

Indication of electron neutrino appearance in the T2K experiment

Yoshihisa OBAYASHI for the T2K collaboration
July 20th, 2011

Kamioka Observatory, Institute for Cosmic Ray Research, Univ. of Tokyo

Indication of Electron Neutrino Appearance from an Accelerator-Produced Off-Axis Muon Neutrino Beam

K. Abe,⁴⁹ N. Abgrall,¹⁶ Y. Ajima,^{18,†} H. Aihara,⁴⁸ J. B. Albert,¹³ C. Andreopoulos,⁴⁷ B. Andrieu,³⁷ S. Aoki,²⁷ O. Araoka,^{18,†} J. Argyriades,¹⁶ A. Ariga,³ T. Ariga,³ S. Assylbekov,¹¹ D. Autiero,³² A. Badertscher,¹⁵ M. Barbi,⁴⁰ G. J. Barker,⁵⁶ G. Barr,³⁶ M. Bass,¹¹ F. Bay,³ S. Bentham,²⁹ V. Berardi,²² B. E. Berger,¹¹ I. Bertram,²⁹ M. Besnier,¹⁴ J. Beucher,⁸ D. Beznosko,³⁴ S. Bhadra,⁵⁹ F. d.M. M. Blaszczyk,⁸ A. Blondel,¹⁶ C. Bojechko,⁵³ J. Bouchez,^{8,*} S. B. Boyd,⁵⁶ A. Bravar,¹⁶ C. Bronner,¹⁴ D. G. Brook-Roberge,⁵ N. Buchanan,¹¹ H. Budd,⁴¹ D. Calvet,⁸ S. L. Cartwright,⁴⁴ A. Carver,⁵⁶ R. Castillo,¹⁹ M. G. Catanesi,²² A. Cazes,³² A. Cervera,²⁰ C. Chavez,³⁰ S. Choi,⁴³ G. Christodoulou,³⁰ J. Coleman,³⁰ W. Coleman,³¹ G. Collazuol,²⁴ K. Connolly,⁵⁷ A. Curioni,¹⁵ A. Dabrowska,¹⁷ I. Danko,³⁸ R. Das,¹¹ G. S. Davies,²⁹ S. Davis,⁵⁷ M. Day,⁴¹ G. De Rosa,²³ J. P. A. M. de André,¹⁴ P. de Perio,⁵¹ A. Delbart,⁸ C. Densham,⁴⁷ F. Di Lodovico,³⁹ S. Di Luise,¹⁵ P. Dinh Tran,¹⁴ J. Dobson,²¹ U. Dore,²⁵ O. Drapier,¹⁴ F. Dufour,¹⁶ J. Dumarchez,³⁷ S. Dytman,³⁸ M. Dziewiecki,⁵⁵ M. Dziomba,⁵⁷ S. Emery,⁸ A. Ereditato,³ L. Escudero,²⁰ L. S. Esposito,¹⁵ M. Fechner,^{13,8} A. Ferrero,¹⁶ A. J. Finch,²⁹ E. Frank,³ Y. Fujii,^{18,†} Y. Fukuda,³³ V. Galymov,⁵⁹ F. C. Gannaway,³⁹ A. Gaudin,⁵³ A. Gendotti,¹⁵ M. A. George,³⁹ S. Giffin,⁴⁰ C. Giganti,¹⁹ K. Gilje,³⁴ T. Golan,⁵⁸ M. Goldhaber,^{6,*} J. J. Gomez-Cadenas,²⁰ M. Gonin,¹⁴ N. Grant,²⁹ A. Grant,⁴⁶ P. Gumplinger,⁵² P. Guzowski,²¹ A. Haesler,¹⁶ M. D. Haigh,³⁶ K. Hamano,⁵² C. Hansen,^{20,‡} D. Hansen,³⁸ T. Hara,²⁷ P. F. Harrison,⁵⁶ B. Hartfiel,³¹ M. Hartz,^{59,51} T. Haruyama,^{18,†} T. Hasegawa,^{18,†} N. C. Hastings,⁴⁰ S. Hastings,⁵ A. Hatzikoutelis,²⁹ K. Hayashi,^{18,†} Y. Hayato,⁴⁹ C. Hearty,^{5,§} R. L. Helmer,⁵² R. Henderson,⁵² N. Higashi,^{18,†} J. Hignight,³⁴ E. Hirose,^{18,†} J. Holeczek,⁴⁵ S. Horikawa,¹⁵ A. Hyndman,³⁹ A. K. Ichikawa,²⁸ K. Ieki,²⁸ M. Ieva,¹⁹ M. Iida,^{18,†} M. Ikeda,²⁸ J. Ilic,⁴⁷ J. Imber,³⁴ T. Ishida,^{18,†} C. Ishihara,⁵⁰ T. Ishii,^{18,†} S. J. Ives,²¹ M. Iwasaki,⁴⁸ K. Iyogi,⁴⁹ A. Izmaylov,²⁶ B. Jamieson,⁵ R. A. Johnson,¹⁰ K. K. Joo,⁹ G. V. Jover-Manas,¹⁹ C. K. Jung,³⁴ H. Kajii,⁵⁰ T. Kajita,⁵⁰ H. Kakuno,⁴⁸ J. Kameda,⁴⁹ K. Kaneyuki,^{50,*} D. Karlen,^{53,52} K. Kasami,^{18,†} I. Kato,⁵² E. Kearns,⁴ M. Khabibullin,²⁶ F. Khanam,¹¹ A. Khotjantsev,²⁶ D. Kielczewska,⁵⁴ T. Kikawa,²⁸ J. Kim,⁵ J. Y. Kim,⁹ S. B. Kim,⁴³ N. Kimura,^{18,†} B. Kirby,⁵ J. Kisiel,⁴⁵ P. Kitching,¹ T. Kobayashi,^{18,†} G. Kogan,²¹ S. Koike,^{18,†} A. Konaka,⁵² L. L. Kormos,²⁹ A. Korzenev,¹⁶ K. Koseki,^{18,†} Y. Koshio,⁴⁹ Y. Kouzuma,⁴⁹ K. Kowalik,² V. Kravtsov,¹¹ I. Kreslo,³ W. Kropp,⁷ H. Kubo,²⁸ Y. Kudenko,²⁶ N. Kulkarni,³¹ R. Kurjata,⁵⁵ T. Kutter,³¹ J. Lagoda,² K. Laihem,⁴² M. Laveder,²⁴ K. P. Lee,⁵⁰ P. T. Le,³⁴ J. M. Levy,³⁷ C. Licciardi,⁴⁰ I. T. Lim,⁹ T. Lindner,⁵ R. P. Litchfield,^{56,28} M. Litos,⁴ A. Longhin,⁸ G. D. Lopez,³⁴ P. F. Loverre,²⁵ L. Ludovici,²⁵ T. Lux,¹⁹ M. Macaire,⁸ K. Mahn,⁵² Y. Makida,^{18,†} M. Malek,²¹ S. Manly,⁴¹ A. Marchionni,¹⁵

Neutrino Oscillation

Flavor Eigenstate (ν_e, ν_μ, ν_τ) \neq Mass Eigenstate (ν_1, ν_2, ν_3)

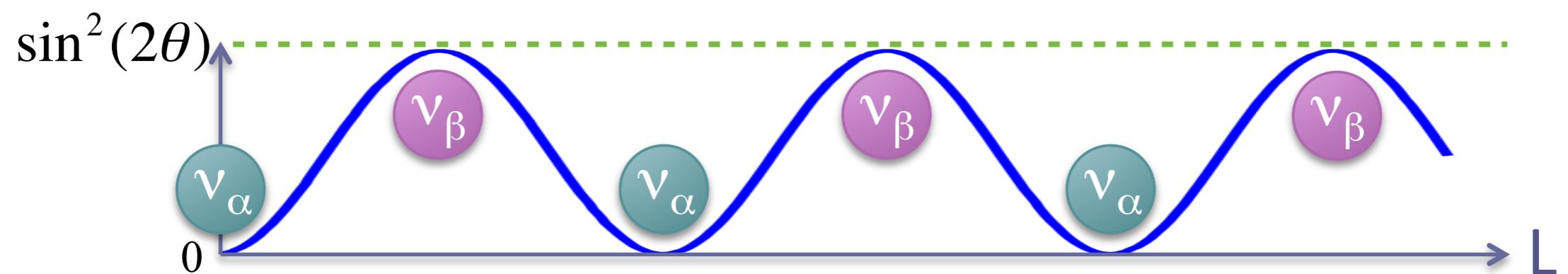
$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_i \\ \nu_j \end{pmatrix}$$

$\alpha, \beta = \text{Flavor states}$
 $i, j = \text{Mass states}$

Probability that ν_α observed as ν_β after traveling L:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(\frac{1.27\Delta m^2 (eV^2)L(km)}{E_\nu(GeV)}\right)$$

$$\Delta m^2 = |m_i^2 - m_j^2|$$



Three Flavour Oscillation

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{PMNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$U_{PMNS} =$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Oscillation between three neutrino flavors are represented by three mixing angle ($\theta_{12}, \theta_{23}, \theta_{13}$), two mass differences ($\Delta m^2_{12}, \Delta m^2_{23}$) and CP phase δ .

Current Status of Experimental Knowledge

$$\theta_{12} = 34^\circ \pm 3^\circ$$

$$\Delta m_{12}^2 \sim 8 \times 10^{-5} \text{ eV}^2$$

solar ν , reactor ν

$$\theta_{23} = 45^\circ \pm 5^\circ$$

$$\Delta m_{23}^2 \sim 2.5 \times 10^{-3} \text{ eV}^2$$

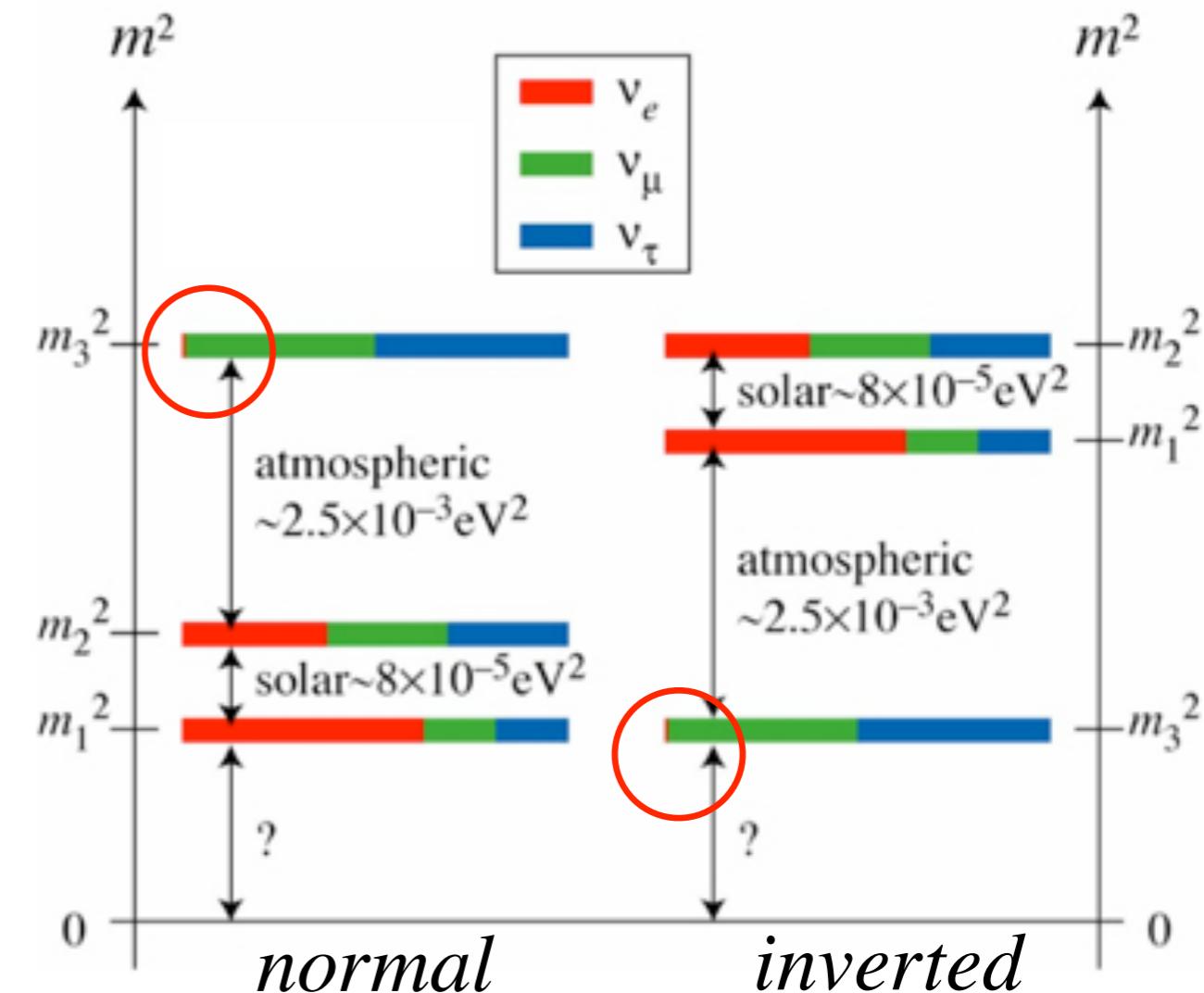
atmospheric ν , accelerator ν

$$\theta_{13} < 11^\circ$$

reactor ν , accelerator ν

Last Unknown mixing angle θ_{13}

$\sin^2(2\theta_{13}) < 0.15$ @90%CL
by CHOOZ, MINOS



Mass Hierarchy ($m_3 >? <? m_1, m_2$),
CP phase δ :
UNKNOWN.

Physics Motivation of ν_e appearance

★ discovery of $\nu_\mu \rightarrow \nu_e$

Direct detection of neutrino flavor mixing in “appearance” mode then Determine θ_{13}

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2(1.27 \Delta m^2_{31} L/E) + \dots$$

$(\Delta m^2_{23} \sim \Delta m^2_{31})$

Cf: In Reactor experiment,

$$P(\nu_e \rightarrow \nu_x) =$$

$$\sin^2 2\theta_{13} \sin^2(1.27 \Delta m^2_{31} L/E) + \dots$$

Open a possibility to measure
CP violation in lepton sector in future

CP odd term in $P(\nu_\mu \rightarrow \nu_e) \propto$

$$\sin \theta_{12} \sin \theta_{13} \sin \theta_{23} \sin \delta$$

T2K (Tokai-to-Kamioka) experiment



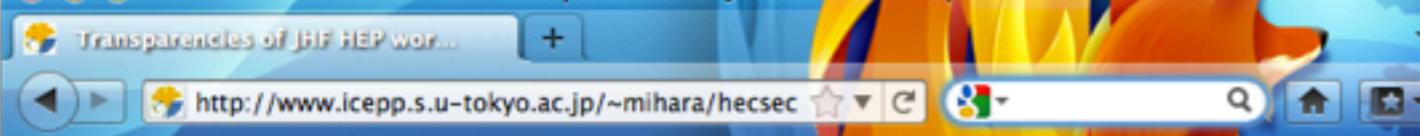
T2K Main Goals:

- ★ Discovery of $\nu_\mu \rightarrow \nu_e$ oscillation (ν_e appearance)
- ★ Precision measurement of ν_μ disappearance

T2K Collaboration



International collaboration
(~500 members, 59 institutes, 12 countries)



JHF high energy physics workshop

date ; 7-Jan-2000 / place ; Seminar Hall @ KEK

はじめに (駒宮幸男 @ 東京大学) **Session I ; Kaon Physics** (chair : 山中)

Introduction (山中 卓 @ 大阪大学) ([gzipped transparency , 638kbyte](#))

$K^+ \rightarrow \pi + \nu \bar{\nu}$, T violation in $K\mu u3$ decay

(小松原 健 @ KEK) ([gzipped transparency , 1401kbyte](#))

(新川 孝男 @ KEK) ([gzipped transparency , 1276kbyte](#))

$KL \rightarrow \pi l 0 \nu \bar{\nu}$ TOF method (笹尾 登 @ 京都大学) ([gzipped transparency , 1130kbyte](#))

$KL \rightarrow \pi l 0 \nu \bar{\nu}$ @ low energy (稲垣 隆雄 @ KEK) ([gzipped transparency , 1389kbyte](#))

$KL \rightarrow \pi l 0 \nu \bar{\nu}$ @ high energy (山中 卓 @ 大阪大学) ([gzipped transparency , 1482kbyte](#))

CPT experiment at JHF (青木 正治 @ KEK) ([gzipped transparency , 1482kbyte](#))

まとめ (山中 卓 @ 大阪大学) ([gzipped transparency , 453kbyte](#))

全体での議論

Session II ; Lepton Flavour Violation (chair : 森)

JHF Project の進行状況 (永宮 正治 @ JHF 推進室) ([gzipped transparency , 951kbyte](#))

50GeV PS における大強度 muon beam (久野 良孝 @ KEK) ([gzipped transparency , 11225kbyte](#))

LFV 実験 (森 俊則 @ ICEPP) ([gzipped transparency , 1353kbyte](#))

全体での議論

Session III ; Neutrino Physics (chair : 野崎)

Future Prospect (久野 良孝 @ KEK) ([gzipped transparency , 2949kbyte](#))

JHF での ニュートリノ振動実験

Introduction (西川 公一郎 @ 京都大学) ([gzipped transparency , 420kbyte](#))

Summary of SK and K2K (伊藤 好孝 @ ICRR) ([gzipped transparency , 1895kbyte](#))

Beam at JHF (小林 隆 @ KEK) ([gzipped transparency , 2059kbyte](#))

ν_μ disappearance 実験 (中谷 剛 @ 京都大学) ([gzipped transparency , 1161kbyte](#))

ν_e appearance 実験 (大林 由尚 @ ICRR) ([gzipped transparency , 1262kbyte](#))

Sterile in long baseline (早戸 良成 @ KEK) ([gzipped transparency , 624kbyte](#))

Medium baseline (小林 隆 @ KEK) ([gzipped transparency , 1993kbyte](#))

まとめ (西川 公一郎 @ 京都大学) ([gzipped transparency , 73kbyte](#))

海外でのニュートリノ振動実験 (小松 雅宏 @ 名古屋大学) ([gzipped transparency , 2368kbyte](#))

全体での議論

If you have any opinion , send an email to us !

[Hajime Nishiguchi](#), [Osamu Jinnouchi](#)

24 Jan 2000

Letter of Intent:

A Long Baseline Neutrino Oscillation Experiment
using the JHF 50 GeV Proton-Synchrotron
and the Super-Kamiokande Detector

February 3, 2000

—V1.0—

JHF Neutrino Working Group

Y. Itow¹, Y. Obayashi, Y. Totsuka

Institute for Cosmic Ray Research, University of Tokyo, Tanashi, Tokyo 188-8502, Japan

Y. Hayato, H. Ishino, T. Kobayashi², K. Nakamura, M. Sakuda

Inst. of Particle and Nuclear Studies, High Energy Accelerator Research Org. (KEK),
Tsukuba, Ibaraki 305-0801, Japan

T. Hara

Department of Physics, Kobe University, Kobe, Hyogo 657-8501, Japan

T. Nakaya³, K. Nishikawa⁴

Department of Physics, Kyoto University, Kyoto 606-8502, Japan

T. Hasegawa, K. Ishihara, A. Suzuki

Department of Physics, Tohoku University, Sendai, Miyagi, 980-8578, Japan

¹Super Kamiokande Contact Person: itow@suketto.icrr.u-tokyo.ac.jp

²Neutrino Beam Contact Person: kobayasi@neutrino.kek.jp

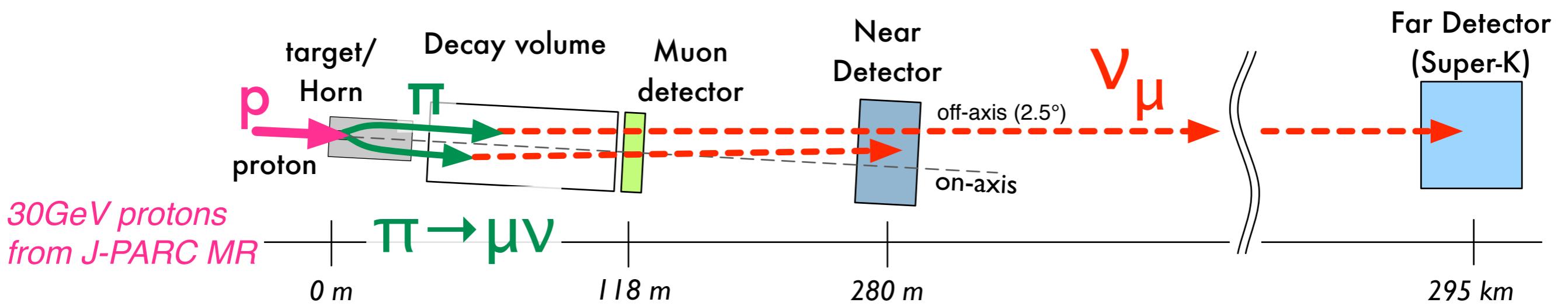
³Near Detector Contact Person: nakaya@scphys.kyoto-u.ac.jp

⁴Organizer: nishikaw@neutrino.kek.jp

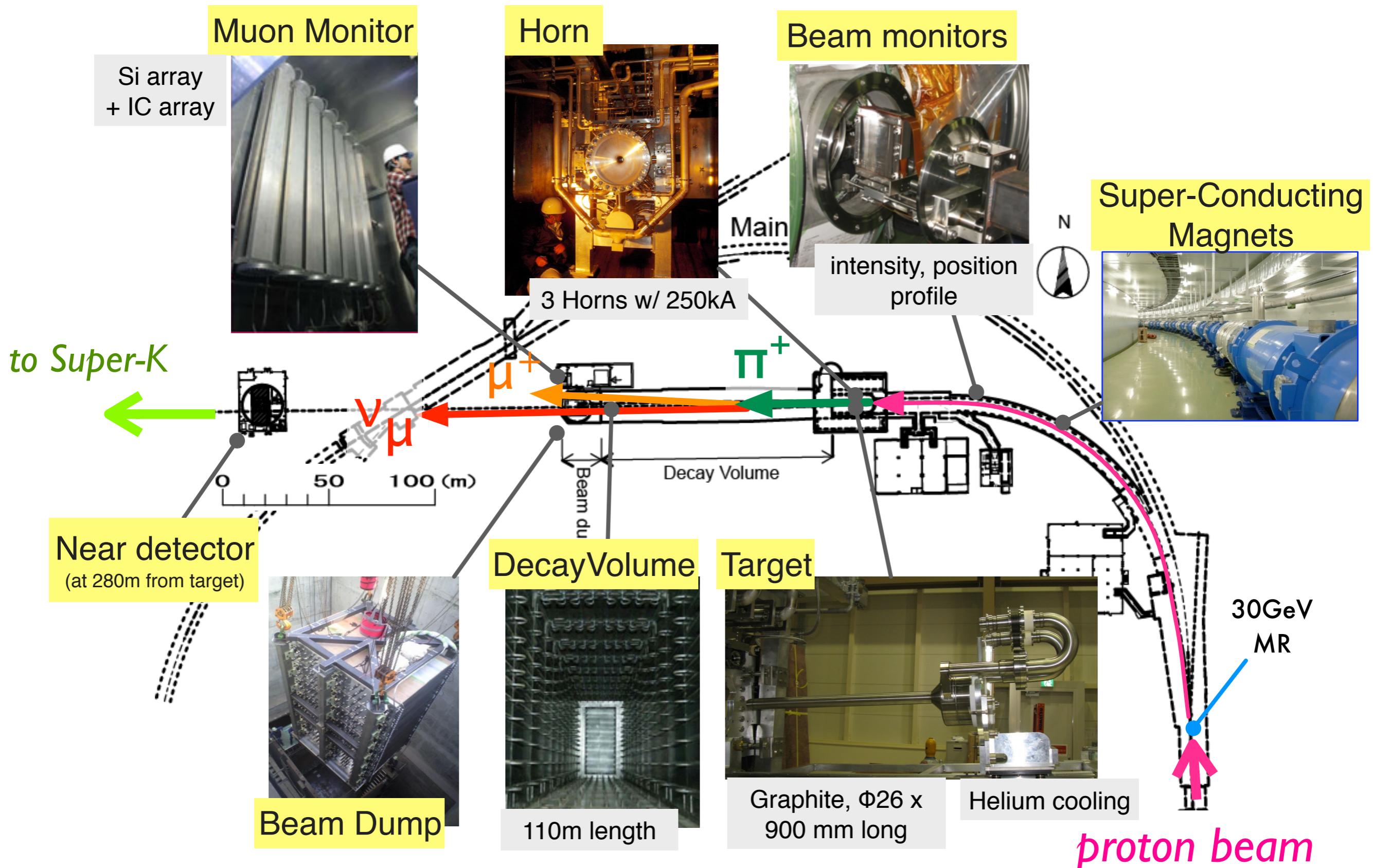
Overview of this talk

1. Introduction of T2K experiment
2. Search for ν_e appearance with 1.43×10^{20} protons on target (p.o.t)
 - Analysis overview
 - ν_e selection
 - The expected number of events at Far detector
 - Systematic uncertainty
 - Results
3. Conclusion

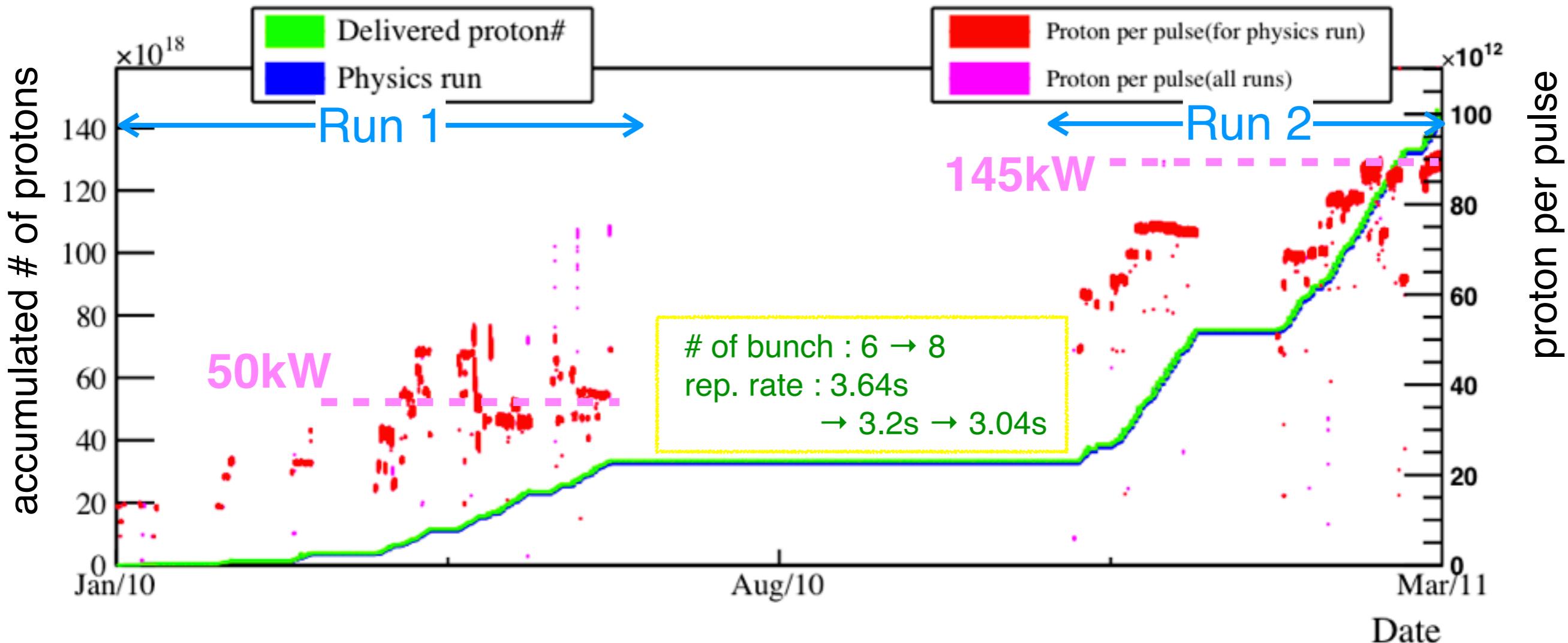
Experimental Setup



J-PARC Neutrino beam facility



Total # of protons used for analysis



Run 1 (Jan. '10 - June '10)

