol{\nabla}_\mu \phi^i \, \, .$$

RG flows of  $A^a_{\mu}$  driven only by flavor nonsinglet perturbations

• a proof of holographic c-theorem in d = 4 on the basis of the trace anomaly coefficients