- 3.23×10^{19} p.o.t. for analysis
- 50kW stable beam operation

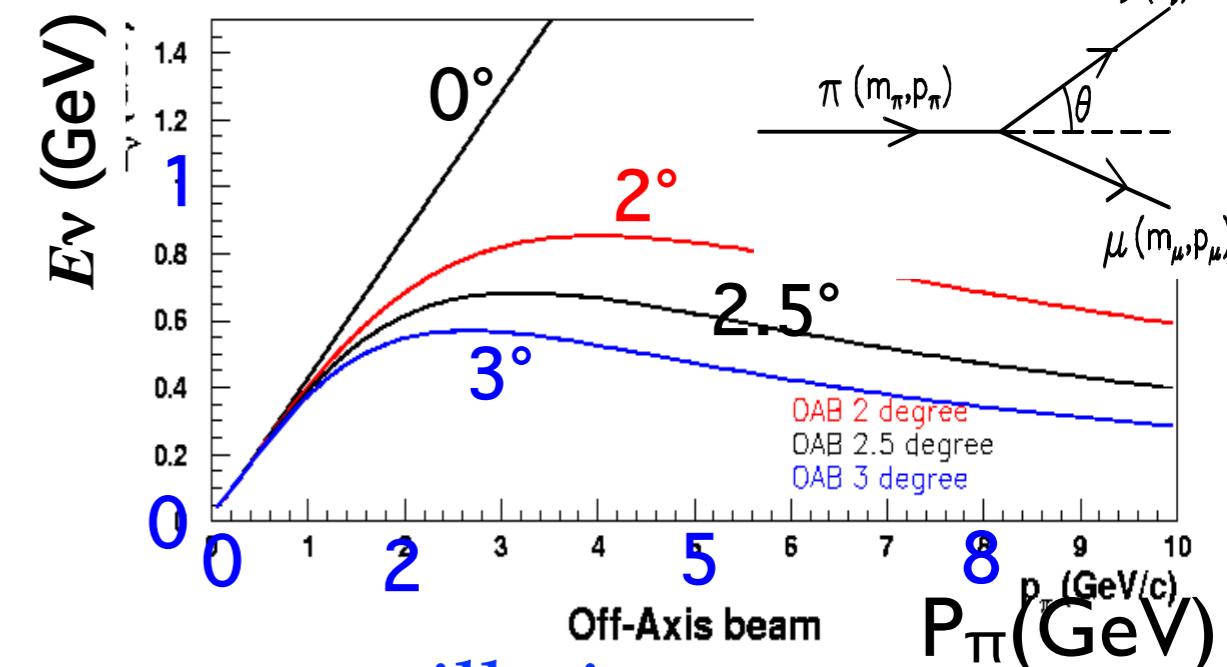
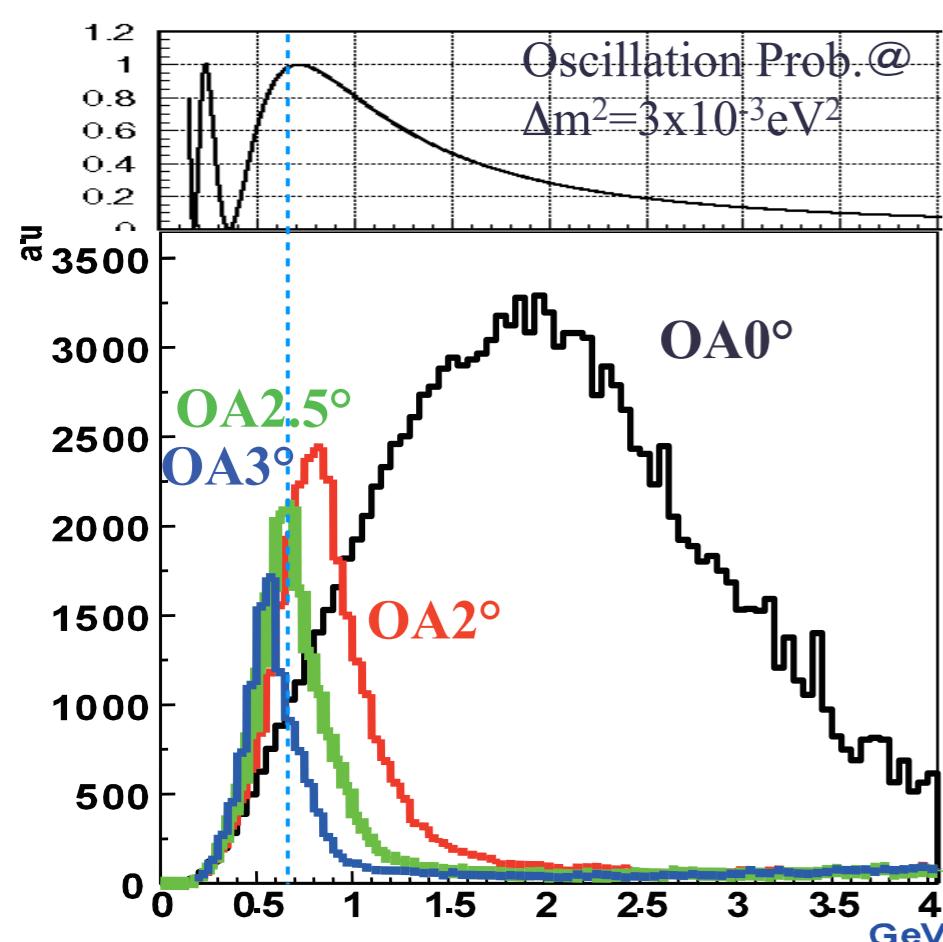
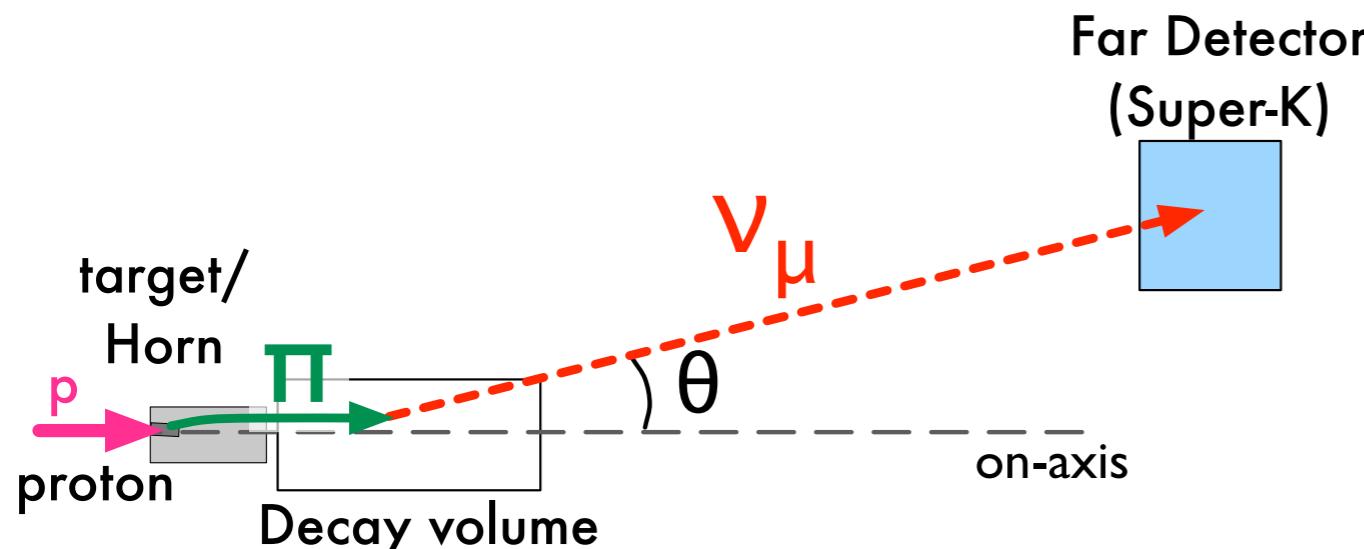
Run 2 (Nov. '10 - Mar. '11)

- 11.08×10^{19} p.o.t. for analysis
- ~145kW beam operation

Total # of protons used for this analysis is 1.43×10^{20} pot
2% of T2K's final goal and x 5 exposure of the previous report

Off-axis beam : intense & narrow-band beam

BNL E889 Design Report(1995)



Beam energy at oscillation max.

$E_\nu \sim 0.6 \text{ GeV}$ (based on Δm^2_{23} & $L=295\text{km}$)

→ T2K off-axis angle is 2.5°

(maximize physics sensitivity)

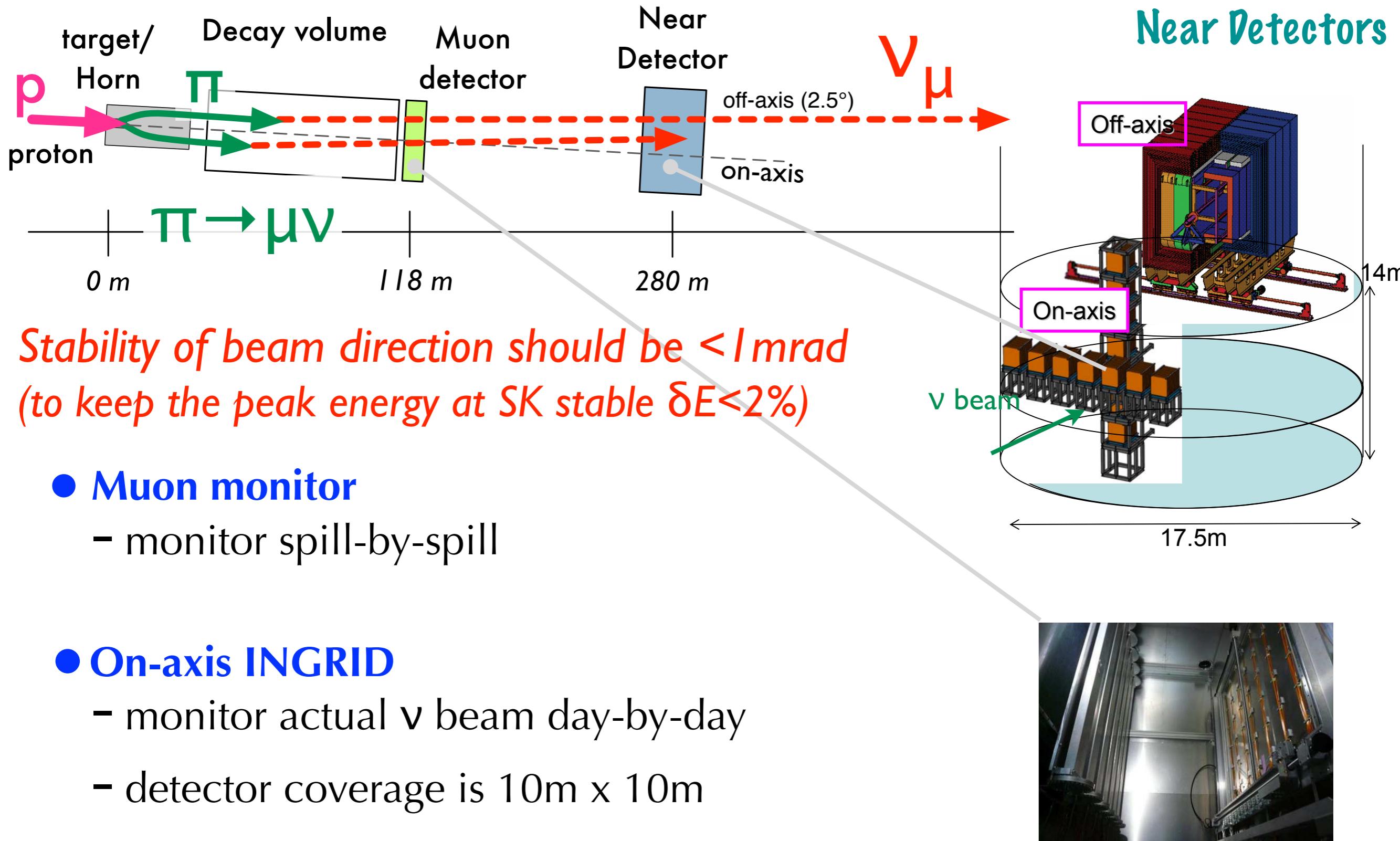
Small ν_e component (0.5%@peak)

Small high energy tail

→ small background

Accurate and stable beam pointing is important
(Keep the peak energy stable)

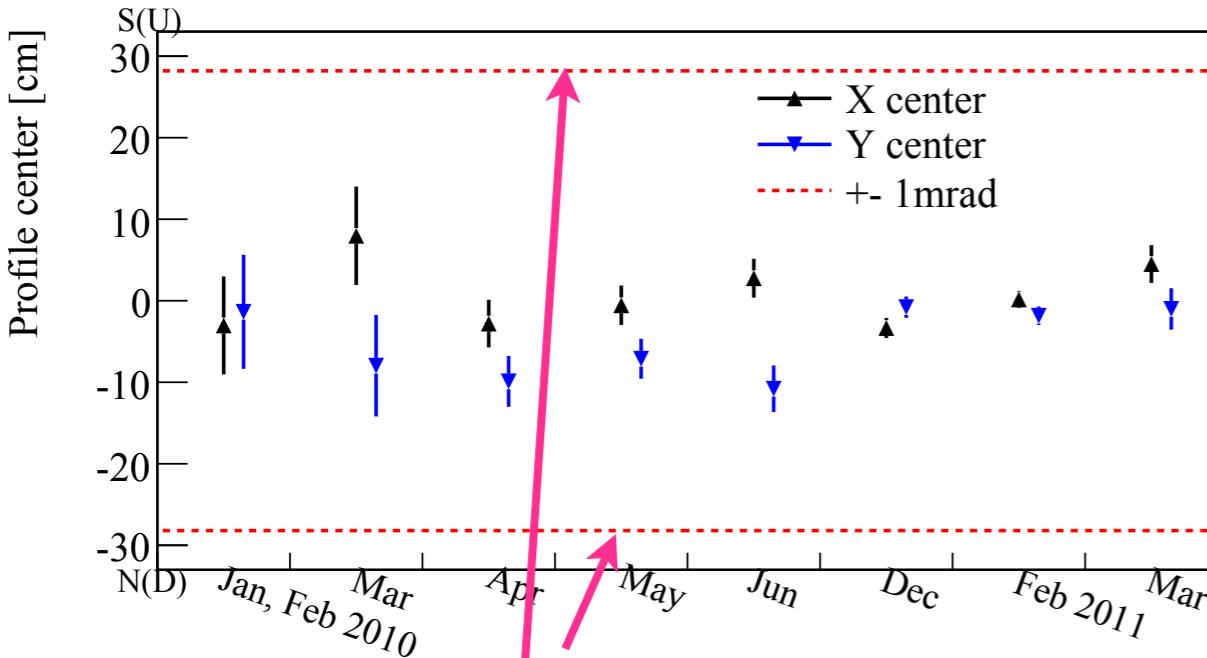
Monitor beam direction and intensity



v beam stability

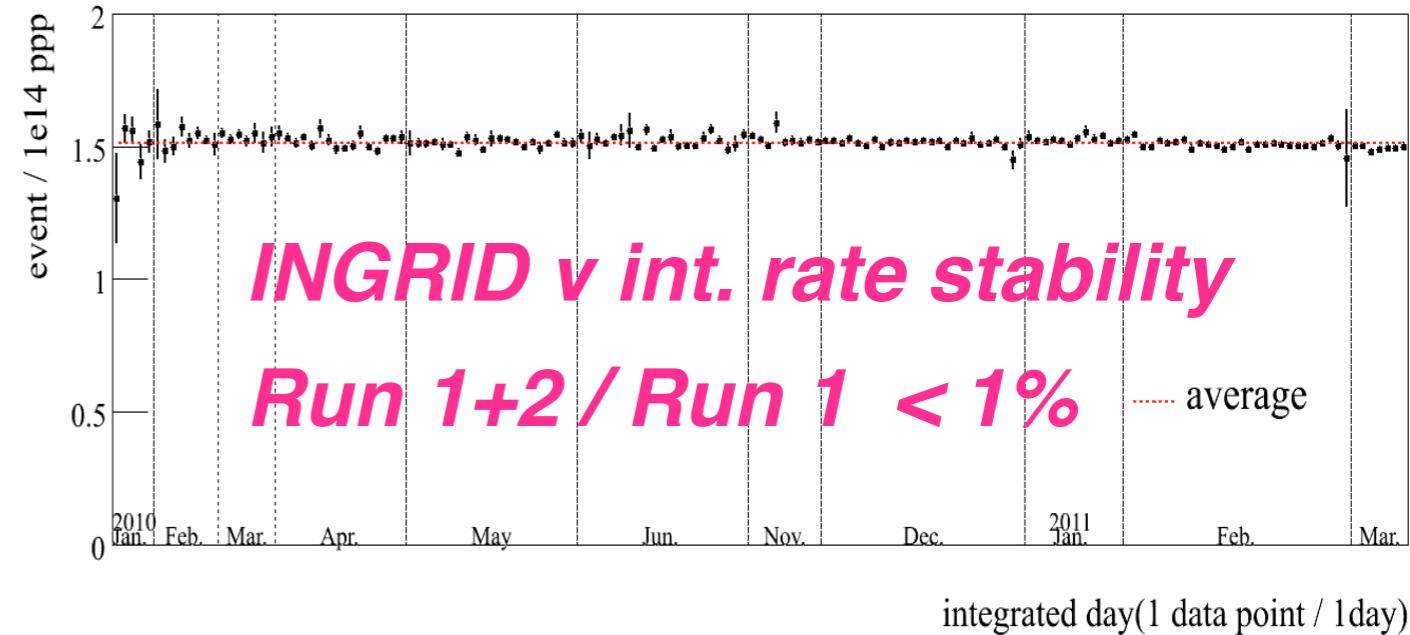
Stability of beam direction should be <1mrad
(to keep the peak energy at SK stable $\delta E < 2\%$)

Stability of v beam direction (INGRID)



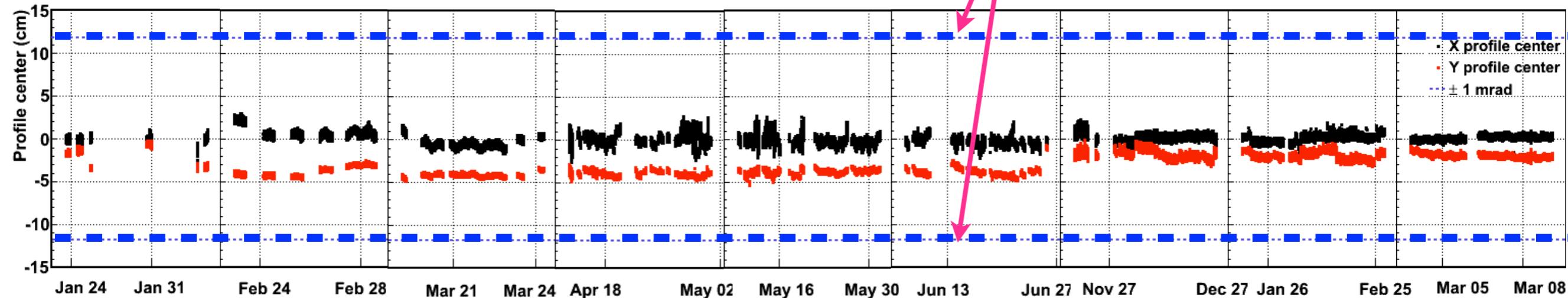
v beam dir. stability < 1mrad

Stability of v interaction rate normalized by # of protons (INGRID)



integrated day(1 data point / 1day)

Stability of beam direction (Muon monitor)

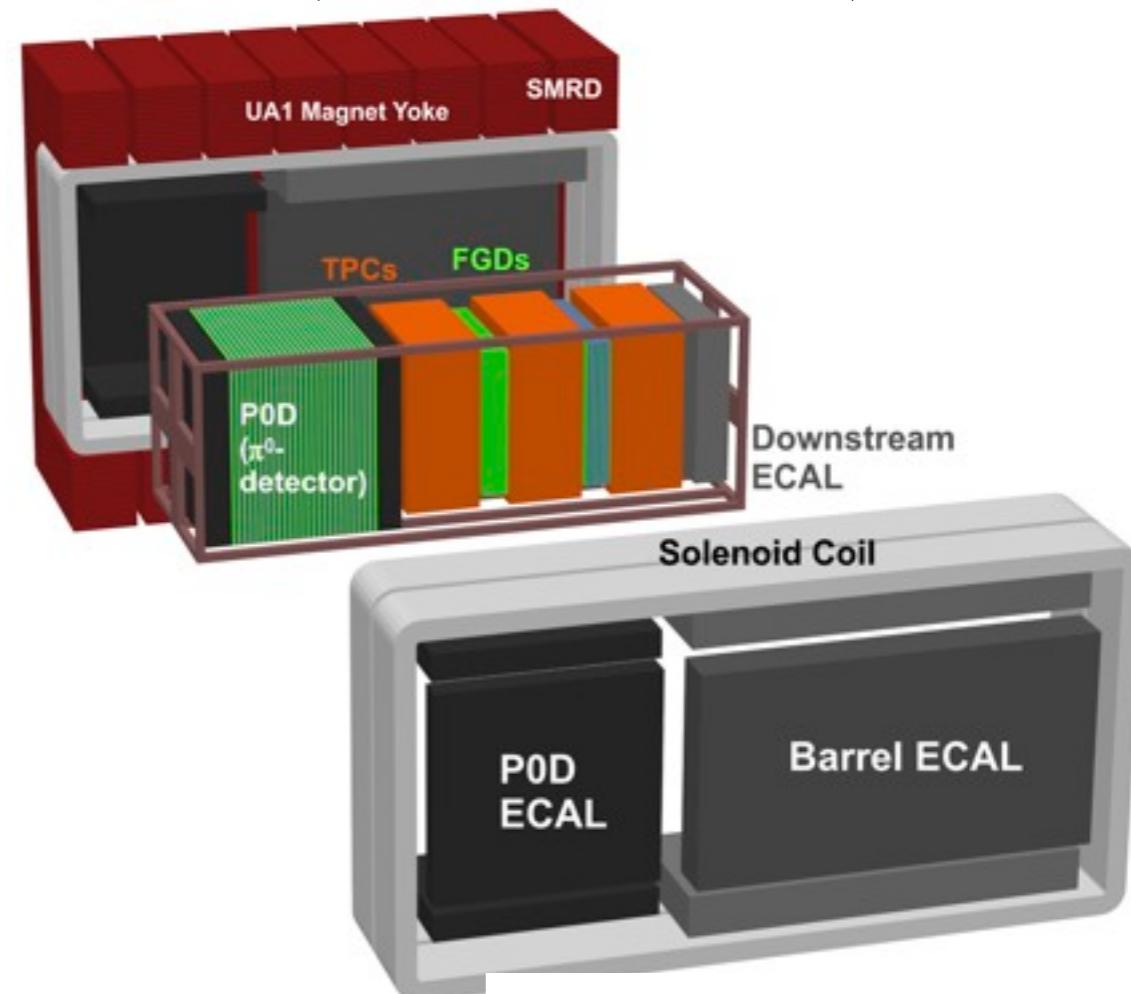
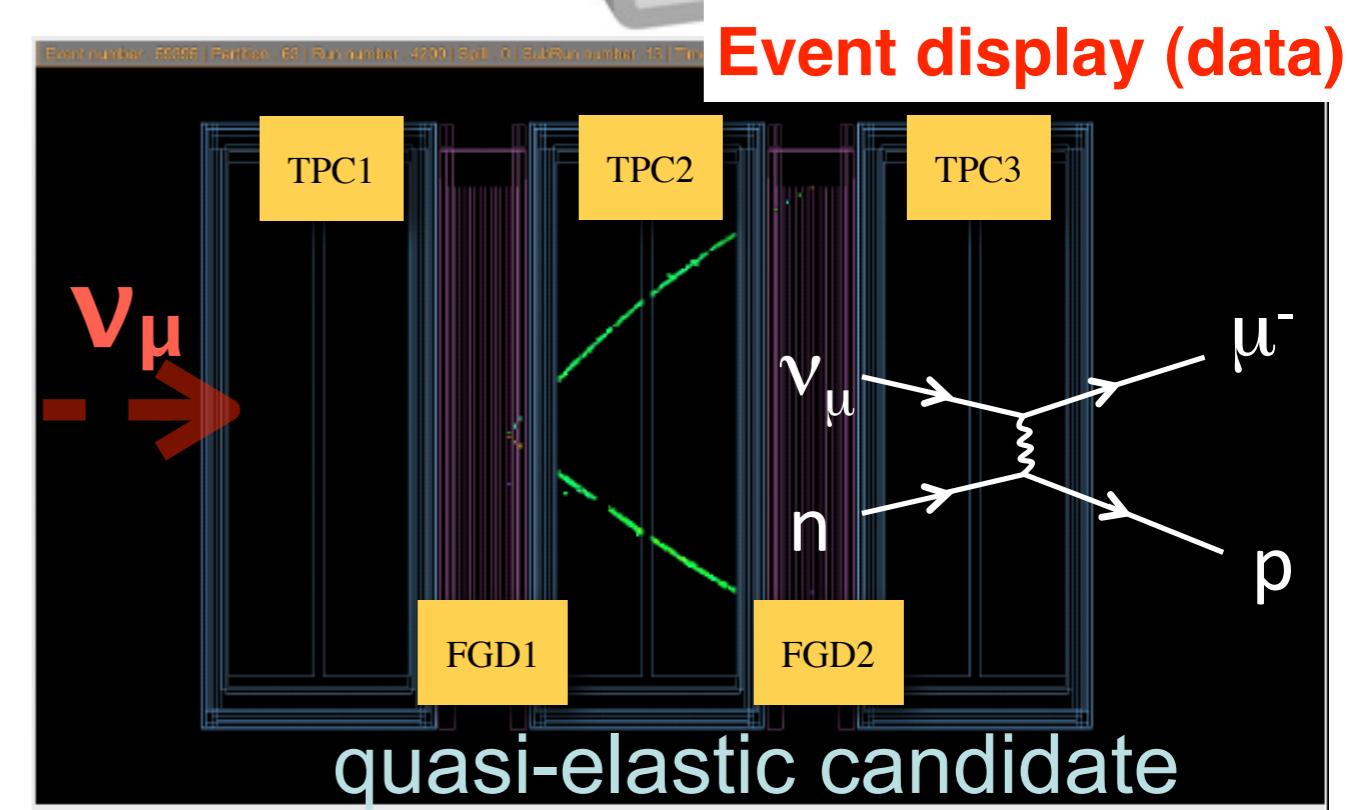
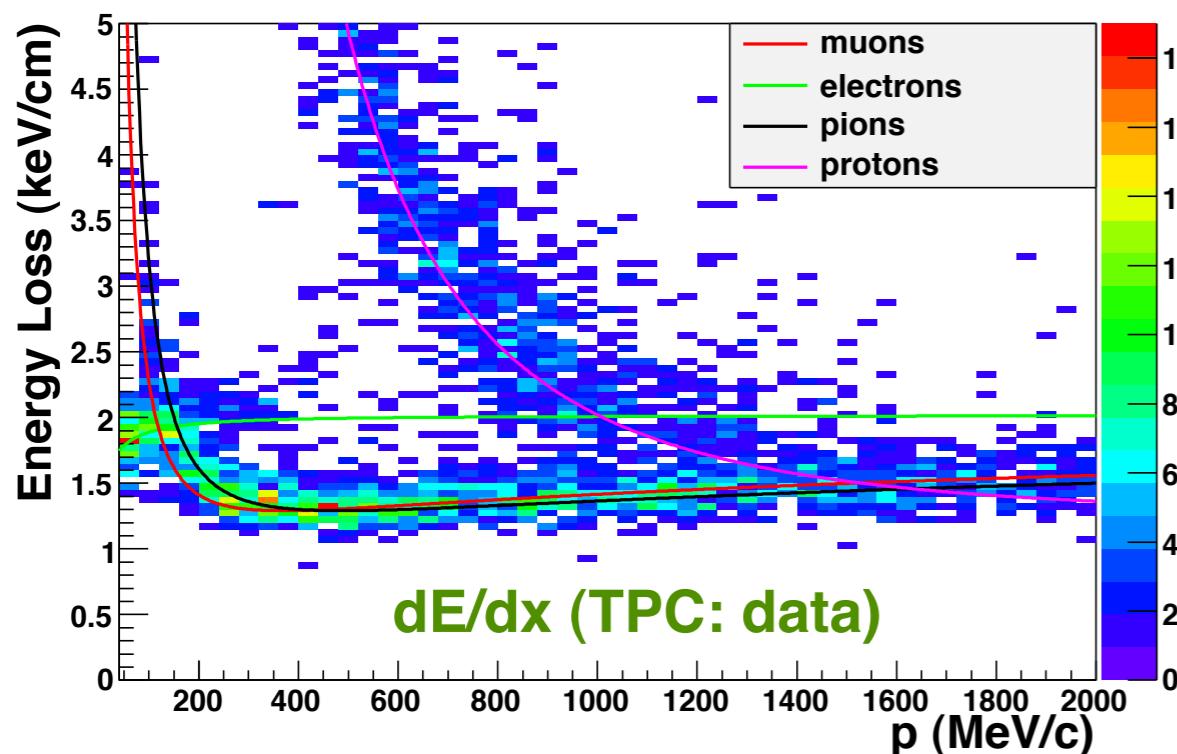


Beam dir. stability < 1mrad

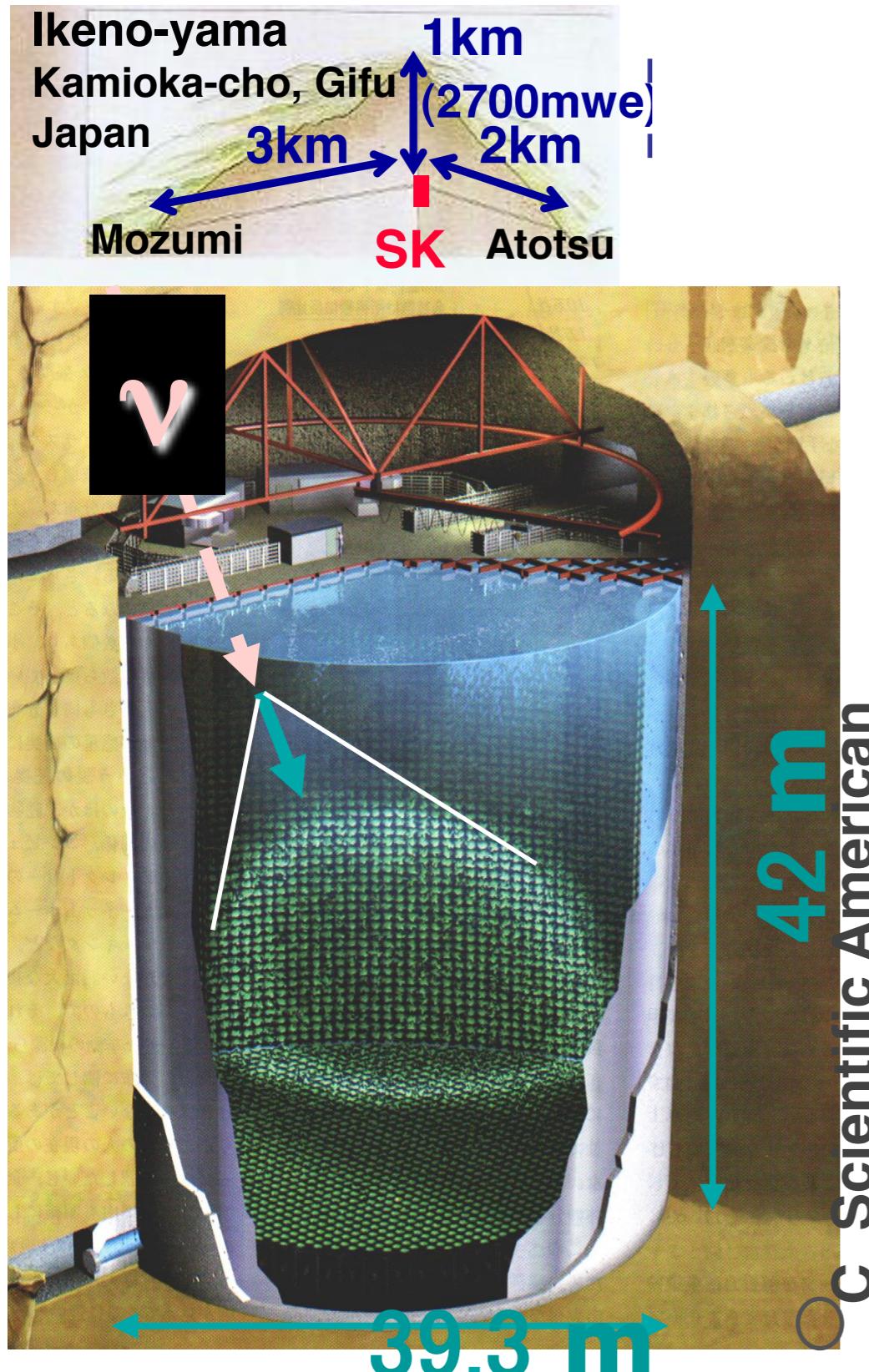
Off-axis Near Detector (ND280)

- 0.2 T UA1 magnet
- Fine Grained Detector (FGD)
 - scintillator bars target (water target in FGD2)
 - 1.6ton fiducial mass for analysis
- Time Projection Chambers (TPC)
 - better than 10% dE/dx resolution
 - 10% momentum resolution at 1GeV/c

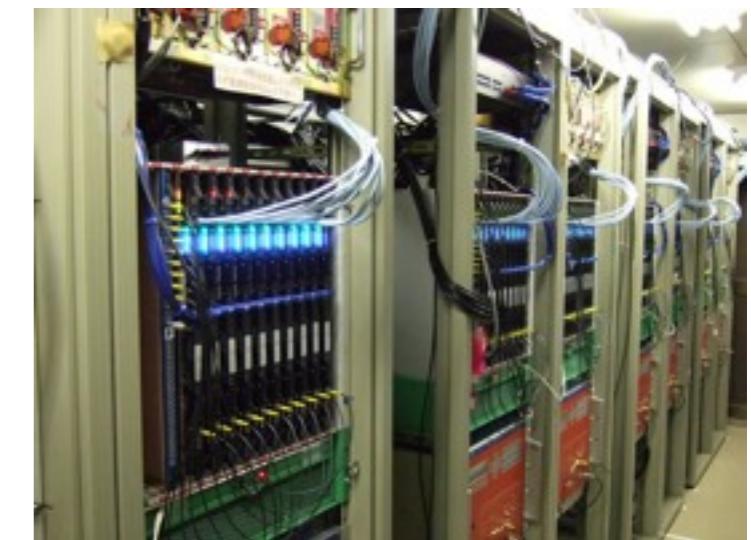
ν_μ CC events rate measurement
in present analysis



Far detector (Super-K)

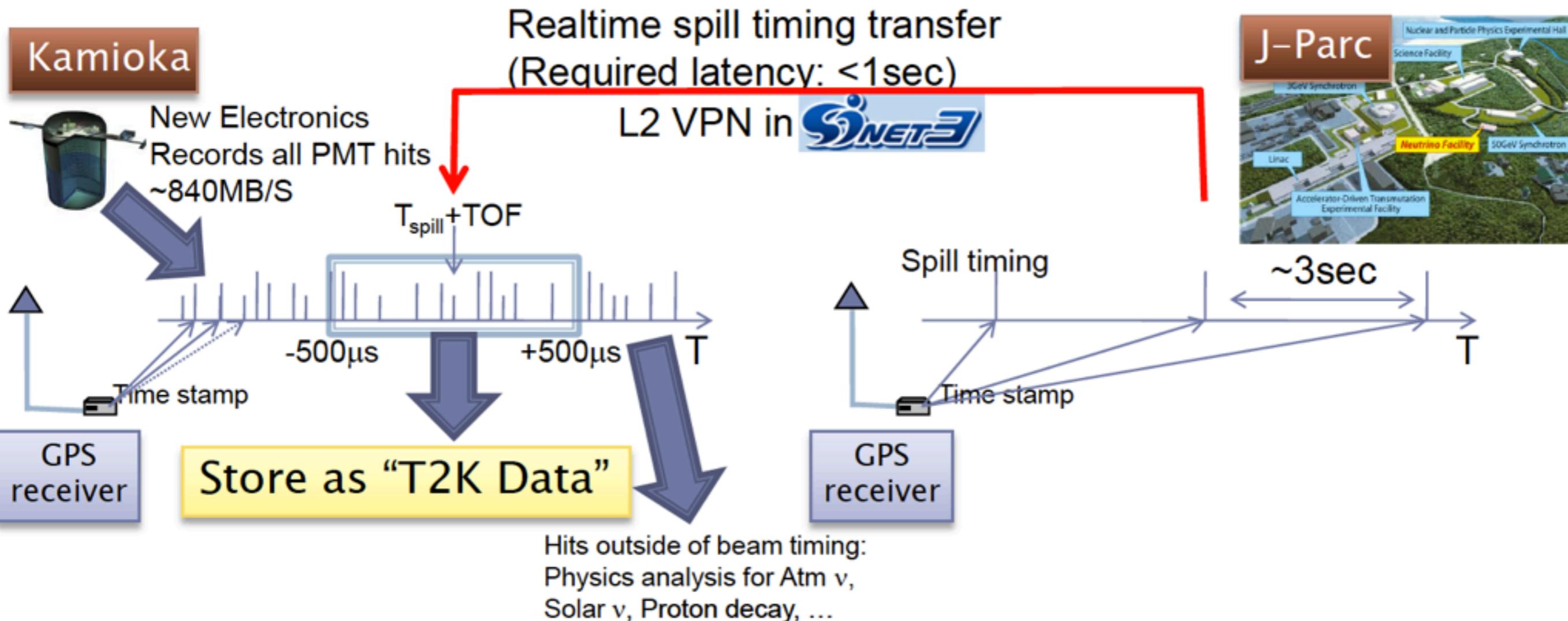


- Water Cherenkov detector w/ fiducial volume 22.5kton (Total 50kton)
- Phase IV w/ Dead-time less DAQ system since September 2008
- T2K event trigger by accelerator beam timing
- atmospheric ν samples as control samples to study detector performance.



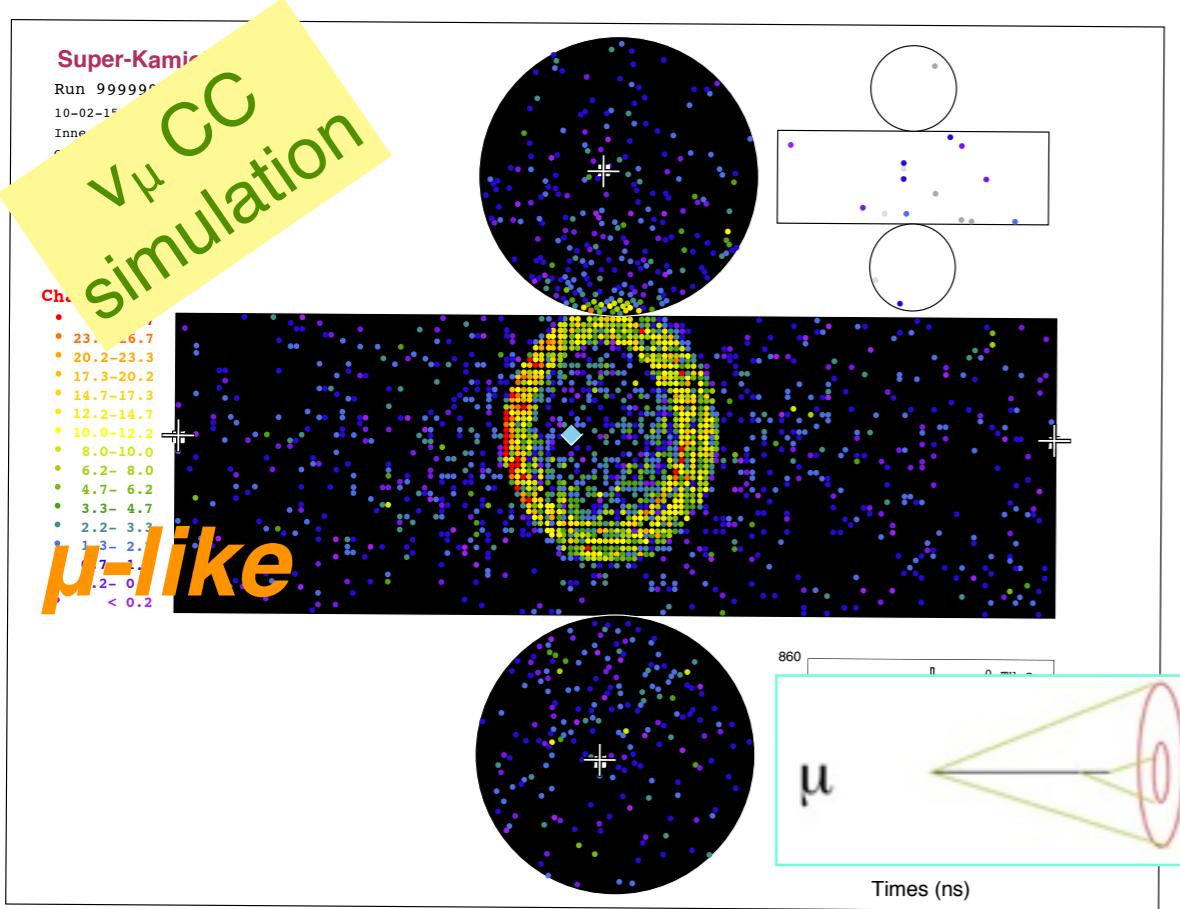
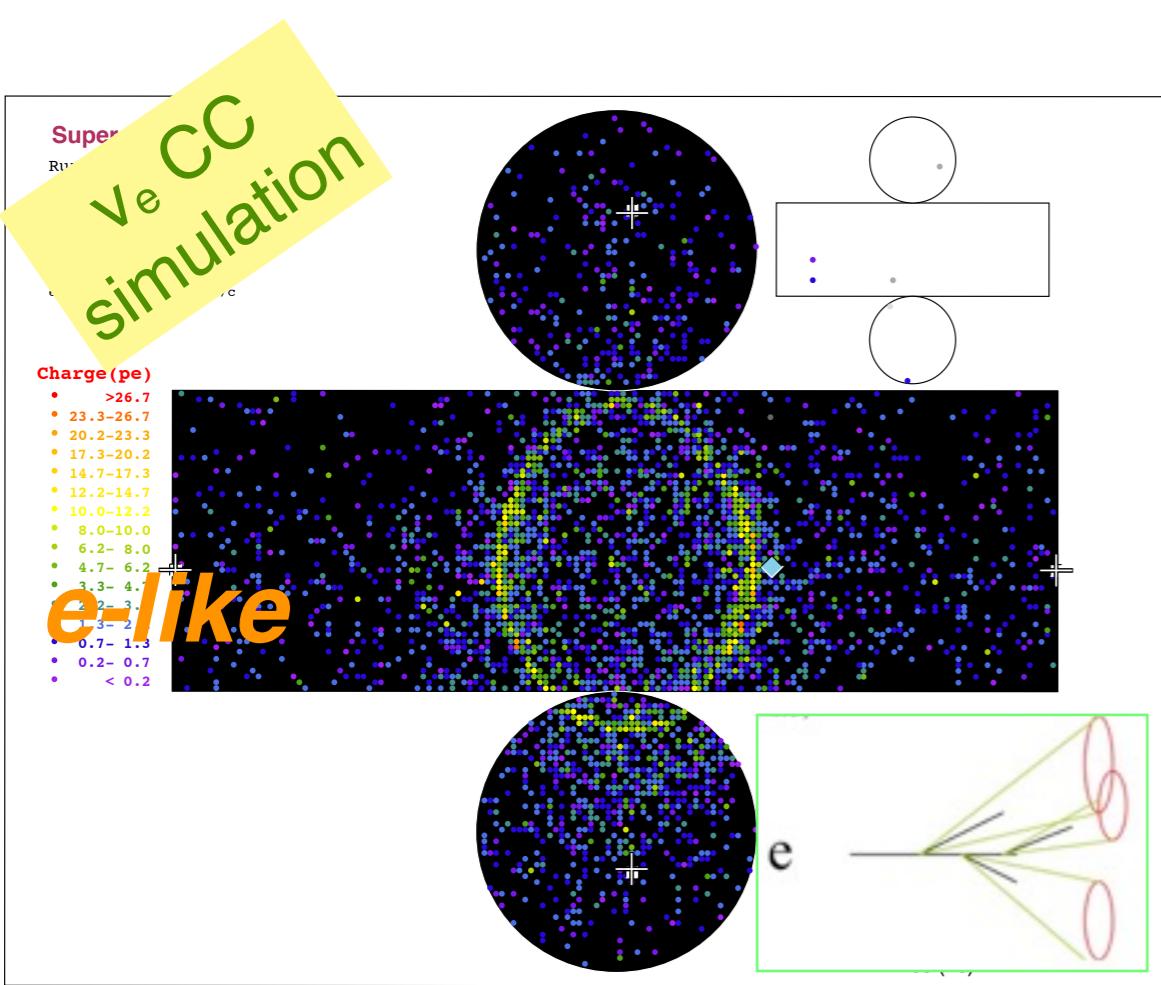
11,129 x 20inch PMTs (inner detector, ID)

GPS Timing Synchronization and Beam Event Selection

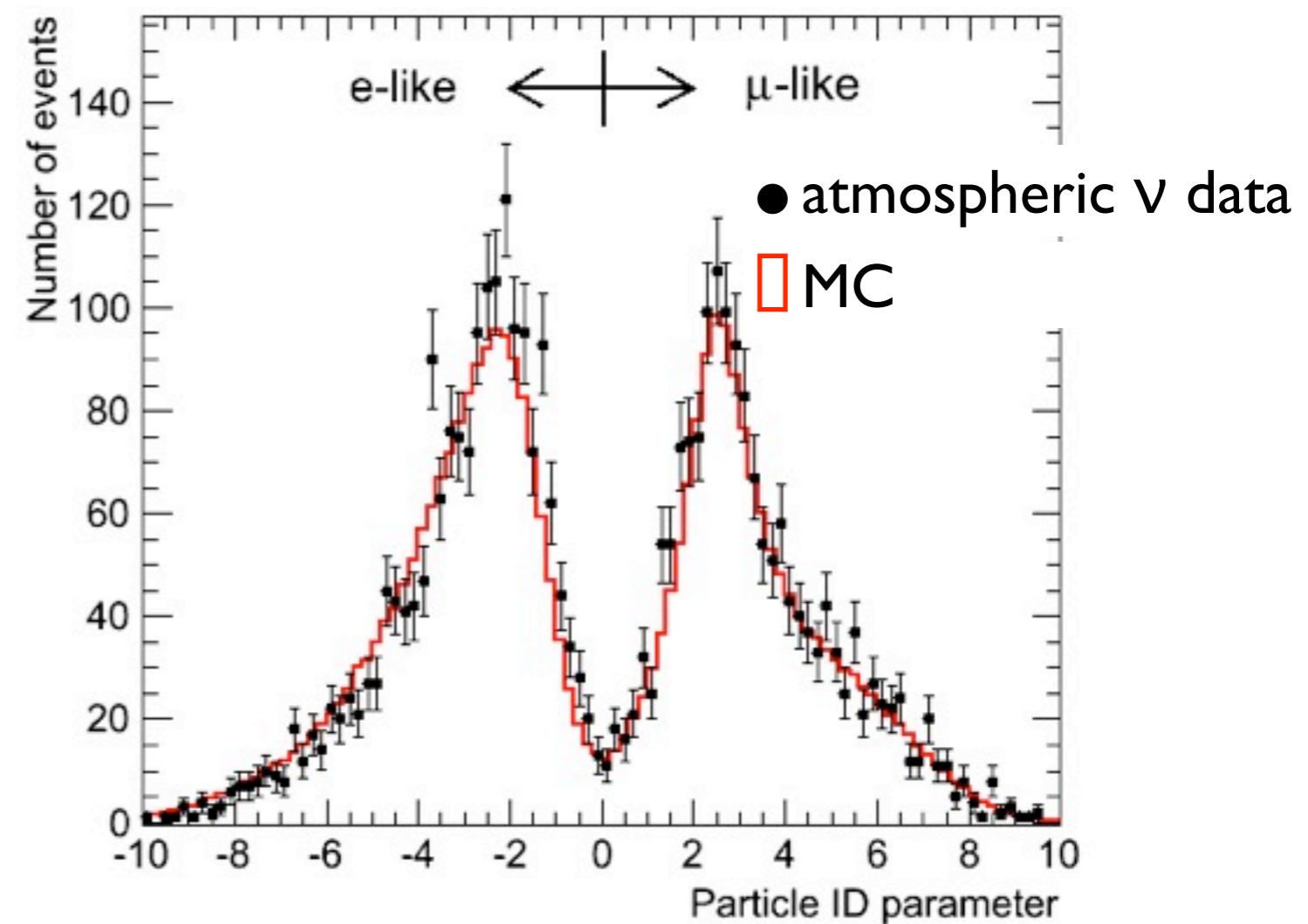


- “REALTIME” beam event selection has been applied.
- GPS Timing Accuracy < 150ns

Electron-like and muon-like event at SK



Particle identification using ring shape & opening angle



Probability that μ is mis-identified as electron is $\sim 1\%$

Search for ν_e appearance

Analysis overview

1. Apply ν_e selection criteria to the events at far detector (SK)
2. Compare # of observed events and # of expected events
→ search for ν_e appearance

Contents in this section

- ❖ ν_e selection
- ❖ The expected number of events at Far detector
- ❖ Systematic uncertainty
- ❖ Results

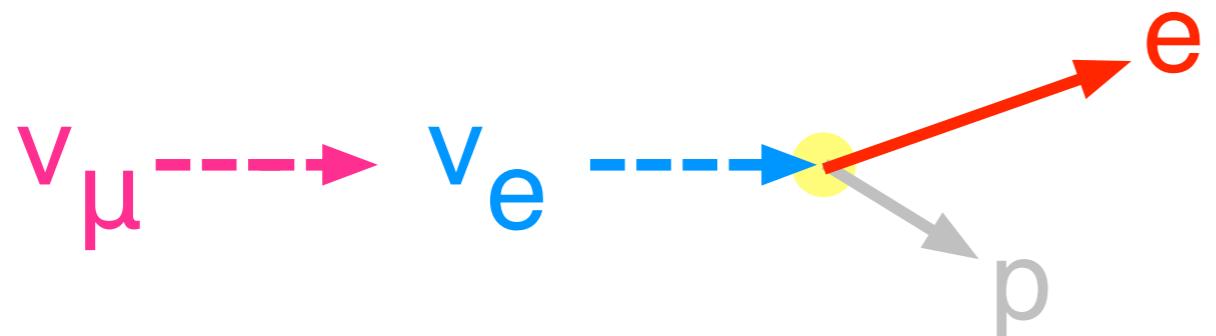
♣ ν_e selection

- ♣ The expected number of events at Far detector
- ♣ Systematic uncertainty
- ♣ Results

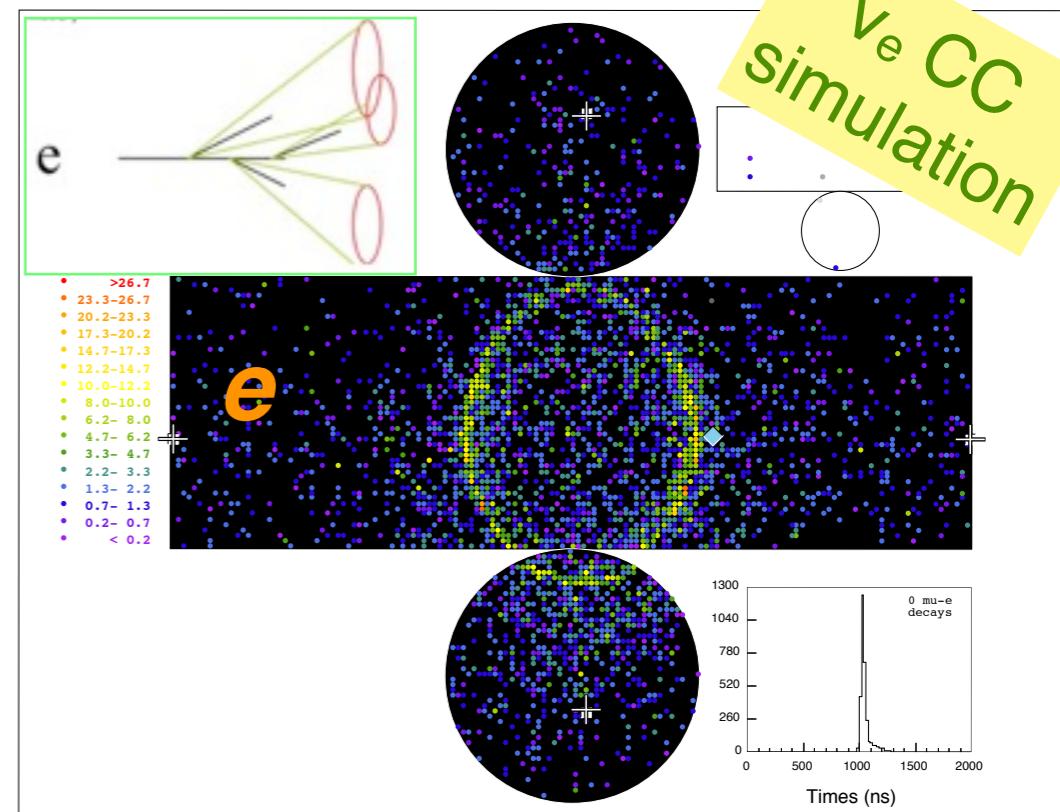
T2K Signal & Background for ν_e appearance

- Signal = **single electron event**

- oscillated ν_e interaction :

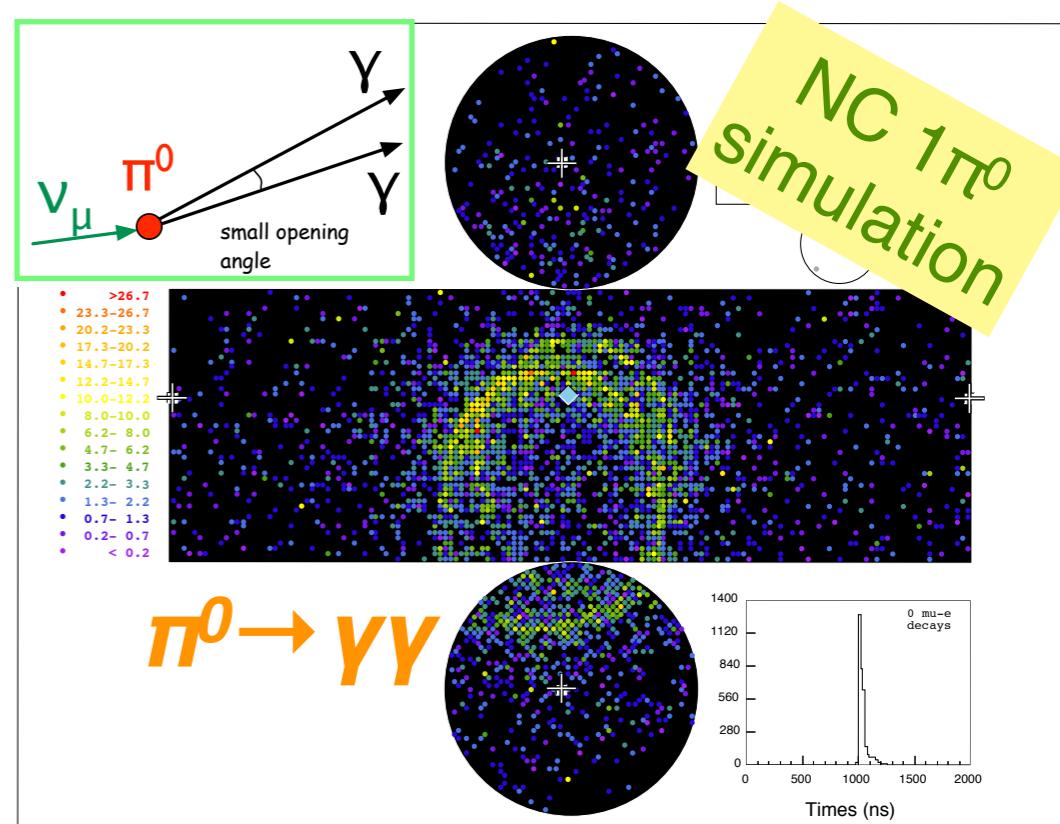


CCQE : $\nu_e + n \rightarrow e + p$
(dominant process at T2K beam energy)



- Background

- π^0 from NC interaction
- intrinsic ν_e in the beam (from μ , K decays)



ν_e selection at far detector (SK)

The selection criteria were optimized for initial running condition

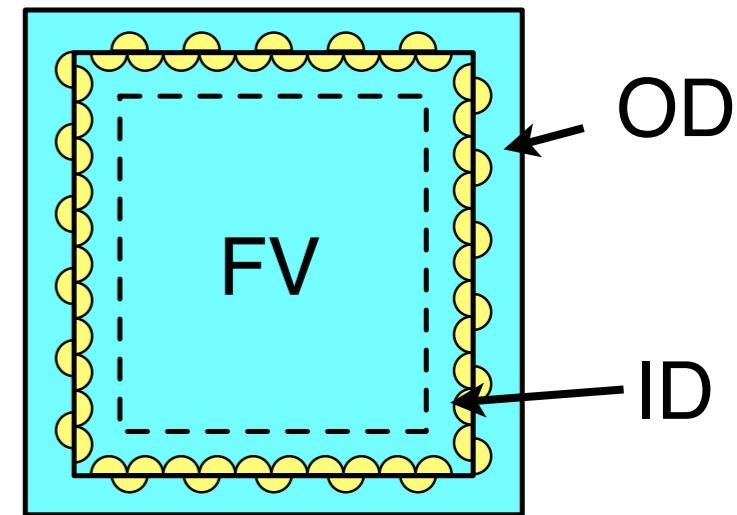
The selection criteria were fixed before data taking started to avoid bias

7 selection cuts

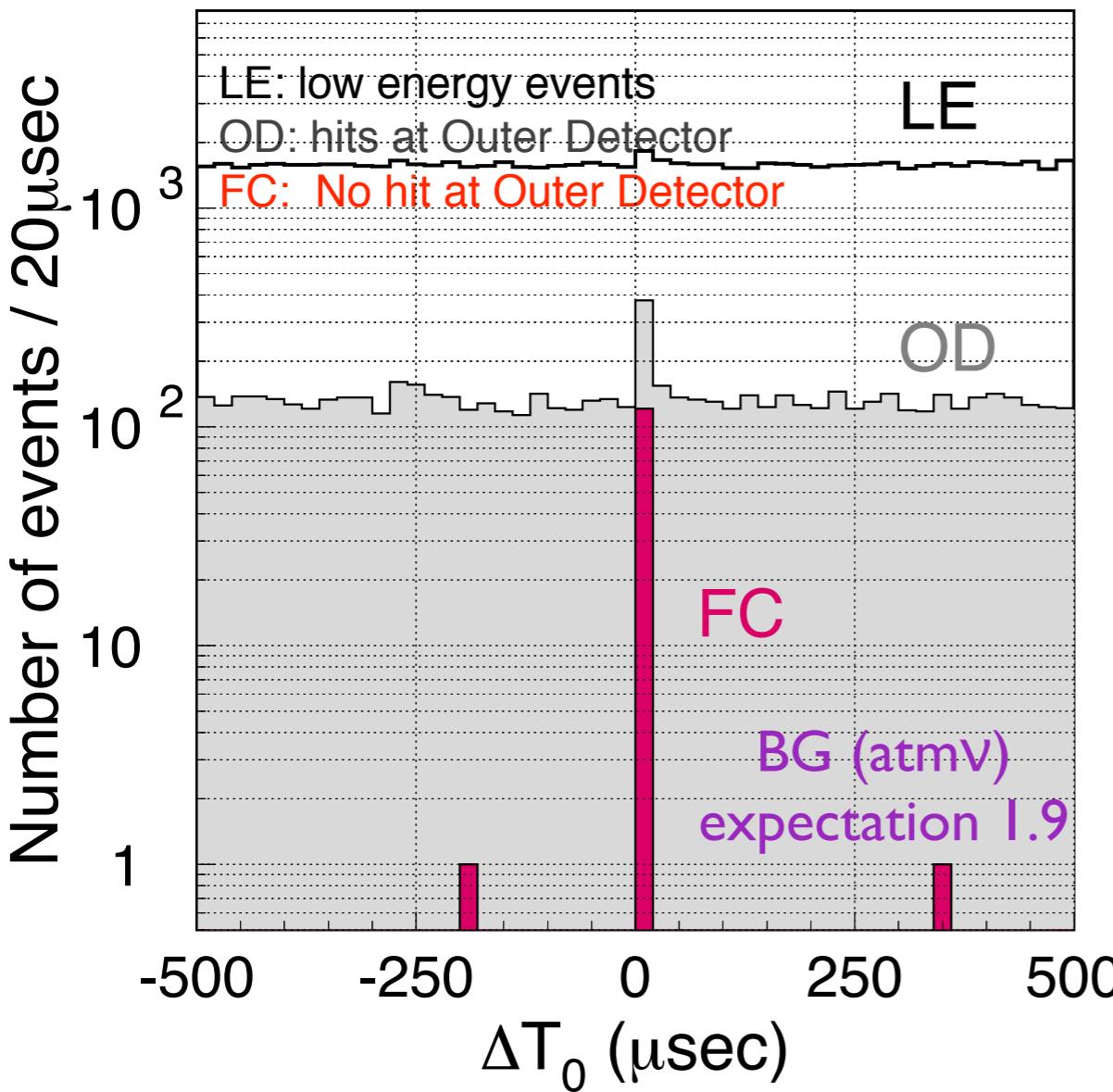
1. T2K beam timing & Fully contained (FC)
(synchronized the beam timing, no activities in the OD)
2. In fiducial volume (FV)
(distance btw recon. vertex and wall > 200 cm)
3. Single electron
(# of ring is one & e-like)
4. Visible energy > 100 MeV
5. No decay electron observed
(no delayed electron signal)
6. Reconstructed invariant mass (M_{inv}) < 105 MeV/c²
7. Reconstructed neutrino energy (E_{rec}) < 1250 MeV

1. Beam timing and FC cut

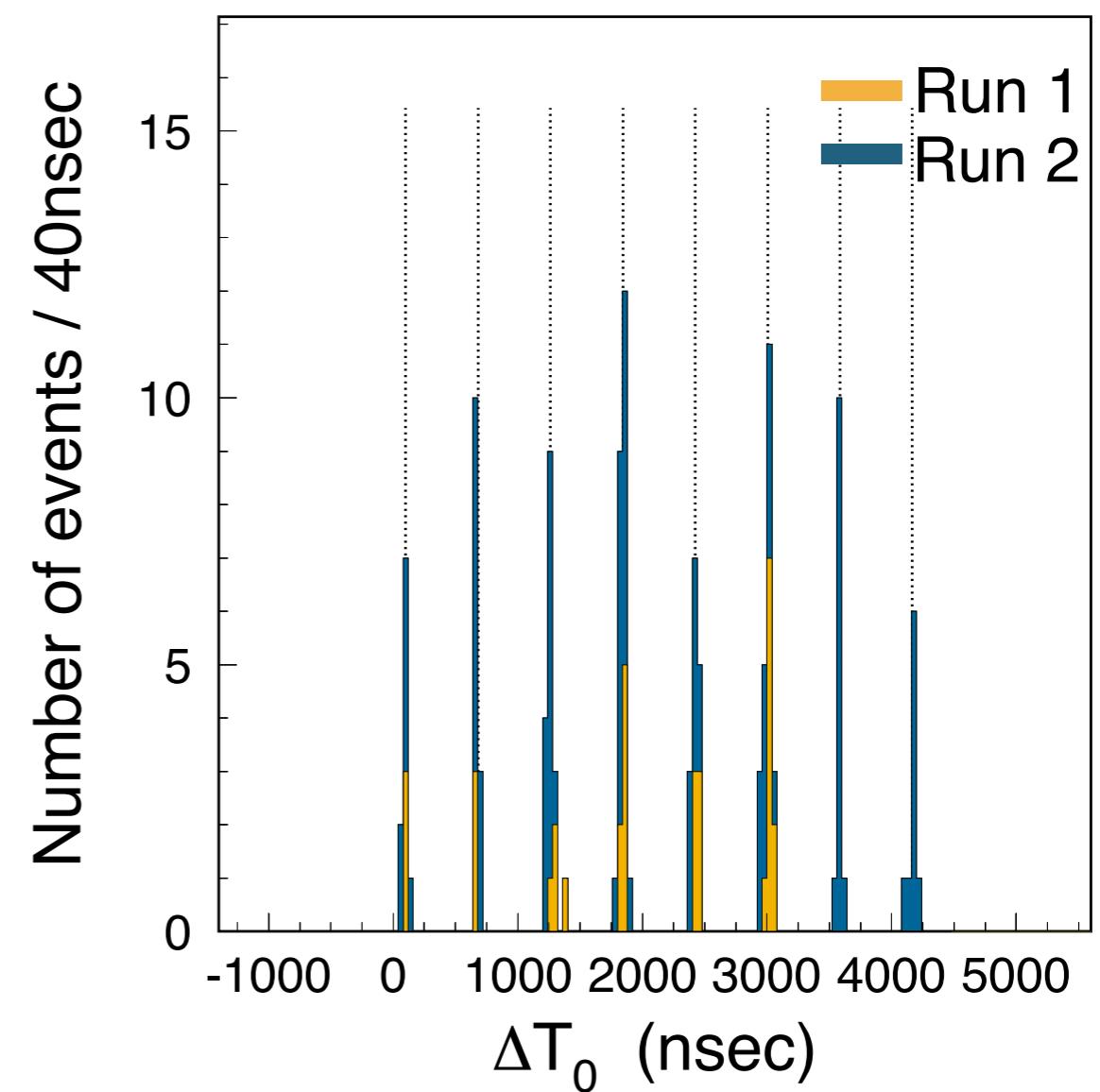
- Events in the T2K beam timing synchronized by GPS



relative event timing to the spill timing

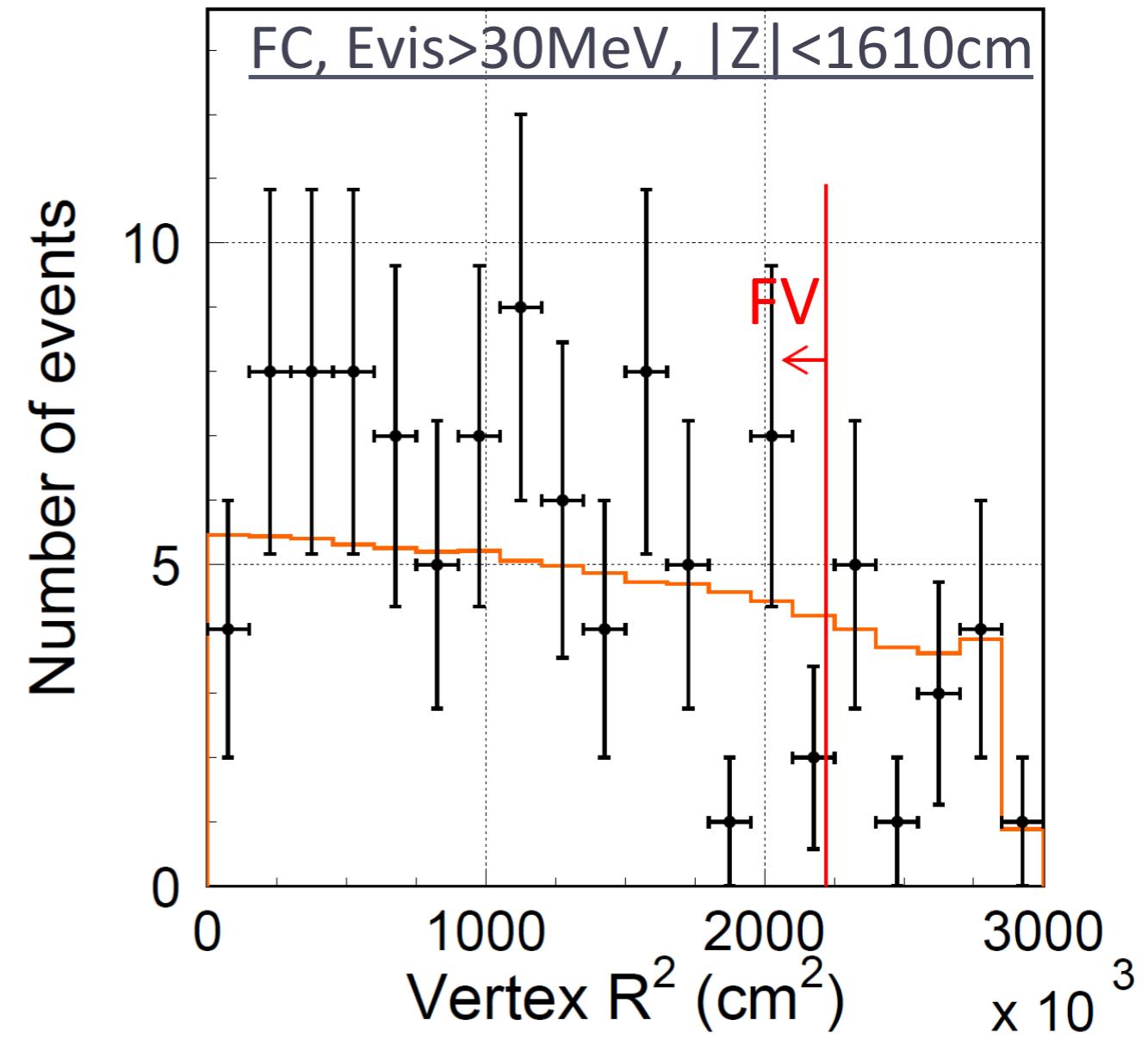
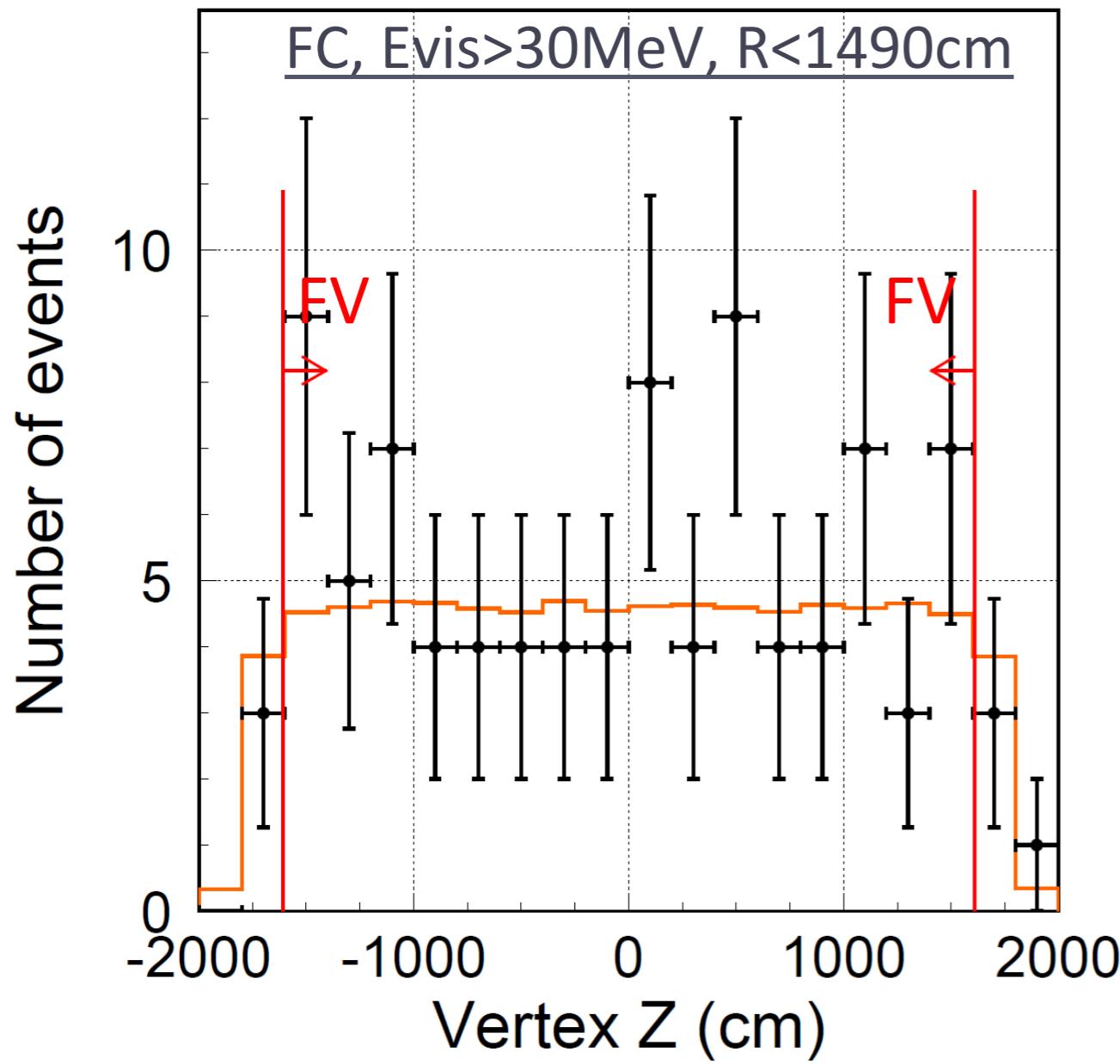
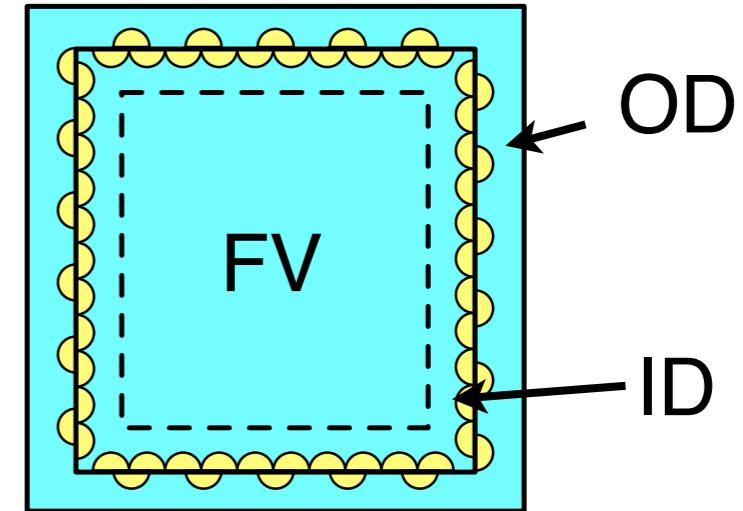


Clear beam structure !

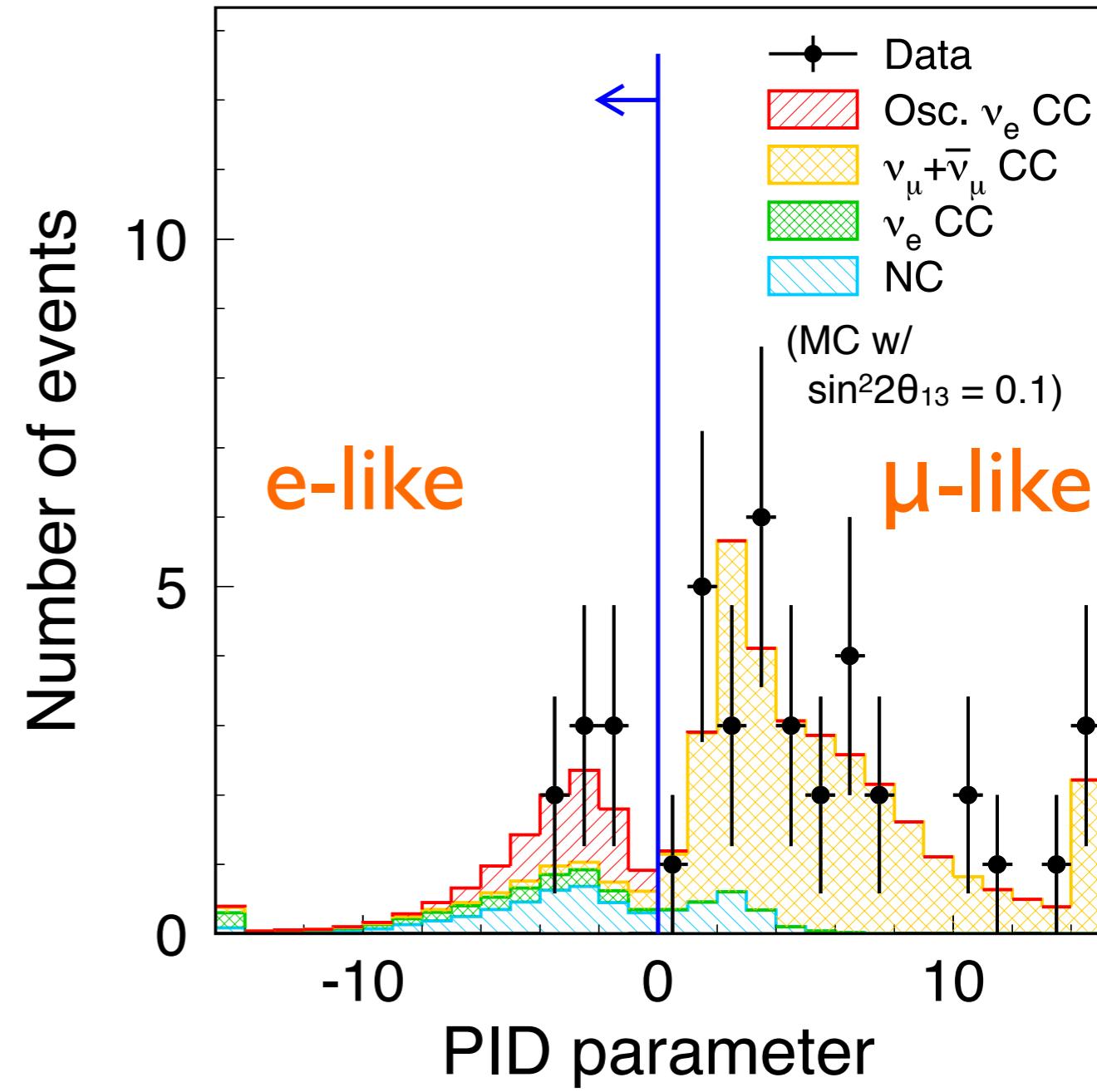
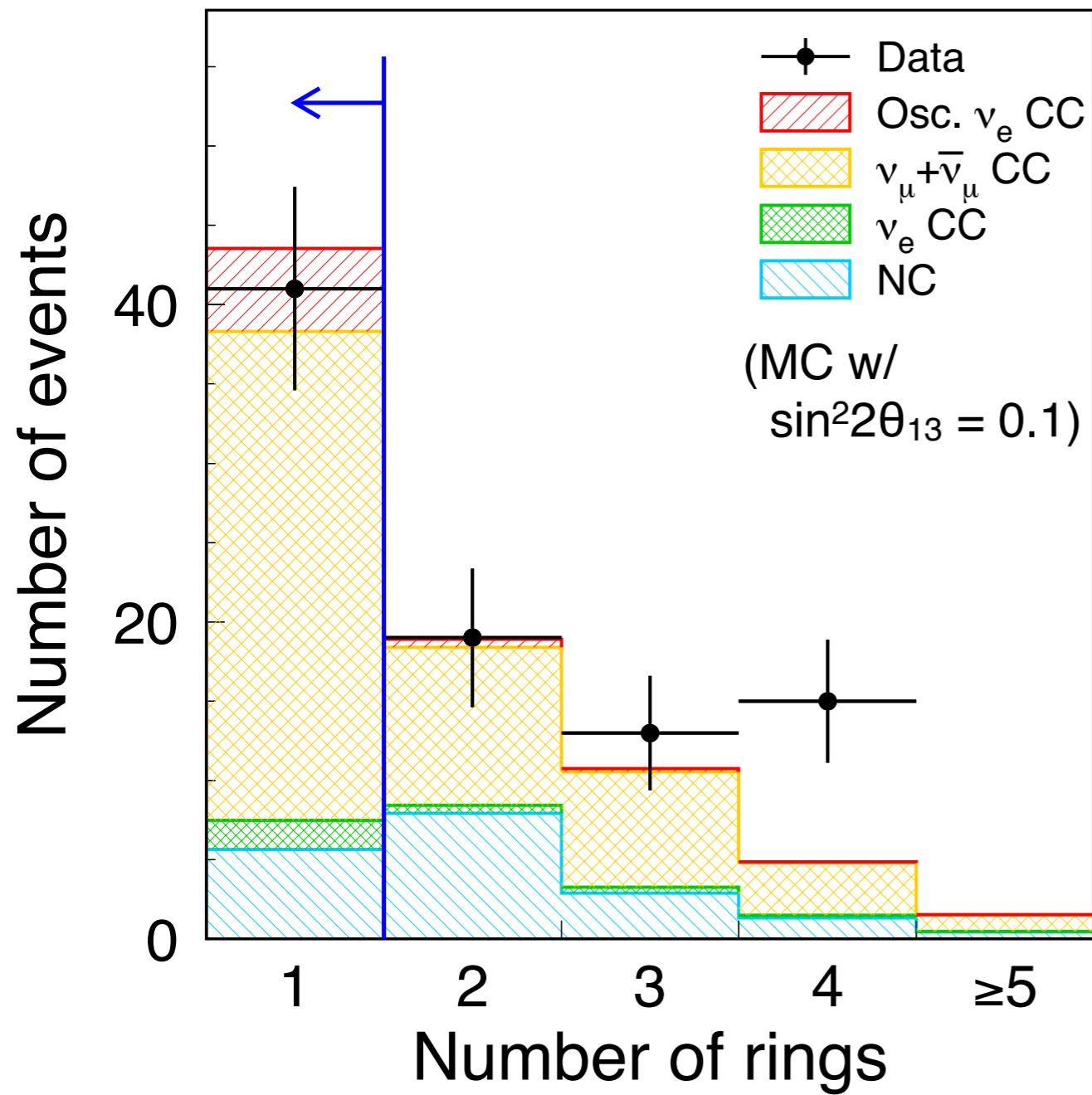


$$\Delta T_0 = T_{\text{GPS}} @ \text{SK} - T_{\text{GPS}} @ \text{J-PARC} - \text{TOF} (\sim 985 \mu\text{sec})$$

2. Fiducial volume cut (distance between recon. vertex and wall > 200cm)



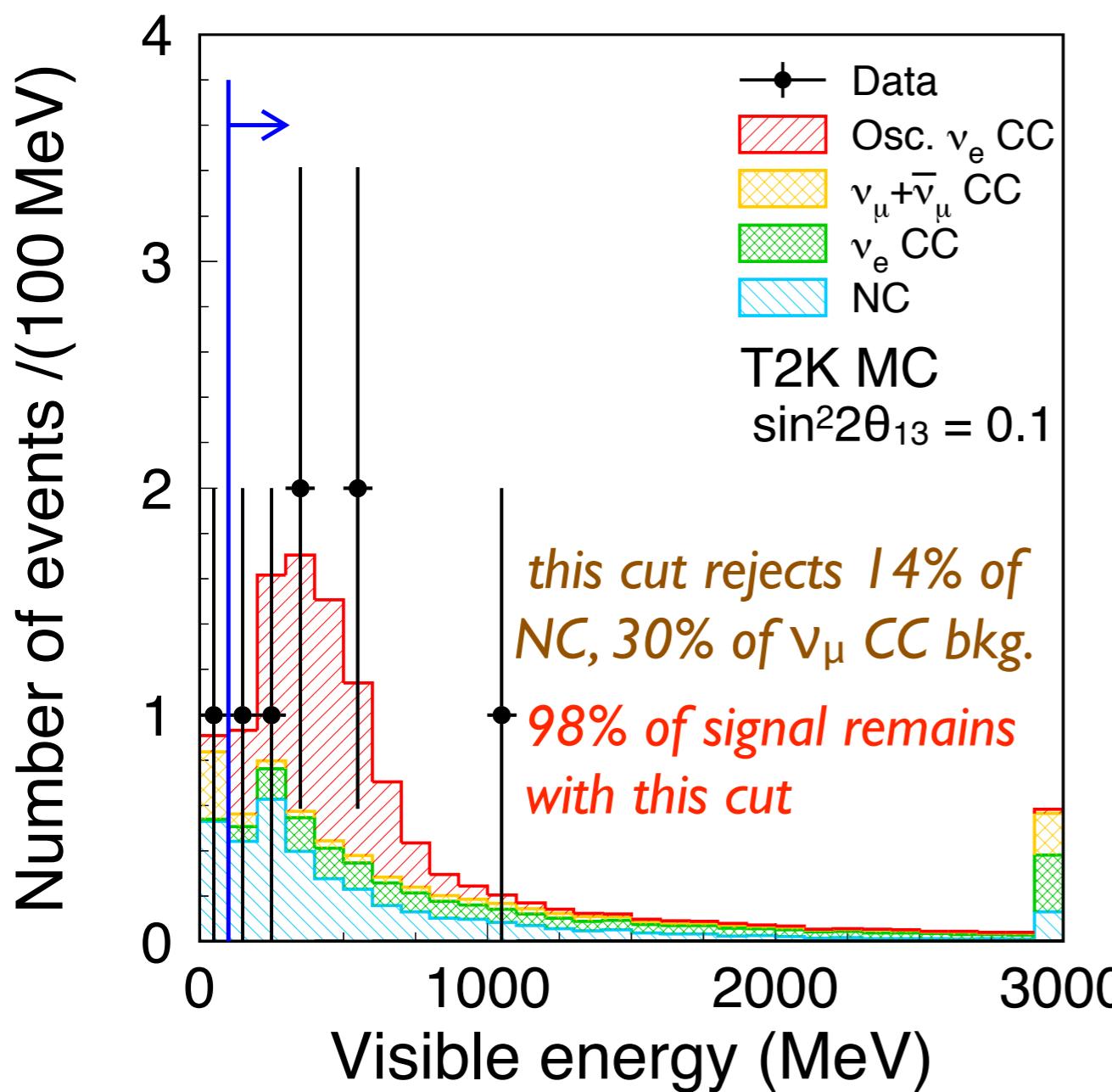
3. Single electron cut (# of ring is one & e-like)



4. Visible energy > 100 MeV

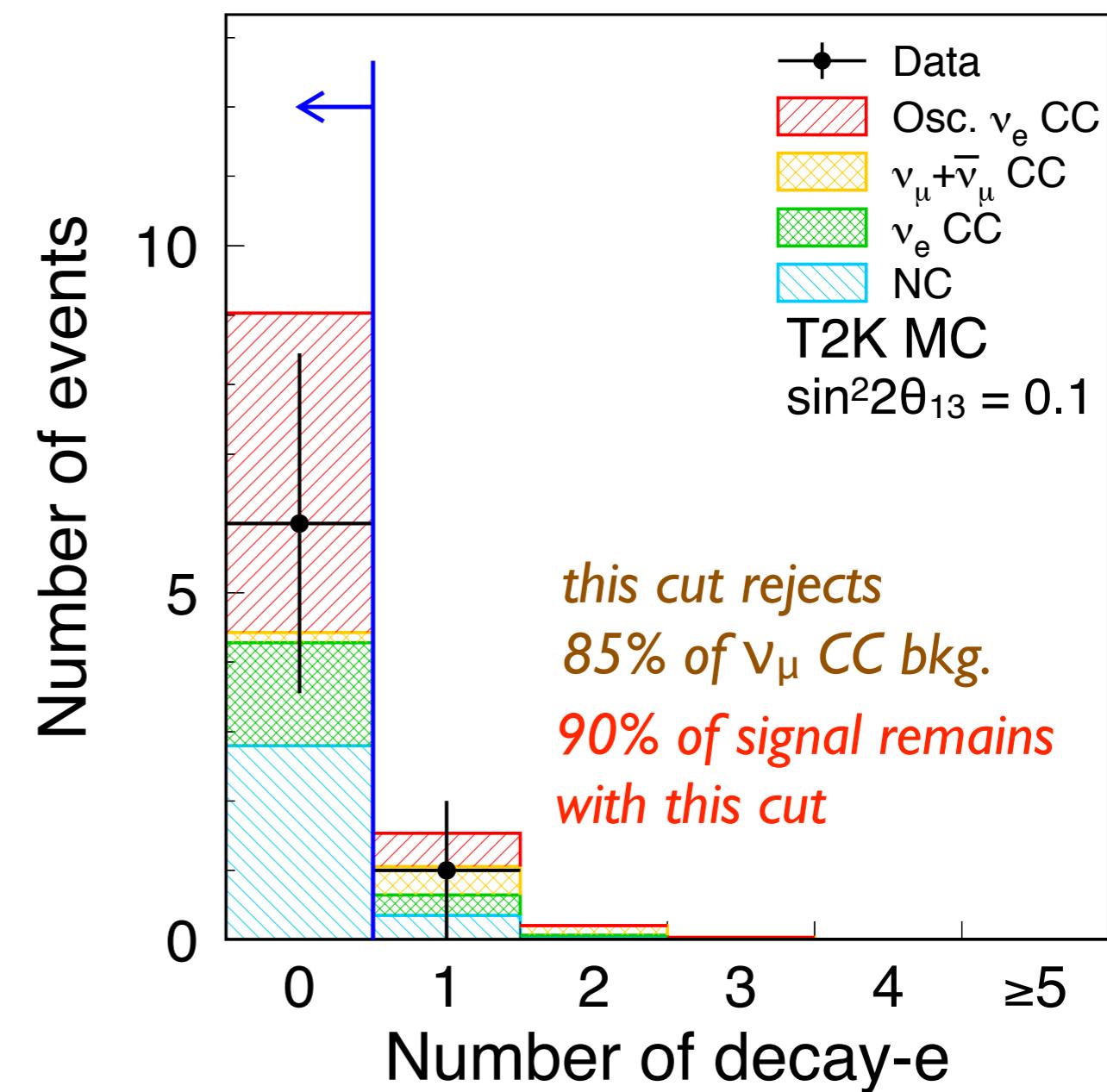
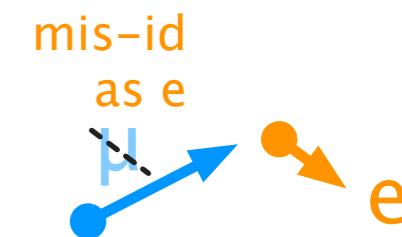
(visible energy = electron-equivalent energy deposited in ID)

- * Reject low energy events, such as NC background and decay electrons from invisible muon decays



5. No decay electron observed (no delayed electron signal)

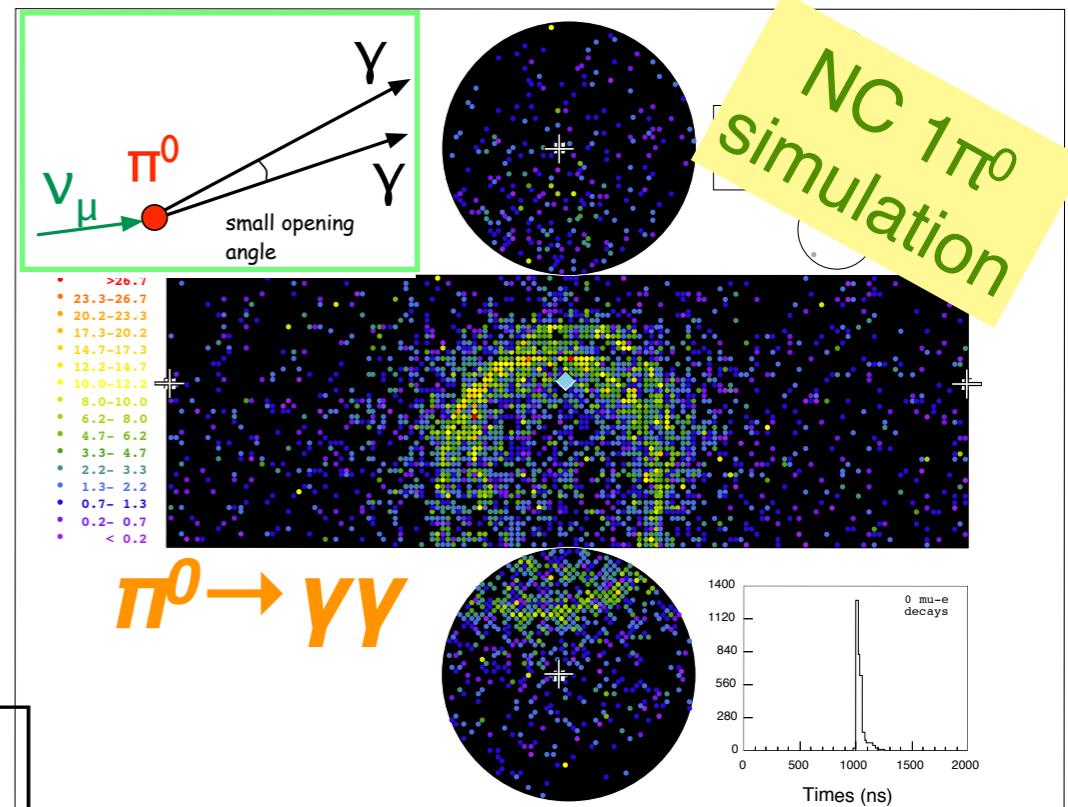
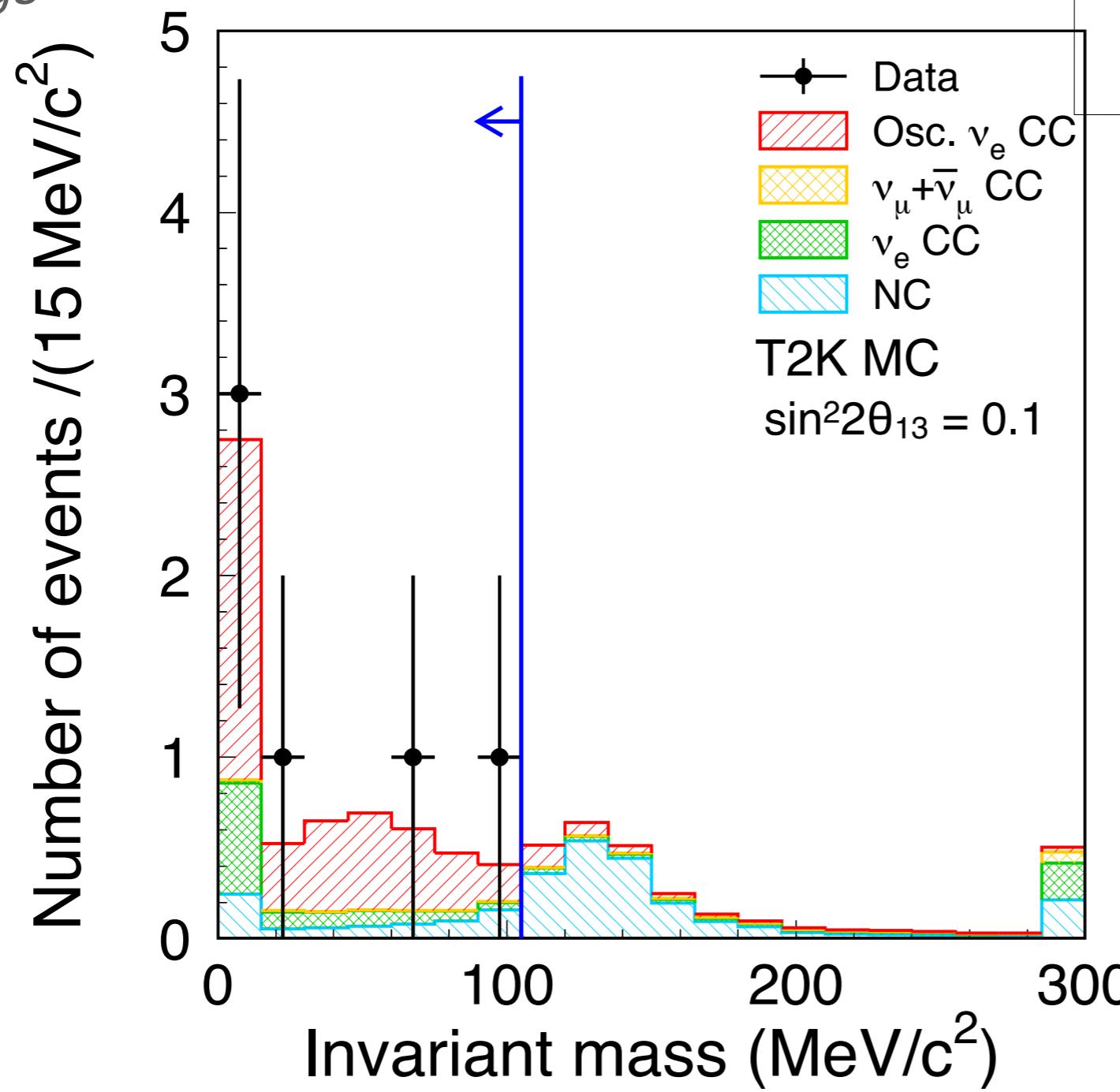
- * Reject events with muons or pions which are invisible or mis-identified as *electron* (ν_μ events or CC non-QE events)



6. Reconstructed invariant mass (M_{inv}) < 105 MeV/c²

* Suppress NC π^0 background

Forced to find 2nd ring by using expected light pattern under the 2 e-like rings assumption, and then reconstruct invariant mass of these 2 e-like rings

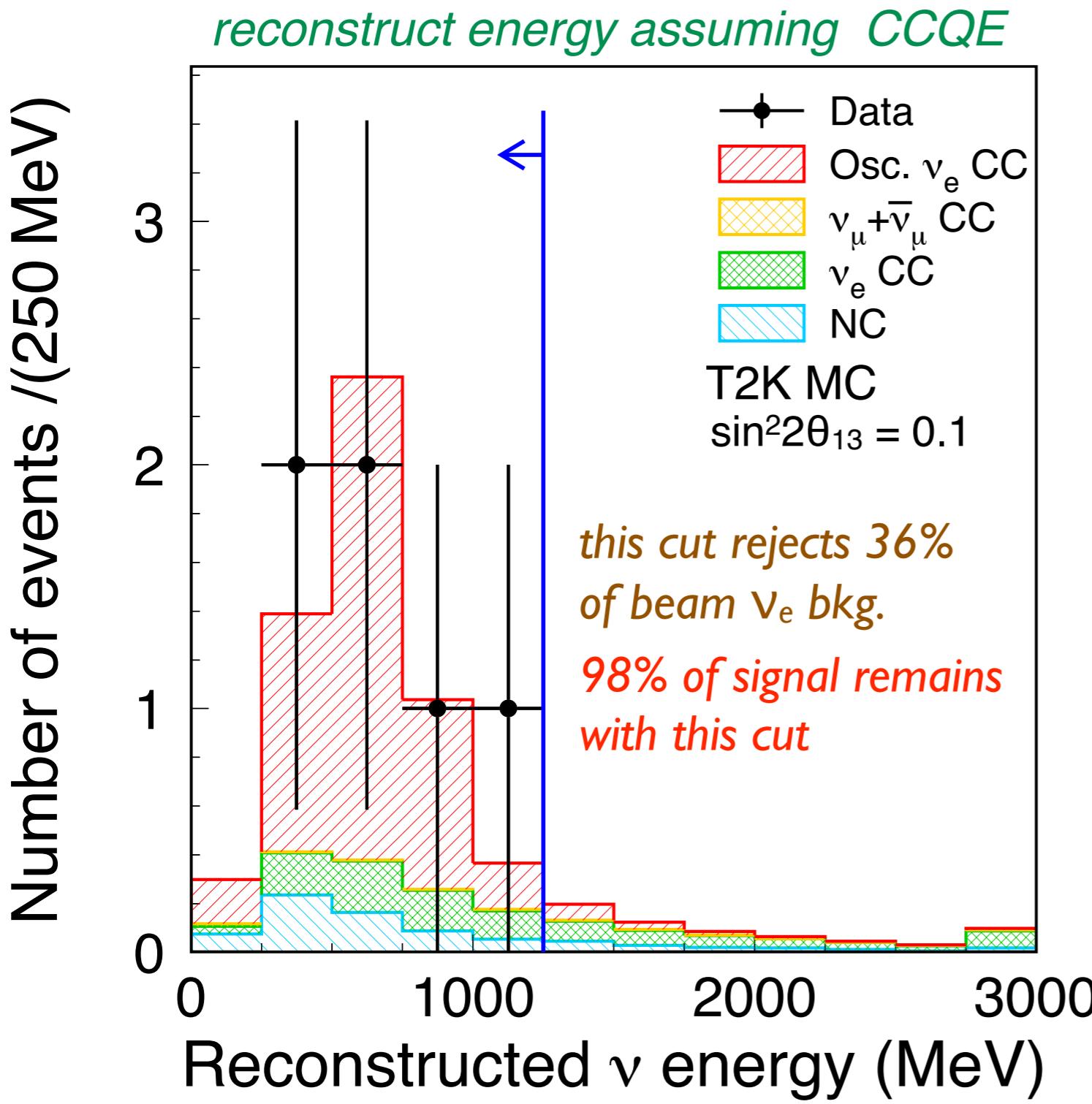
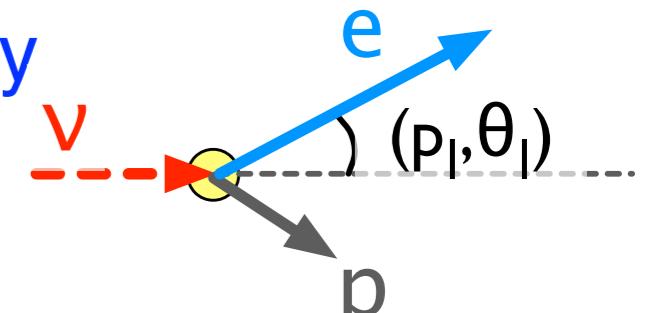


this cut rejects 71% of NC background

91% of signal remains with this cut

7. Reconstructed energy (E_{rec}) < 1250 MeV

- * Reject intrinsic beam ν_e backgrounds at high energy
- * Signal ($\nu_\mu \rightarrow \nu_e$) has a sharp peak at $E_\nu \sim 600$ MeV



$$E_{rec} = \frac{m_n E_l - m_l^2/2 - (m_n^2 - m_p^2)/2}{m_n - E_l + p_l \cos \theta_l}$$

(with additional correction for nuclear potential)

After all the selection criteria
background rejection :
>99% for ν_μ CC,
77 % for beam ν_e CC,
99 % for NC
 $\nu\mu \rightarrow \nu e$ CC signal eff. : 66 %

- ❖ ν_e selection
- ❖ The expected number of events at Far detector
- ❖ Systematic uncertainty
- ❖ Results

Expected # of events at Far detector

$$N_{SK}^{exp} = R_{ND}^{\mu, Data} \times \frac{N_{SK}^{MC}}{R_{ND}^{\mu, MC}}$$

ND ν_μ event rate

Measurement of the number of inclusive ν_μ charged-current events in ND per p.o.t. using data collected in Run 1 (2.88×10^{19} p.o.t.)

Stability of the beam event rate is confirmed by INGRID measurement

INGRID v int. rate stability Run 1+2 / Run 1 < 1%

F/N ratio for ν_e signal event

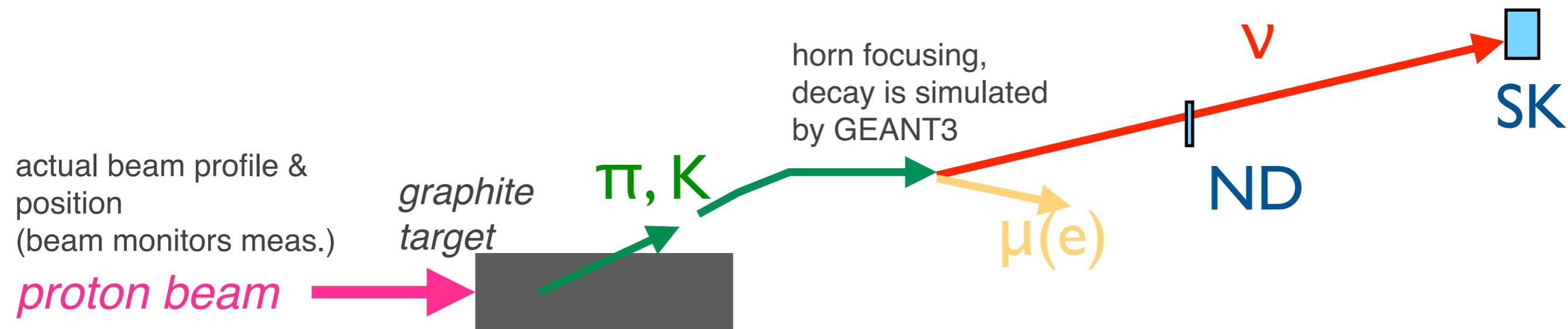
(flux) x (osc. prob.) x (x-section) x (efficiency) x (det. mass)

$$\frac{N_{SK \nu_e sig.}^{MC}}{R_{ND}^{\mu, MC}} = \frac{\int \Phi_{\nu_\mu}^{SK}(E_\nu) \cdot P_{\nu_\mu \rightarrow \nu_e}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{SK}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{ND}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{ND}(E_\nu) dE_\nu} \cdot \frac{M^{SK}}{M^{ND}} \cdot \text{POT}^{SK}$$

Neutrino flux prediction

T2K Neutrino beam simulation based
on Hadron production measurements

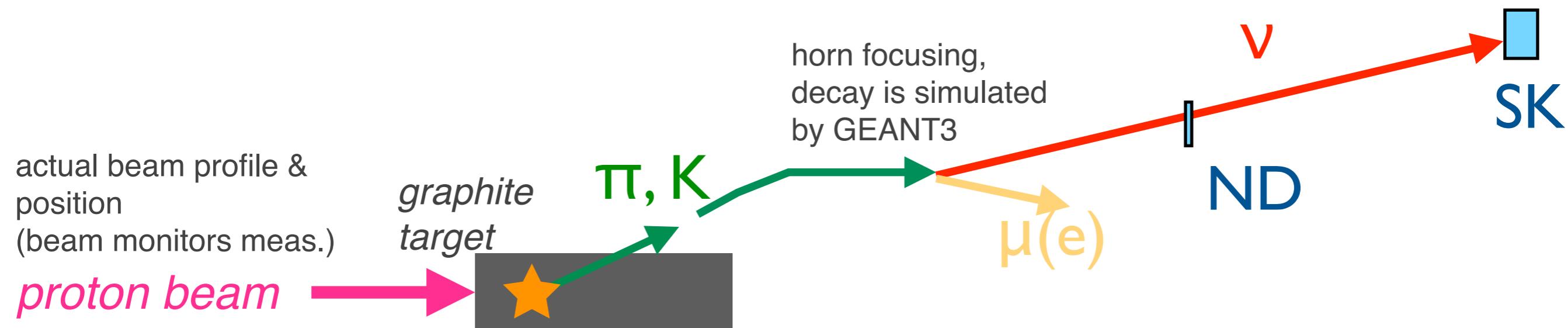
$$\frac{\int \Phi_{\nu_\mu}^{\text{SK}}(E_\nu) \cdot P_{\nu_\mu \rightarrow \nu_e}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{SK}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{\text{ND}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{ND}(E_\nu) dE_\nu}$$



Neutrino flux prediction

T2K Neutrino beam simulation based
on Hadron production measurements

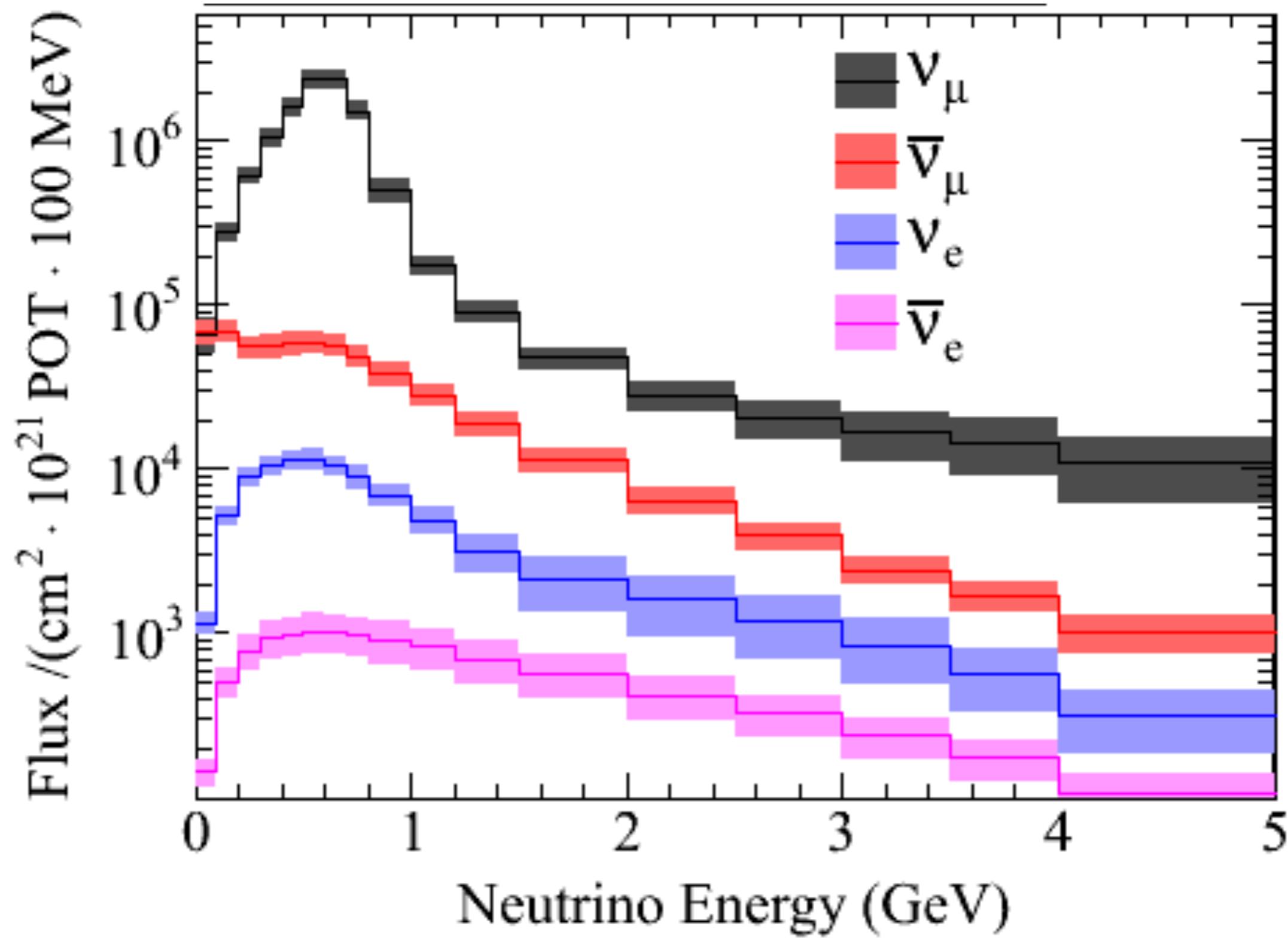
$$\frac{\int \Phi_{\nu_\mu}^{\text{SK}}(E_\nu) \cdot P_{\nu_\mu \rightarrow \nu_e}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{SK}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{\text{ND}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{ND}(E_\nu) dE_\nu}$$



Hadron production in 30GeV proton + C

- Use **CERN NA61/SHINE pion measurement**
(large acceptance: >95% coverage of ν parent pions)
- Kaon, pion outside NA61 acceptance, other interaction
in the target were based on FLUKA simulation
- Secondary interaction x-sections outside the target were based on
experimental data

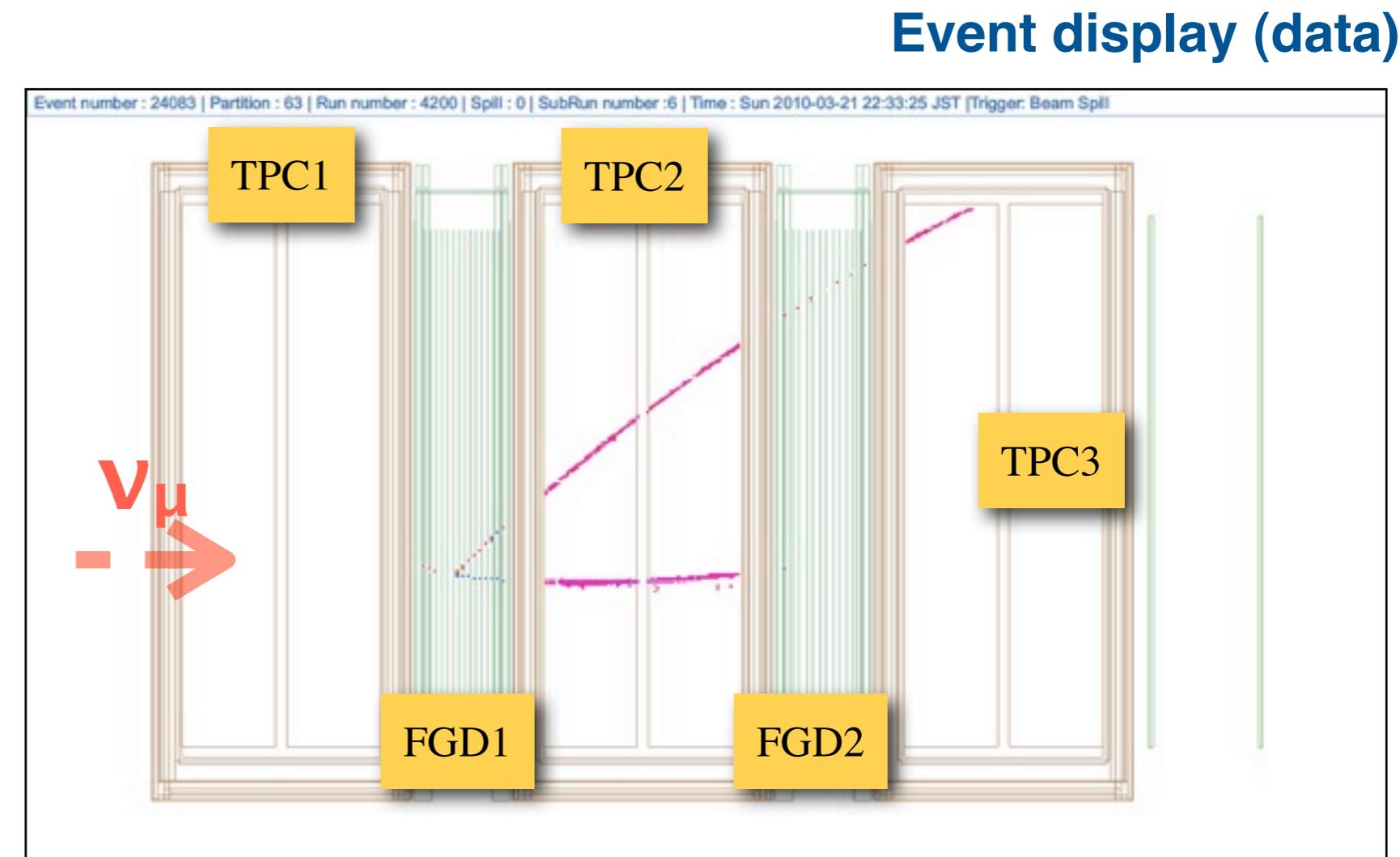
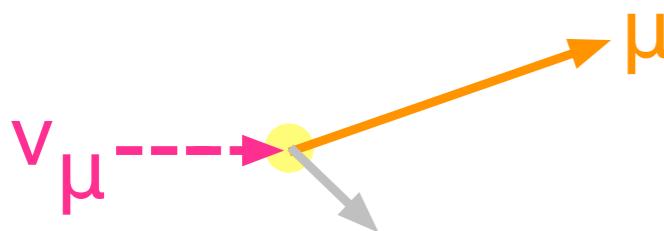
Predicted Neutrino Flux at SK



ν_μ interaction rates at near detector

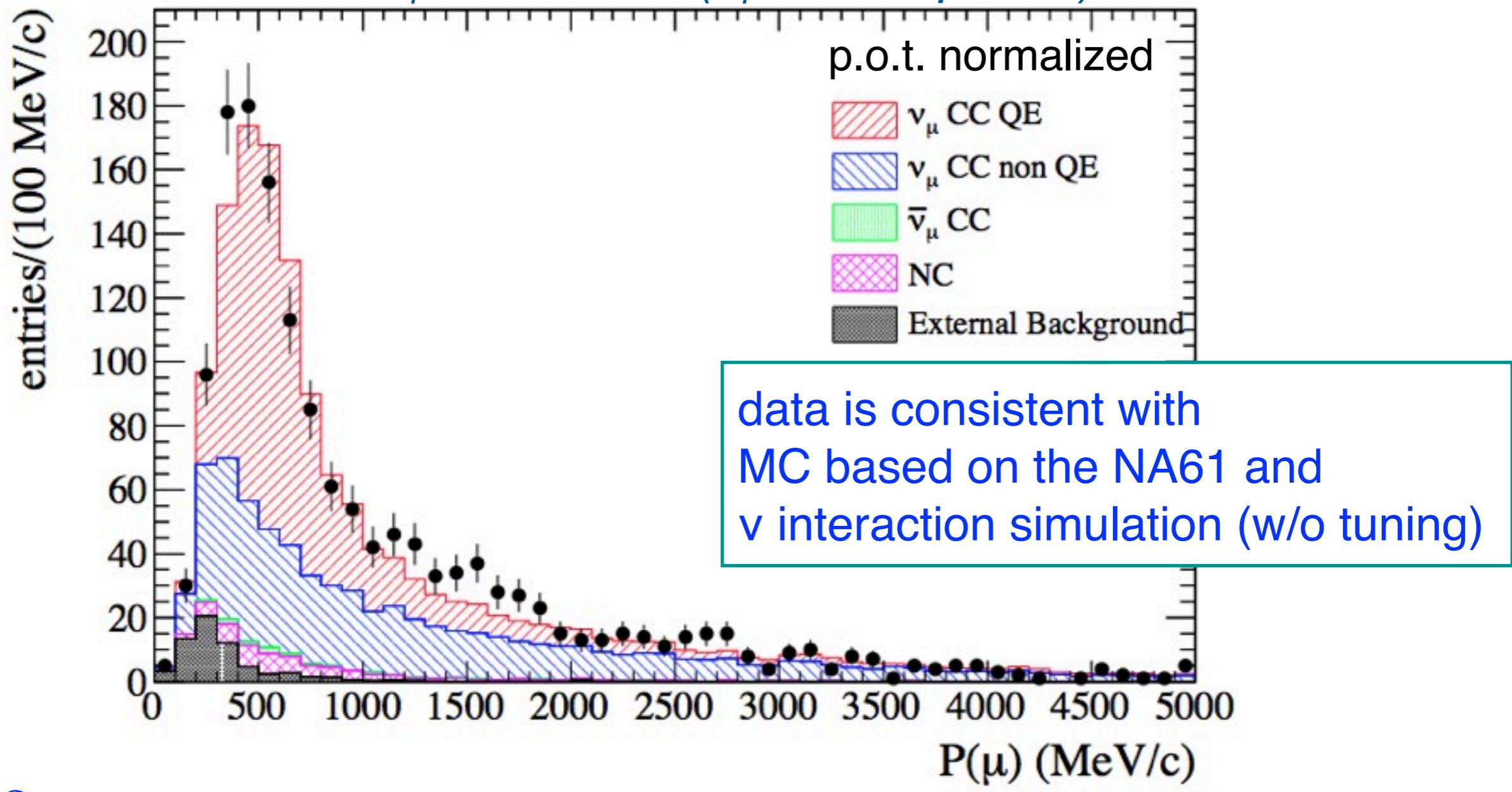
- Measure # of inclusive ν_μ charged current interaction (N_{ND}^{Data})

Select events
which have FGD hits and
 μ -like tracks reconstructed
in TPC



High purity : 90% ν_μ Charged Current int. (50% CCQE)

ND Measurement of muon momentum in inclusive ν_μ CC events ($\nu_\mu + N \rightarrow \mu^+ + X$)



Results

$$R_{ND}^{\mu, Data} = 1529 \text{ events} / 2.9 \times 10^{19} \text{ p.o.t.}$$

$$\frac{R_{ND}^{\mu, Data}}{R_{ND}^{\mu, MC}} = 1.036 \pm 0.028(\text{stat.})^{+0.044}_{-0.037}(\text{det. syst.}) \pm 0.038(\text{phys. syst.})$$

The expected number of events for $\sin^2 2\theta_{13}=0$

The expected number of events with 1.43×10^{20} p.o.t.

$$N_{SK \text{ tot.}}^{\exp} = 1.5 \text{ events}$$

	beam ν_μ CC	beam ν_e CC	NC	Oscillated $\nu_\mu \rightarrow \nu_e$ (solar term)	Total
<i>The expected # of events at SK</i>	0.03	0.8	0.6	0.1	1.5

↑

of NC background is calculated by

$$N_{SK \text{ NC bkg.}}^{\exp} = R_{ND}^{\mu, Data} \times \frac{N_{SK \text{ NC bkg.}}^{MC}}{R_{ND}^{\mu, MC}}$$

- ♣ ν_e selection criteria
- ♣ The expected number of events at Far detector
- ♣ **Systematic uncertainty**
- ♣ Observation at Far detector & Results

Systematic uncertainty on N_{SK}^{exp}

error source	syst. error	<i>for sin²2θ₁₃=0</i>
(1) ν flux	±8.5%	
(2) ν int. cross section	±14.0%	
(3) Near detector	+5.6% -5.2%	
(4) Far detector	±14.7%	
(5) Near det. statistics	±2.7%	
Total	+22.8% -22.7%	→ $N_{SK}^{exp}=1.5\pm0.3$ <i>events</i>

$$N_{SK}^{exp} = R_{ND}^{\mu, Data} \times \frac{N_{SK}^{MC}}{R_{ND}^{\mu, MC}}$$

$$\frac{\int \Phi_{\nu_\mu(\nu_e)}^{\text{SK}}(E_\nu) \cdot P_{osc.}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{SK}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{\text{ND}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{ND}(E_\nu) dE_\nu}$$

Systematic uncertainty on N_{SK}^{exp}

error source	syst. error	<i>for sin²2θ₁₃=0</i>
○ (1) ν flux	±8.5%	
○ (2) ν int. cross section	±14.0%	
(3) Near detector	+5.6% -5.2%	
○ (4) Far detector	±14.7%	
(5) Near det. statistics	±2.7%	
Total	+22.8% -22.7%	→ $N_{SK}^{exp}=1.5\pm0.3$ <i>events</i>

$$N_{SK}^{exp} = R_{ND}^{\mu, Data} \times \frac{N_{SK}^{MC}}{R_{ND}^{\mu, MC}}$$

$$\frac{\int \Phi_{\nu_\mu(\nu_e)}^{\text{SK}}(E_\nu) \cdot P_{osc.}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{SK}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{\text{ND}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{ND}(E_\nu) dE_\nu}$$

error source
(1) ν flux
(2) ν cross section
(3) Near detector
(4) Far detector
(5) Near det. statistics

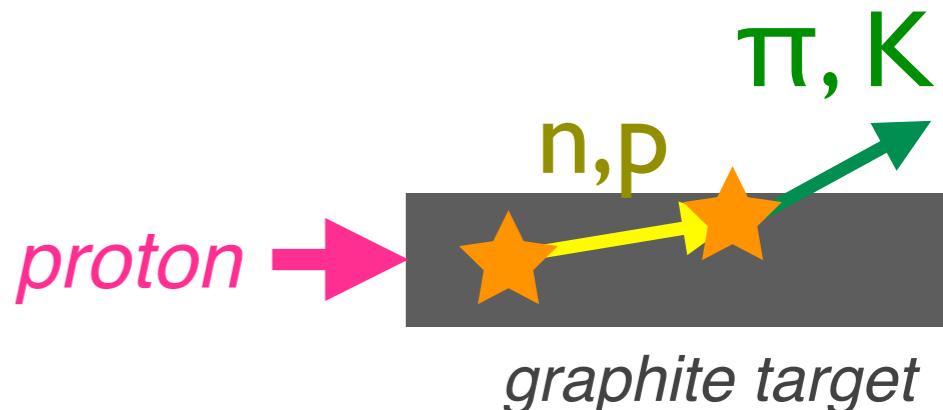
Neutrino flux uncertainty

Uncertainties in hadron production and interaction are dominant sources

$$\frac{\int \Phi_{\nu_\mu(\nu_e)}^{\text{SK}}(E_\nu) \cdot P_{\text{osc.}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{\text{SK}}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{\text{ND}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{\text{ND}}(E_\nu) dE_\nu}$$

Error source

- Pion production
 - NA61 systematic uncertainty in each pion's (p, θ) bin
- Kaon production
 - Used model (FLUKA) is compared with the data(Eichten et. al.) in each kaon's (p, θ) bin
- Secondary nucleon production
 - Used model (FLUKA) is compared with the experimental data
- Secondary interaction cross section
 - Used model (FLUKA and GCALOR) is compared with the experimental data of interaction x-section (π, K and nucleon)



Summary of ν flux uncertainties on N_{SK}^{exp} for $\sin^2 2\theta_{13}=0$

$$N_{SK}^{exp} = R_{ND}^{\mu, Data} \times \frac{N_{SK}^{MC}}{R_{ND}^{\mu, MC}}$$

Error source	$R_{ND}^{\mu, MC}$	N_{SK}^{MC}	$\frac{N_{SK}^{MC}}{R_{ND}^{\mu, MC}}$	
Pion production	5.7%	6.2%	2.5%	
Kaon production	10.0%	11.1%	7.6%	<i>Hadron production & interaction</i>
Nucleon production	5.9%	6.6%	1.4%	
Production x-section	7.7%	6.9%	0.7%	
Proton beam position/profile	2.2%	0.0%	2.2%	
Beam direction measurement	2.7%	2.0%	0.7%	
Target alignment	0.3%	0.0%	0.2%	
Horn alignment	0.6%	0.5%	0.1%	
Horn abs. current	0.5%	0.7%	0.3%	
Total	15.4%	16.1%	8.5%	

The uncertainty on N_{SK}^{exp} due to the beam flux syst. is 8.5%

Summary of ν flux uncertainties on N_{SK}^{exp} for $\sin^2 2\theta_{13}=0$

$$N_{SK}^{\text{exp}} = R_{ND}^{\mu, \text{Data}} \times \frac{N_{SK}^{\text{MC}}}{R_{ND}^{\mu, \text{MC}}}$$

Error source	$R_{ND}^{\mu, \text{MC}}$	N_{SK}^{MC}	$\frac{N_{SK}^{\text{MC}}}{R_{ND}^{\mu, \text{MC}}}$	
Pion production	5.7%	6.2%	2.5%	
Kaon production	10.0%	11.1%	7.6%	<i>Hadron production & interaction</i>
Nucleon production	5.9%	6.6%	1.4%	
Production x-section	7.7%	6.9%	0.7%	
Proton beam position/profile	2.2%	0.0%	2.2%	
Beam direction measurement	2.7%	2.0%	0.7%	
Target alignment	0.3%	0.0%	0.2%	
Horn alignment	0.6%	0.5%	0.1%	
Horn abs. current	0.5%	0.7%	0.3%	
Total	15.4%	16.1%	8.5%	

The uncertainty on N_{SK}^{exp} due to the beam flux syst. is 8.5%

Error cancellation works for some beam uncertainties

V int. cross section uncertainty

Evaluate uncertainty on F/N ratio by varying the cross section within its uncertainty

$$\frac{\int \Phi_{\nu_\mu(\nu_e)}^{\text{SK}}(E_\nu) \cdot P_{\text{osc.}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{\text{SK}}(E_\nu) dE_\nu}{\int \Phi_{\nu_\mu}^{\text{ND}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{\text{ND}}(E_\nu) dE_\nu}$$

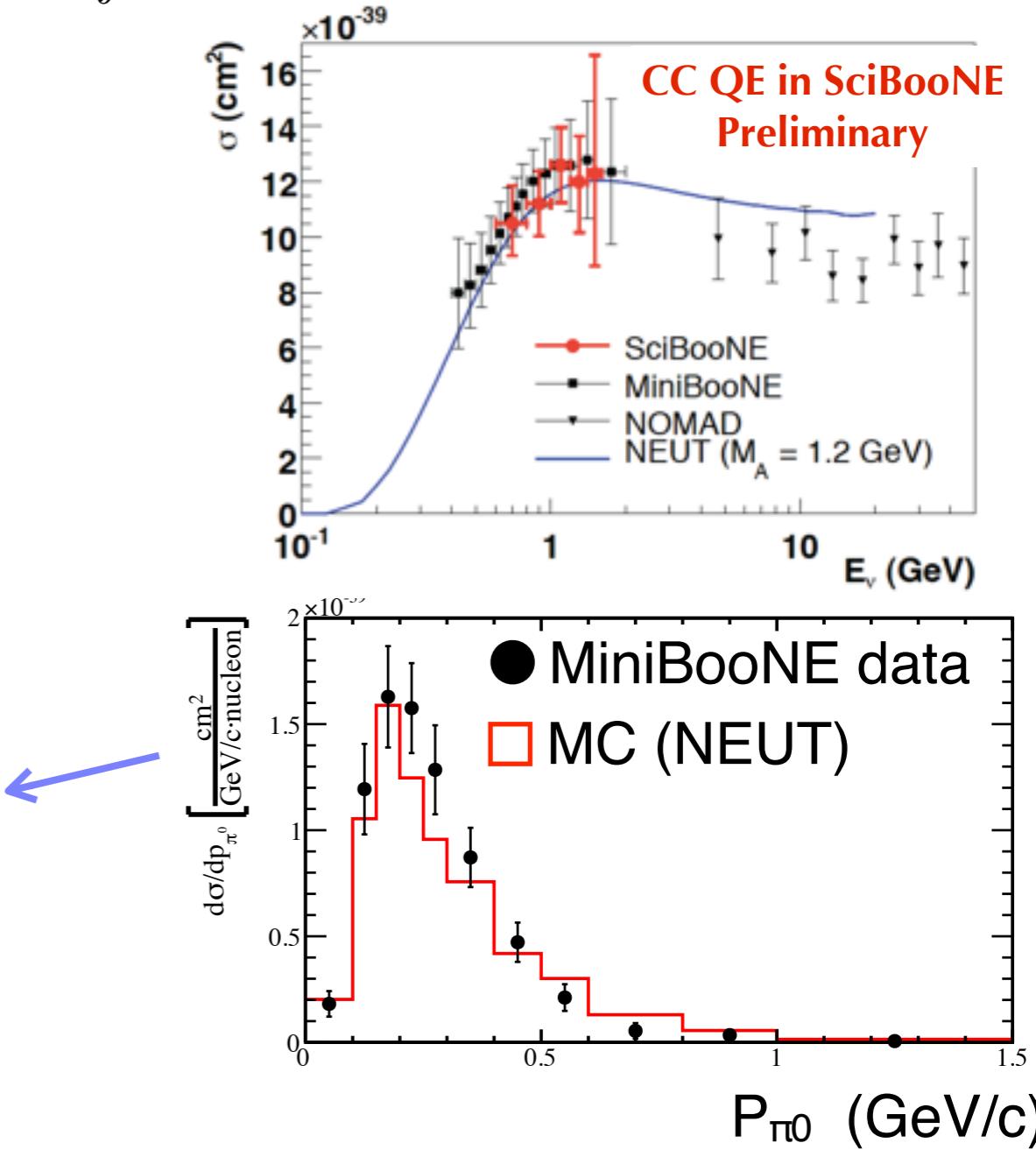
Cross section uncertainties are estimated by Data/MC comparison, model comparison and parameter variation

Cross section uncertainty relative to the CCQE total x-section

Process	Systematic error (comment)
CCQE	energy dependent ($\sim \pm 7\%$ at 500 MeV)
CC 1 π	30% ($E_\nu < 2$ GeV) – 20% ($E_\nu > 2$ GeV)
CC coherent π^0	100% (upper limit from [30])
CC other	30% ($E_\nu < 2$ GeV) – 25% ($E_\nu > 2$ GeV)
NC 1 π^0	30% ($E_\nu < 1$ GeV) – 20% ($E_\nu > 1$ GeV)
NC coherent π	30%
NC other π	30%
Final State Int.	energy dependent ($\sim \pm 10\%$ at 500 MeV)

Uncertainty of $\sigma(\nu_e)/\sigma(\nu_\mu) = \pm 6\%$

error source
(1) ν flux
(2) ν cross section
(3) Near detector
(4) Far detector
(5) Near det. statistics



ν int. cross section uncertainty on N_{SK}^{exp} for $\sin^2 2\theta_{13}=0$

error source
(1) ν flux
(2) ν cross section
(3) Near detector
(4) Far detector
(5) Near det. statistics

Error source

Source	syst. error on N_{SK}^{exp}
CC QE shape	3.1%
CC 1π	2.2%
CC Coherent π	3.1%
CC Other	4.4%
NC $1\pi^0$	5.3%
NC Coherent π	2.3%
NC Other	2.3%
$\sigma(\nu_e)$	3.4%
FSI	10.1%
Total	14.0%

Main ν interaction in each event

NC background : NC1 π^0
 Beam ν_e background : ν_e CCQE
 Signal : ν_e CCQE
 ND CC event : CCQE(50%)
 CC1 π (23%)

Uncertainty in pion's final state interaction is dominant

The uncertainty on N_{SK}^{exp} due to the ν x-section syst. is 14% ($\sin^2 2\theta_{13}=0$)

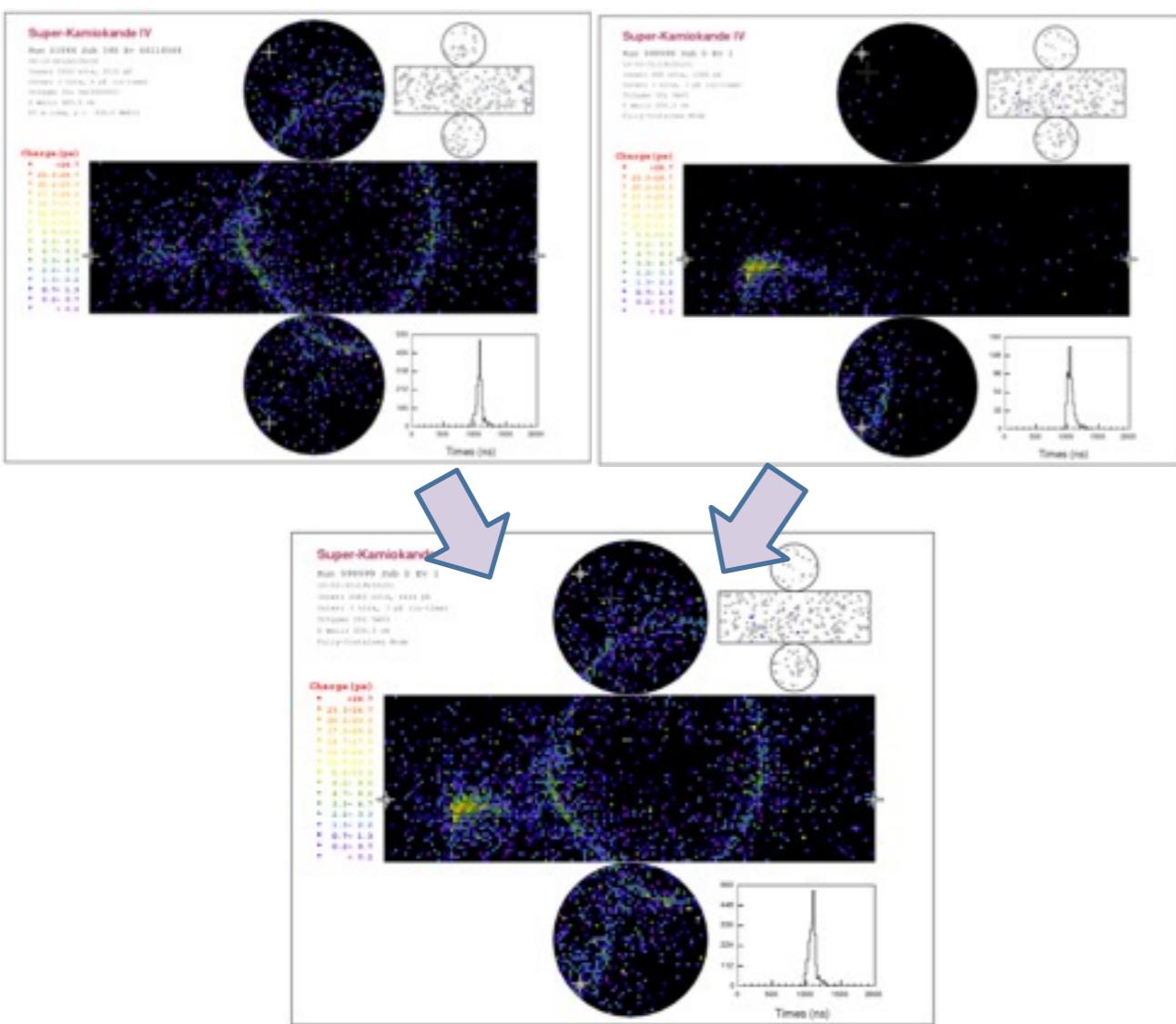
error source
(1) ν flux
(2) ν cross section
(3) Near detector
(4) Far detector
(5) Near det. statistics

$$\frac{\int \Phi_{\nu_\mu(\nu_e)}^{\text{SK}}(E_\nu) \cdot P_{osc.}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{SK}(E_\nu) \ dE_\nu}{\int \Phi_{\nu_\mu}^{\text{ND}}(E_\nu) \cdot \sigma(E_\nu) \cdot \epsilon_{ND}(E_\nu) \ dE_\nu}$$

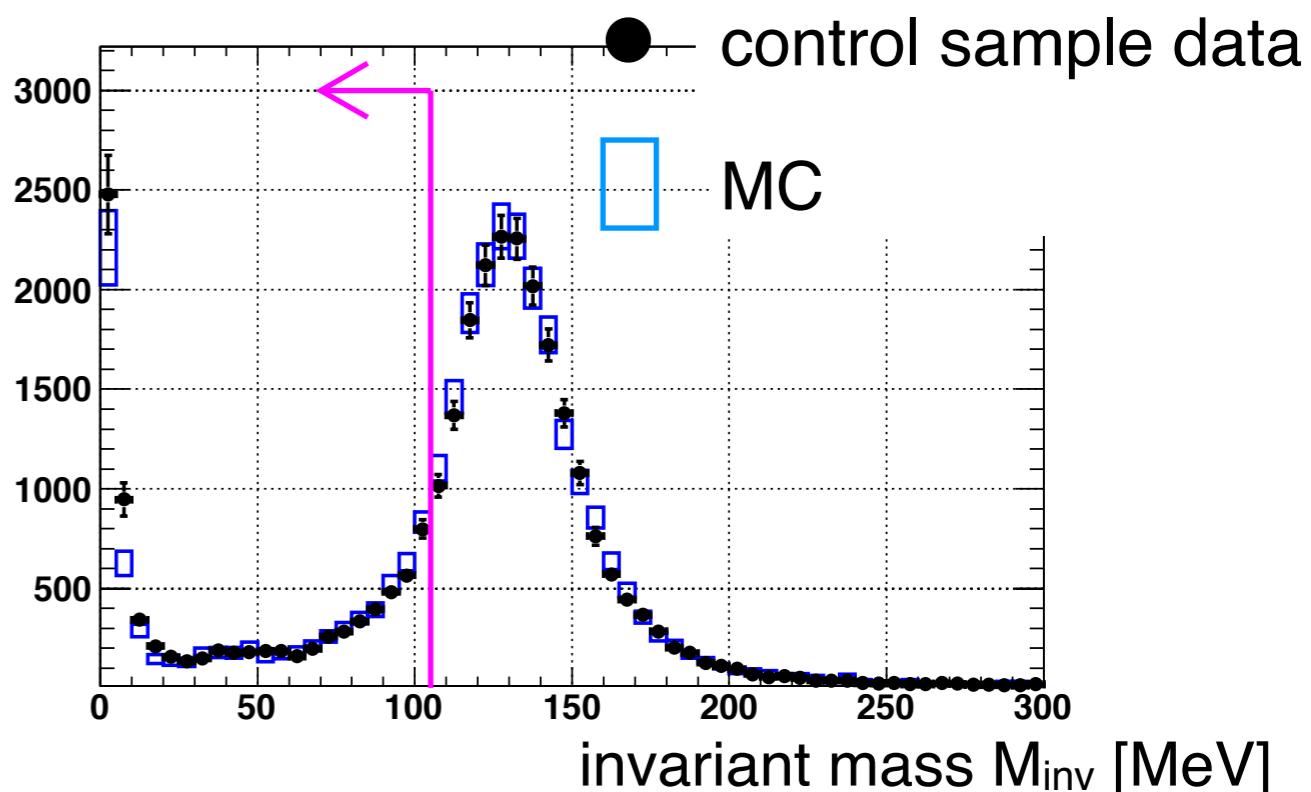
- Uncertainty due to the SK detector systematics
- Evaluate using various control sample

Uncertainty of NC π^0 rejection

*Topological control sample of π^0
made by combining one data electron +
one simulated γ*



apply T2K ν_e selection and compare
the cut efficiency between control
sample data and its MC
→ difference is assigned as sys. error



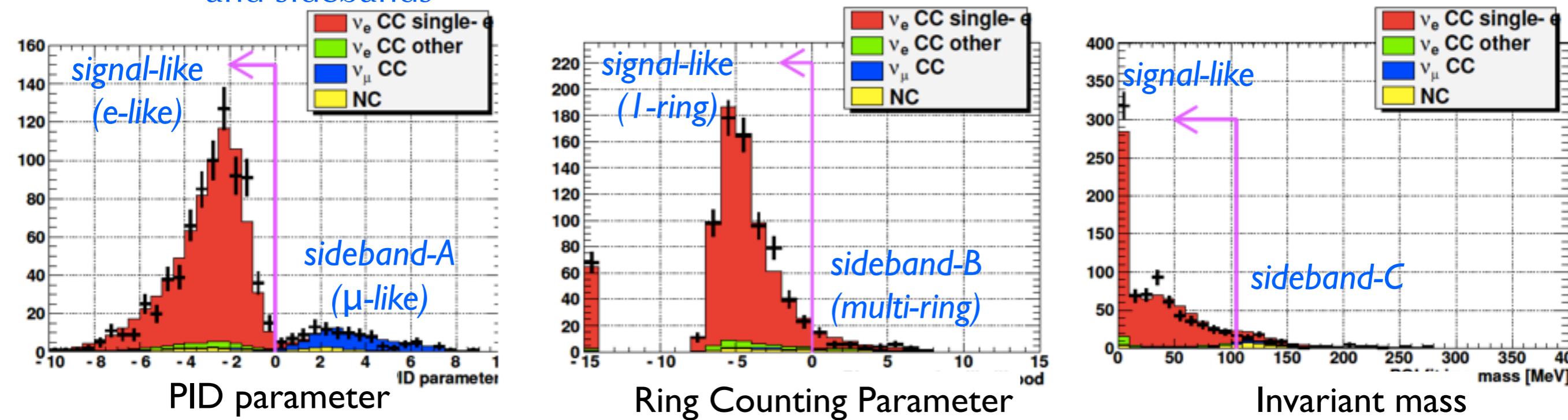
$$\pi^0 \text{ efficiency} = 6.8 \pm 0.7 (\text{syst.})\%$$

Uncertainty of ν_e CCQE selection efficiency

detection efficiency of ν_e CC (for dominant BG and signal)

atmospheric ν sample

subsample which satisfies all T2K νe selection criteria (signal-like) and sidebands

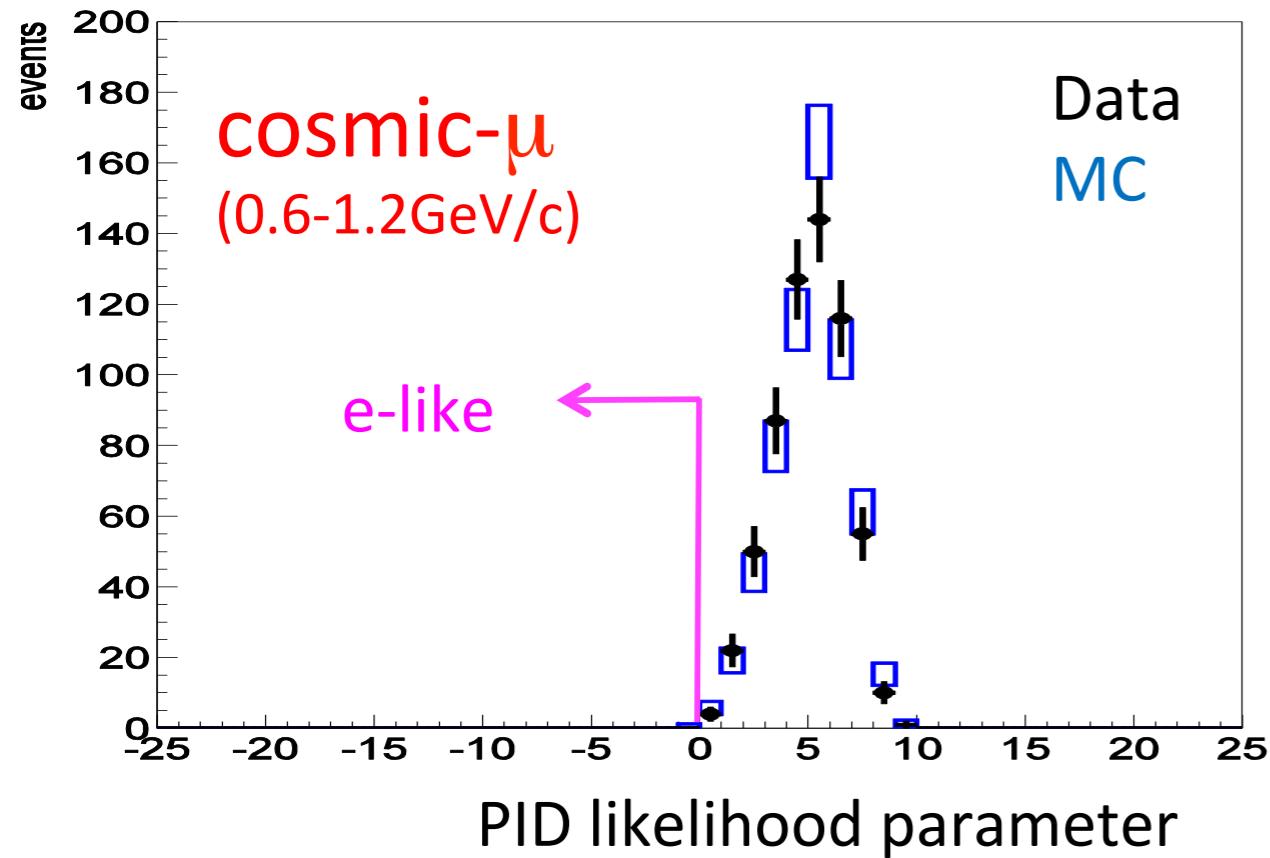


From comparisons btw the atm ν data and MC, we constrain **selection efficiency** of each cuts.

	Efficiency [%] (T2K beam ν_e)	Efficiency [%] (T2K signal ν_e)
Ring-counting	96.8 ± 1.9 (syst.)	96.6 ± 1.6 (syst.)
PID	98.9 ± 1.1 (syst.)	98.8 ± 1.4 (syst.)
POLfit mass	90.1 ± 6.1 (syst.)	90.7 ± 4.1 (syst.)

Particle ID uncertainty study

Cosmic ray μ sample

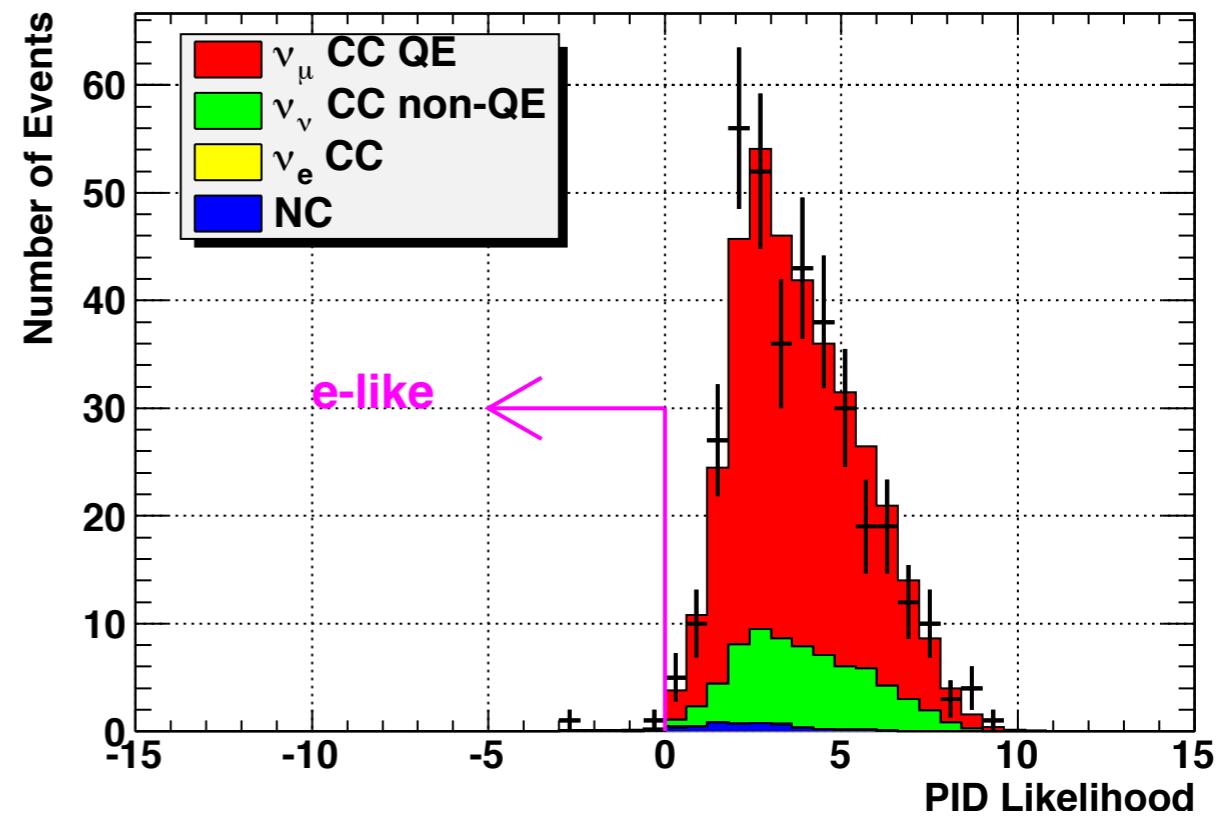


mis-PID:

Data: $0.00 \pm 0.16(\text{stat.})\%$

MC : $0.10 \pm 0.10(\text{stat.})\%$

atmospheric ν sample
 μ control sample selected by decay electrons



mis-PID:

Data: $0.54 \pm 0.39(\text{stat.})\%$

MC : 0.20%

The mis-ID fraction and the likelihood are well reproduced.
→ PID uncertainty < 1%

Summary of Far detector systematics uncertainty

Error source	$\frac{\delta N_{SK \nu_e \text{ sig.}}^{MC}}{N_{SK \nu_e \text{ sig.}}^{MC}}$	$\frac{\delta N_{SK \text{ bkg. tot.}}^{MC}}{N_{SK \text{ bkg. tot.}}^{MC}}$
π^0 rejection	-	3.6%
Ring counting	3.9%	8.3%
Electron PID	3.8%	8.0%
Invariant mass cut	5.1%	8.7%
Fiducial volume cut etc.	1.4%	1.4%
Energy scale	0.4%	1.1%
Decay electron finding	0.1%	0.3%
Muon PID	-	1.0%
Total	7.6%	15%

Total Systematic uncertainties

Summary of systematic uncertainties on $N_{SK\ total}^{exp}$ for $\sin^2 2\theta_{13}=0$ and 0.1

Error source	$\sin^2 2\theta_{13} = 0$	$\sin^2 2\theta_{13} = 0.1$	
O(1) Beam flux	$\pm 8.5\%$	$\pm 8.5\%$	
O(2) ν int. cross section	$\pm 14.0\%$	$\pm 10.5\%$	
(3) Near detector	$+5.6\%$ -5.2%	$+5.6\%$ -5.2%	
O(4) Far detector	$\pm 14.7\%$	$\pm 9.4\%$	
(5) Near det. statistics	$\pm 2.7\%$	$\pm 2.7\%$	
Total	$+22.8\%$ -22.7%	$+17.6\%$ -17.5%	

cf.

$\sin^2 2\theta_{13}=0:$

#sig = 0.1 #bkg = 1.4

$\sin^2 2\theta_{13}=0.1:$

#sig = 4.1 #bkg = 1.3

$$N_{SK\ total}^{exp} = 1.5 \pm 0.3 \quad \text{at } \sin^2 2\theta_{13}=0$$

Total Systematic uncertainties

Summary of systematic uncertainties on $N_{SK\ total}^{exp}$ for $\sin^2 2\theta_{13}=0$ and 0.1

Error source	$\sin^2 2\theta_{13} = 0$	$\sin^2 2\theta_{13} = 0.1$	
O(1) Beam flux	$\pm 8.5\%$	$\pm 8.5\%$	
O(2) ν int. cross section	$\pm 14.0\%$	$\pm 10.5\%$	
(3) Near detector	$+5.6\%$ -5.2%	$+5.6\%$ -5.2%	
O(4) Far detector	$\pm 14.7\%$	$\pm 9.4\%$	
(5) Near det. statistics	$\pm 2.7\%$	$\pm 2.7\%$	
Total	$+22.8\%$ -22.7%	$+17.6\%$ -17.5%	

cf.

$\sin^2 2\theta_{13}=0:$

#sig = 0.1 #bkg = 1.4

$\sin^2 2\theta_{13}=0.1:$

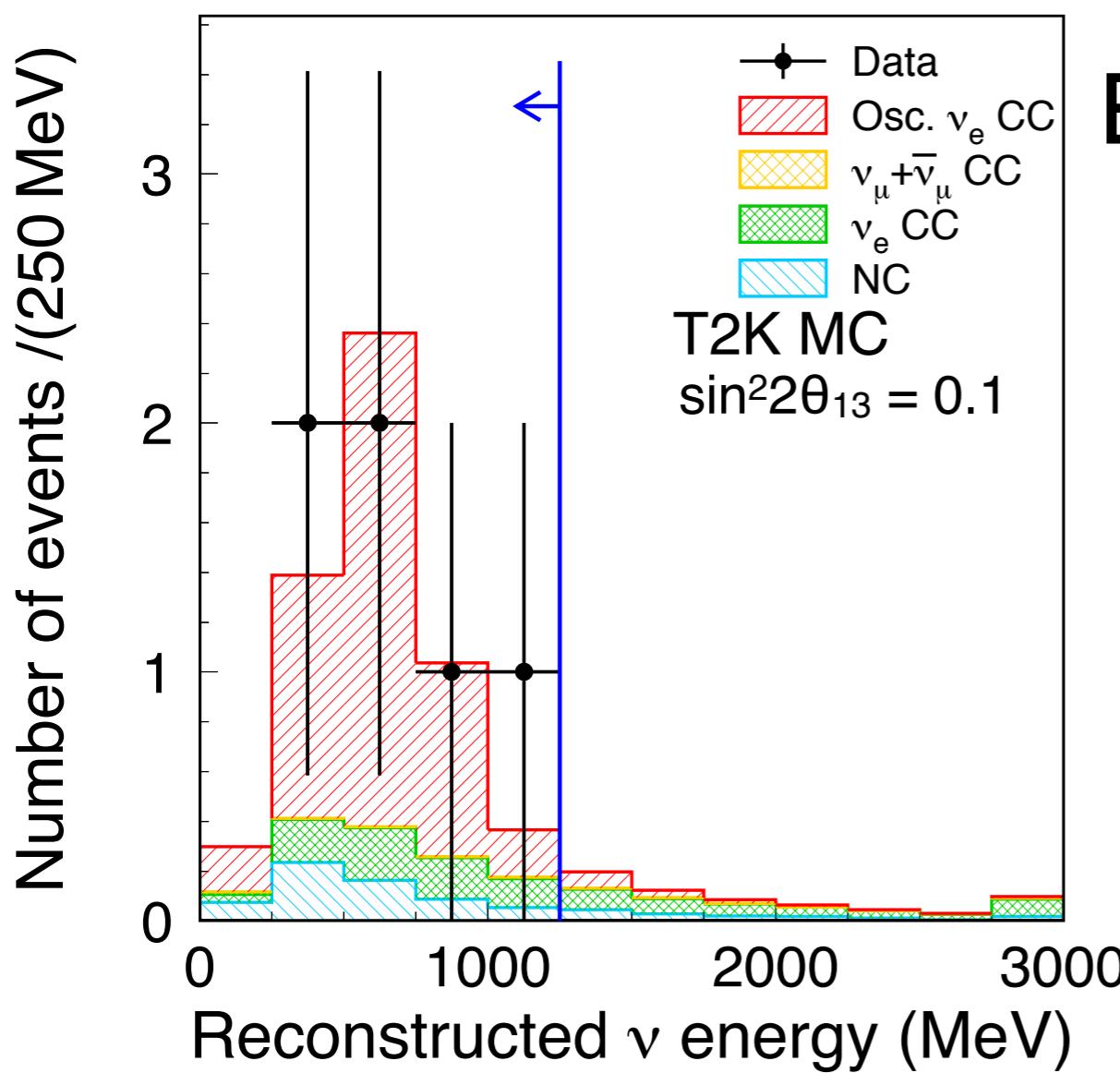
#sig = 4.1 #bkg = 1.3

(due to small Far det.
uncertainty for signal)

$$N_{SK\ total}^{exp} = 1.5 \pm 0.3 \quad \text{at } \sin^2 2\theta_{13}=0$$

- ❖ ν_e selection
 - ❖ The expected number of events at Far detector
 - ❖ Systematic uncertainty
- ❖ **Results**

	beam ν_μ CC	beam ν_e CC	NC	Oscillated $\nu_\mu \rightarrow \nu_e$ (solar term)	Total
<i>The expected # of events at SK</i>	0.03	0.8	0.6	0.1	1.5

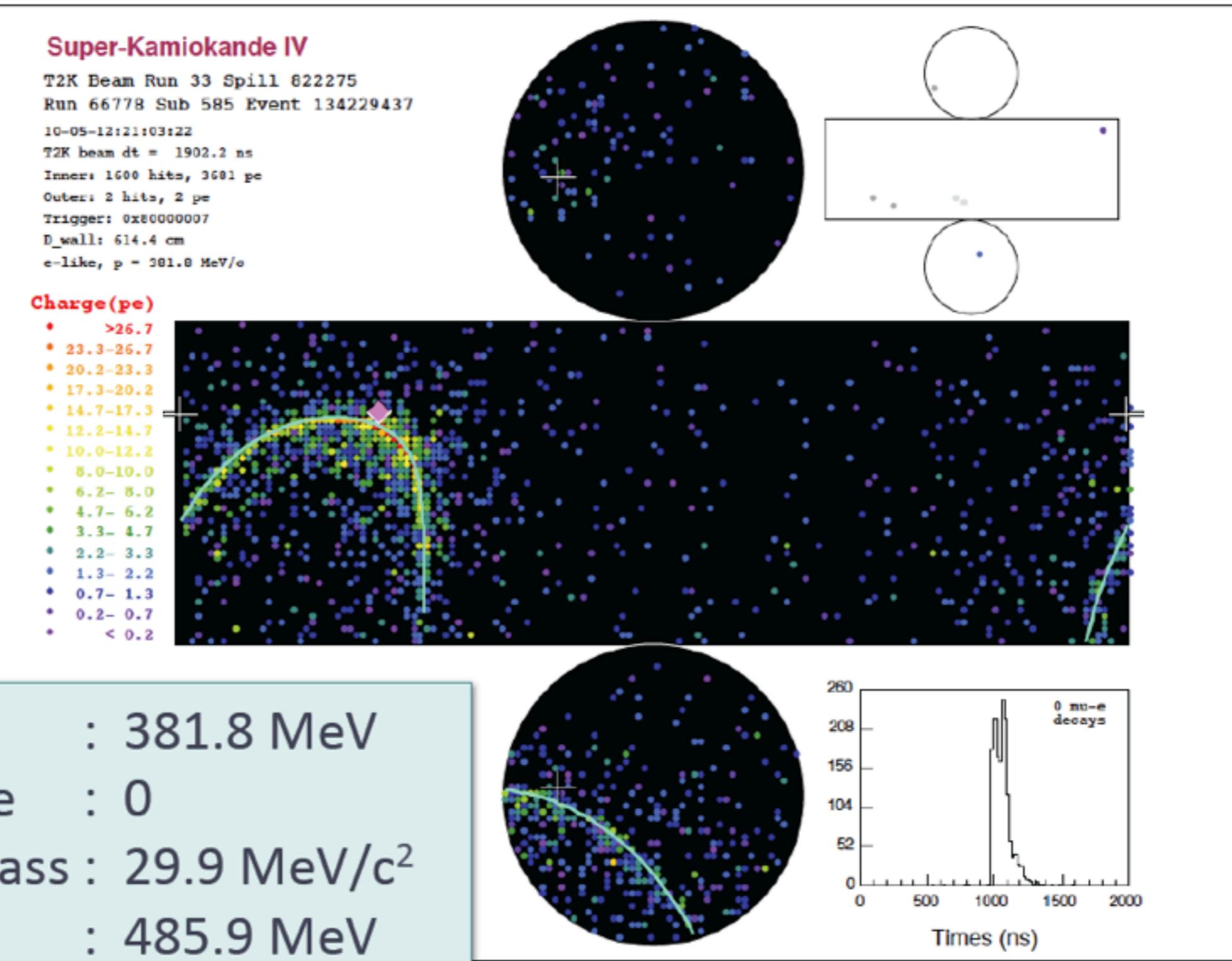


Expected # of events @ $\theta_{13}=0$
 $N_{sk}^{exp} = 1.5 \pm 0.3$

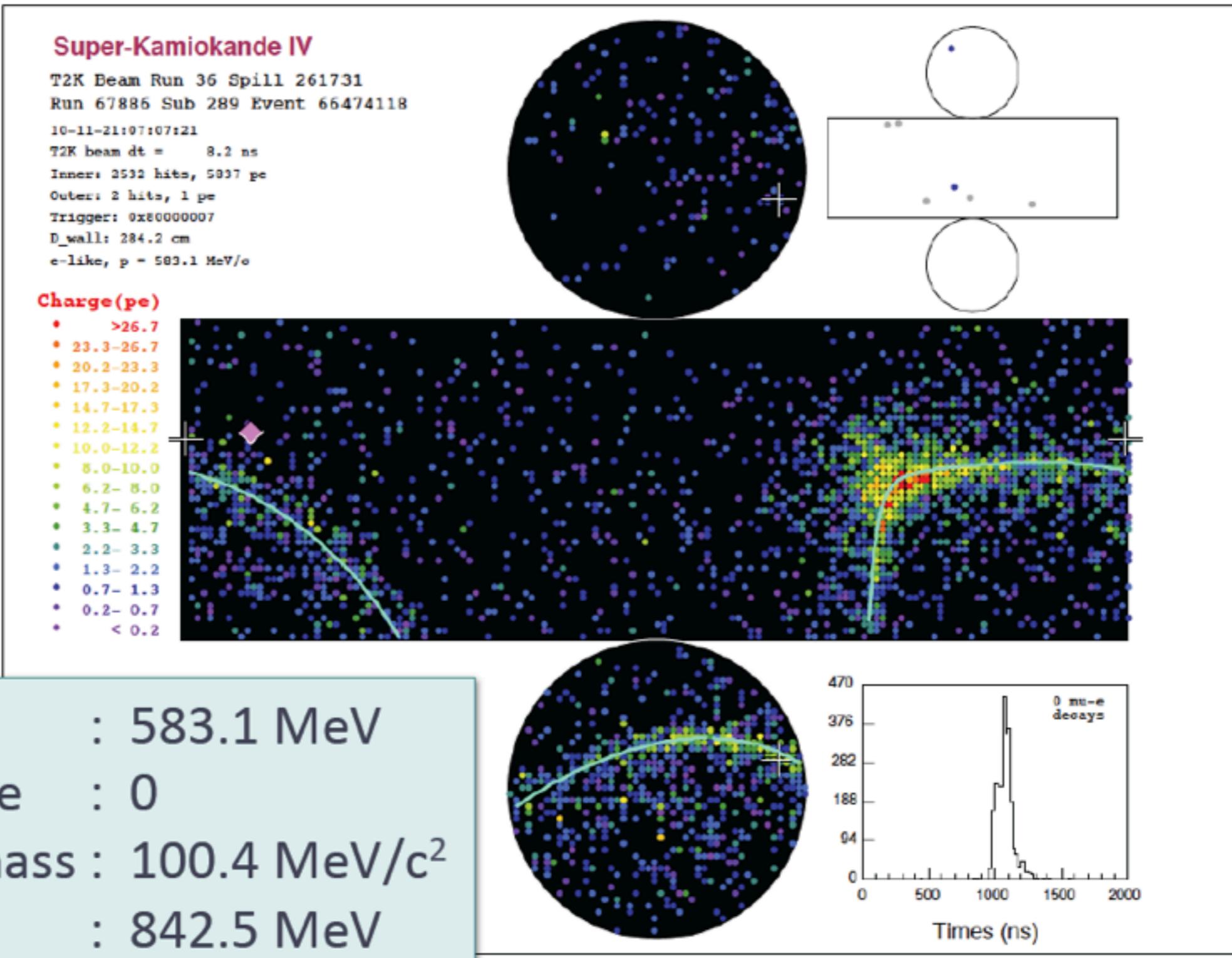
Observed # of events
 $N_{sk}^{obs} = 6$

ν_e candidate events

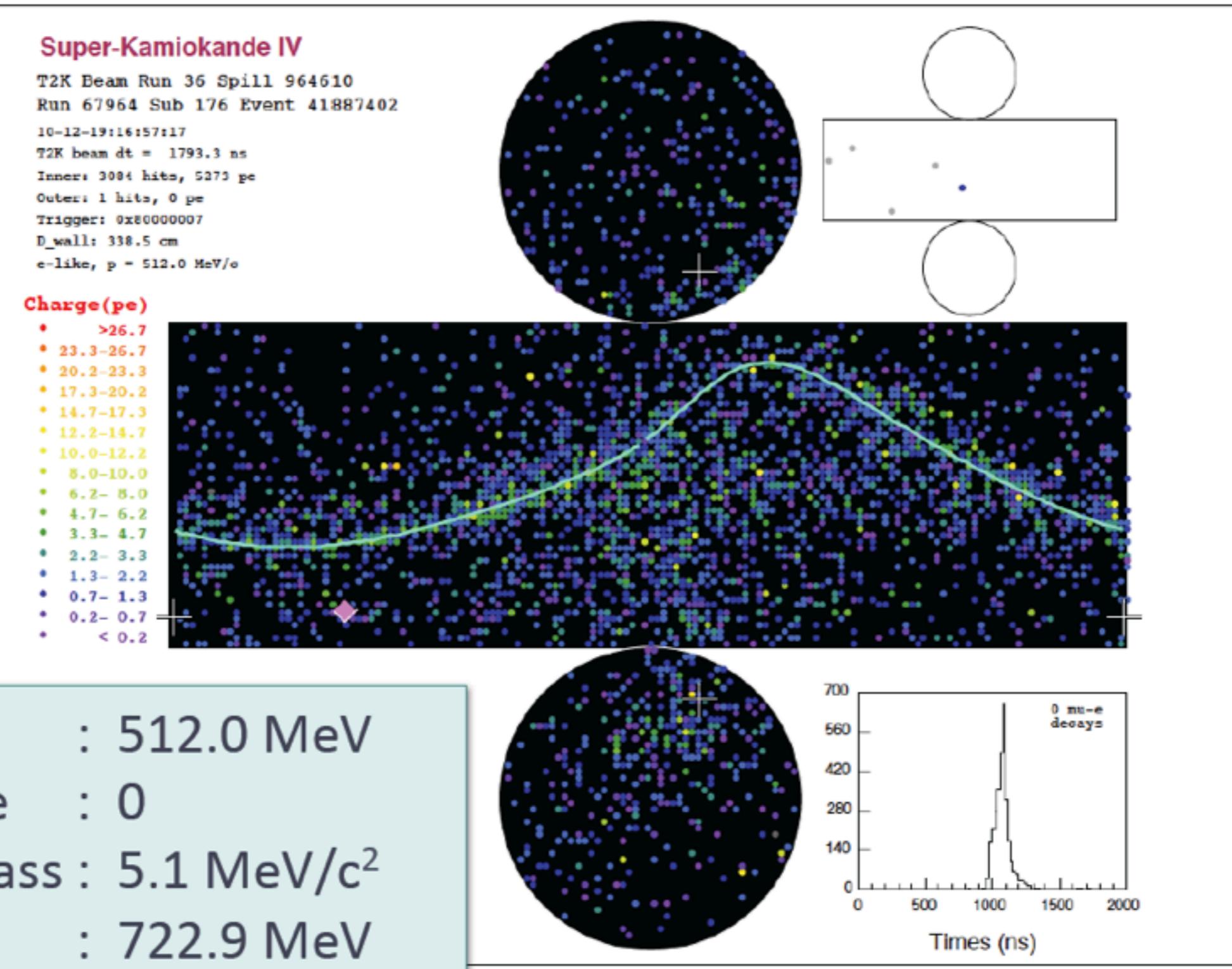
ν_e event #1



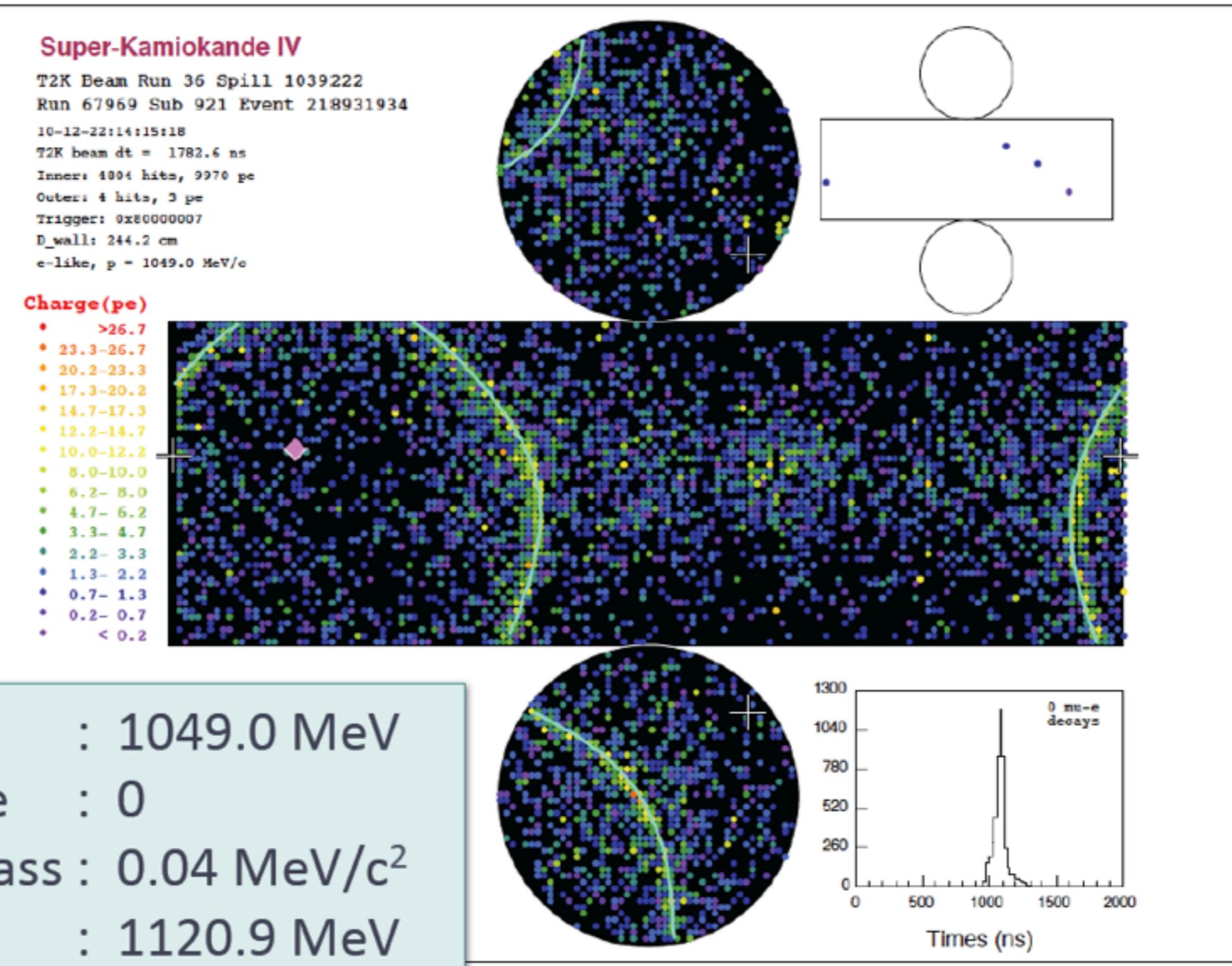
ν_e event #2



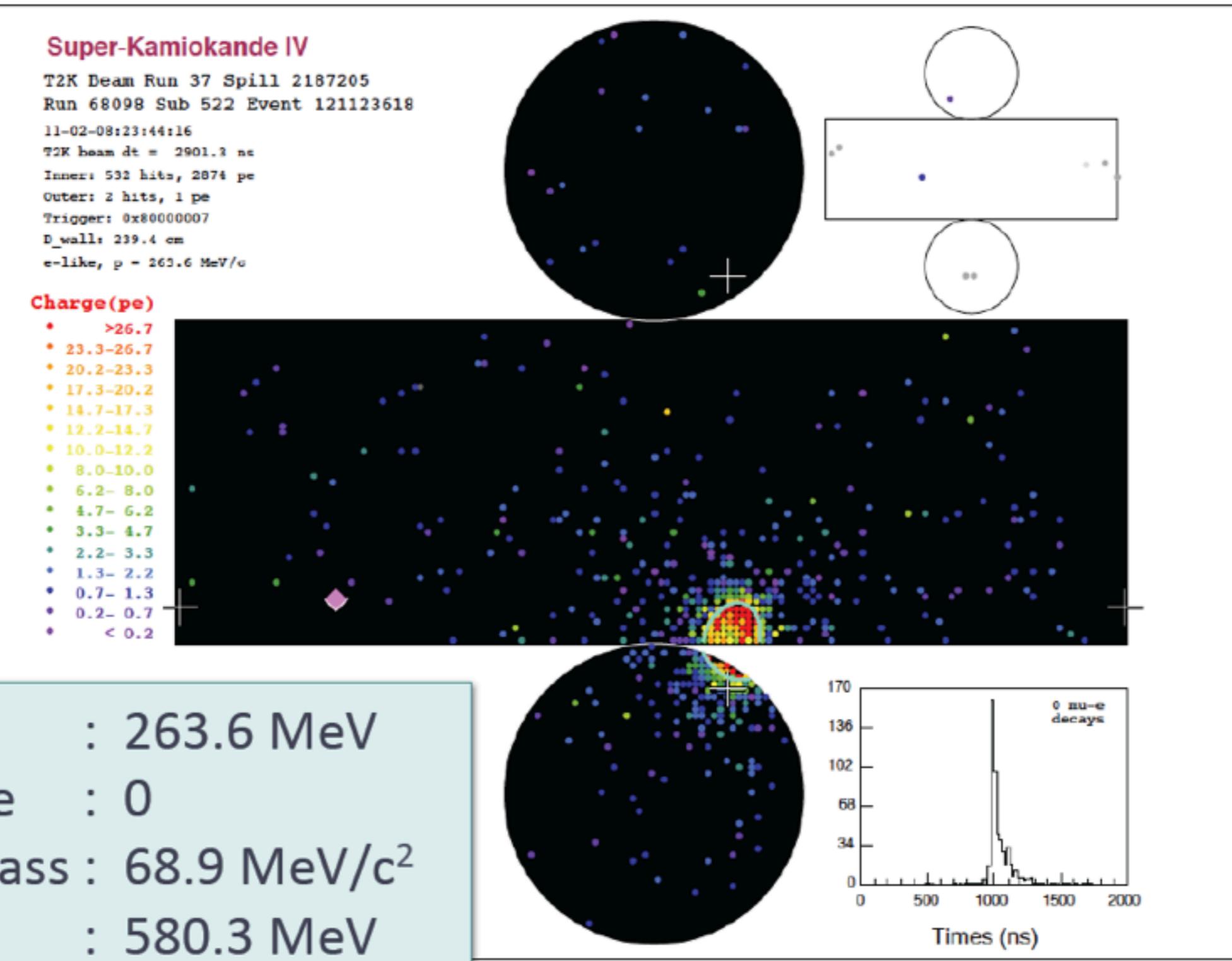
ν_e event #3



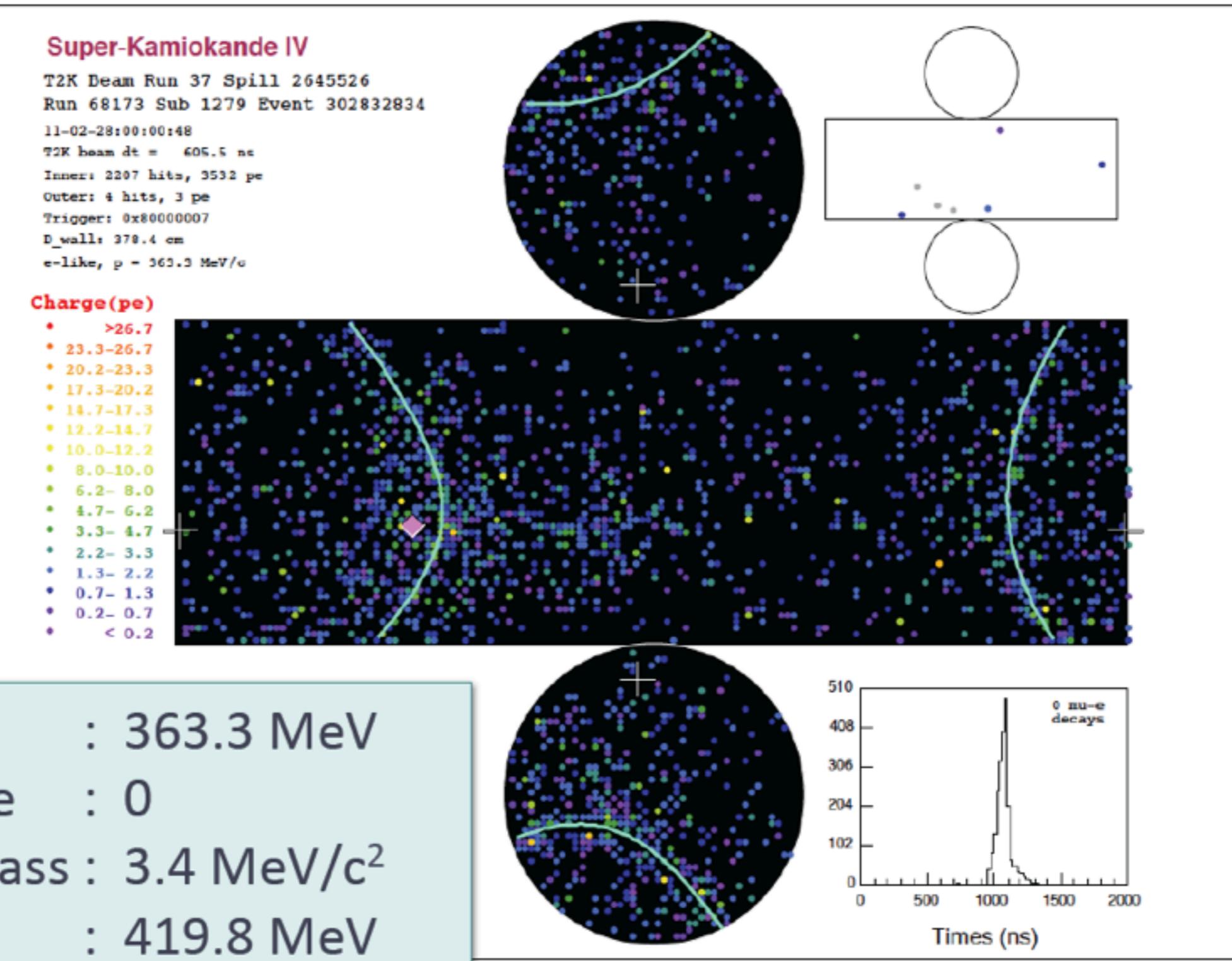
ν_e event #4



ν_e event #5

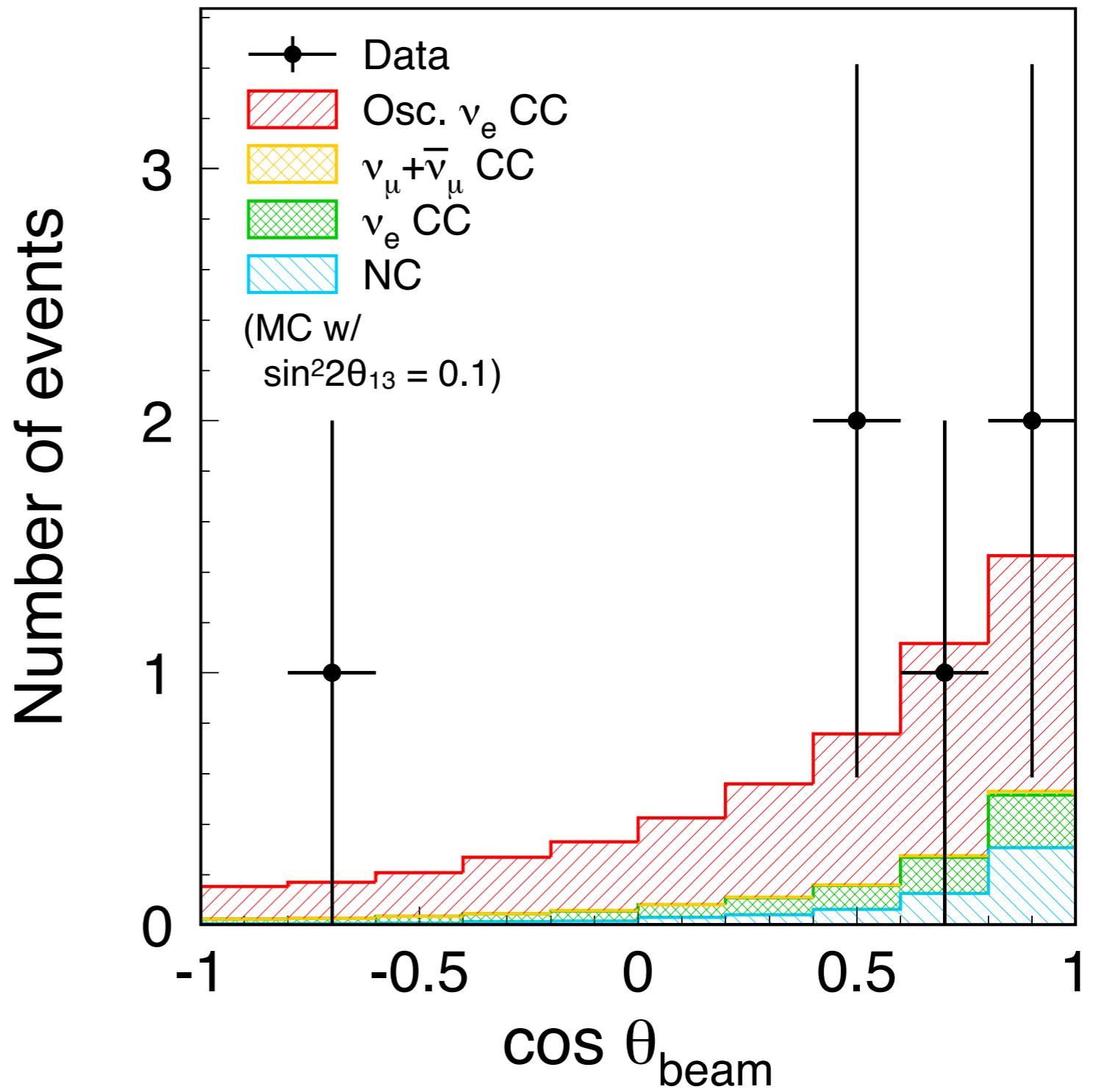
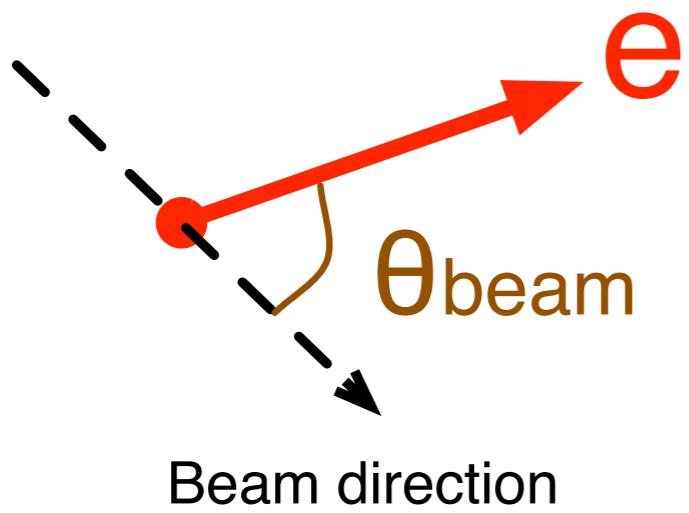


ν_e event #6

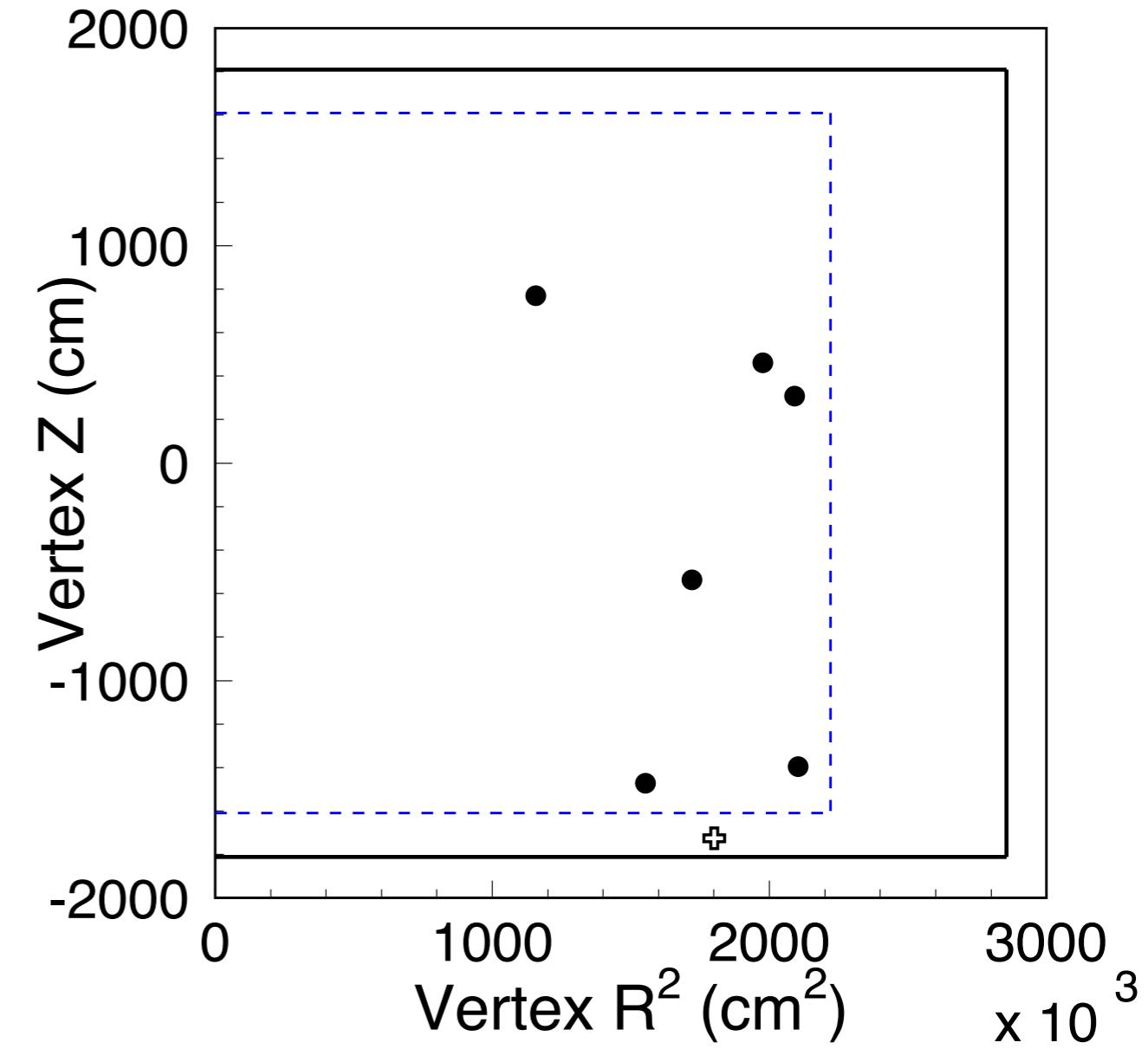
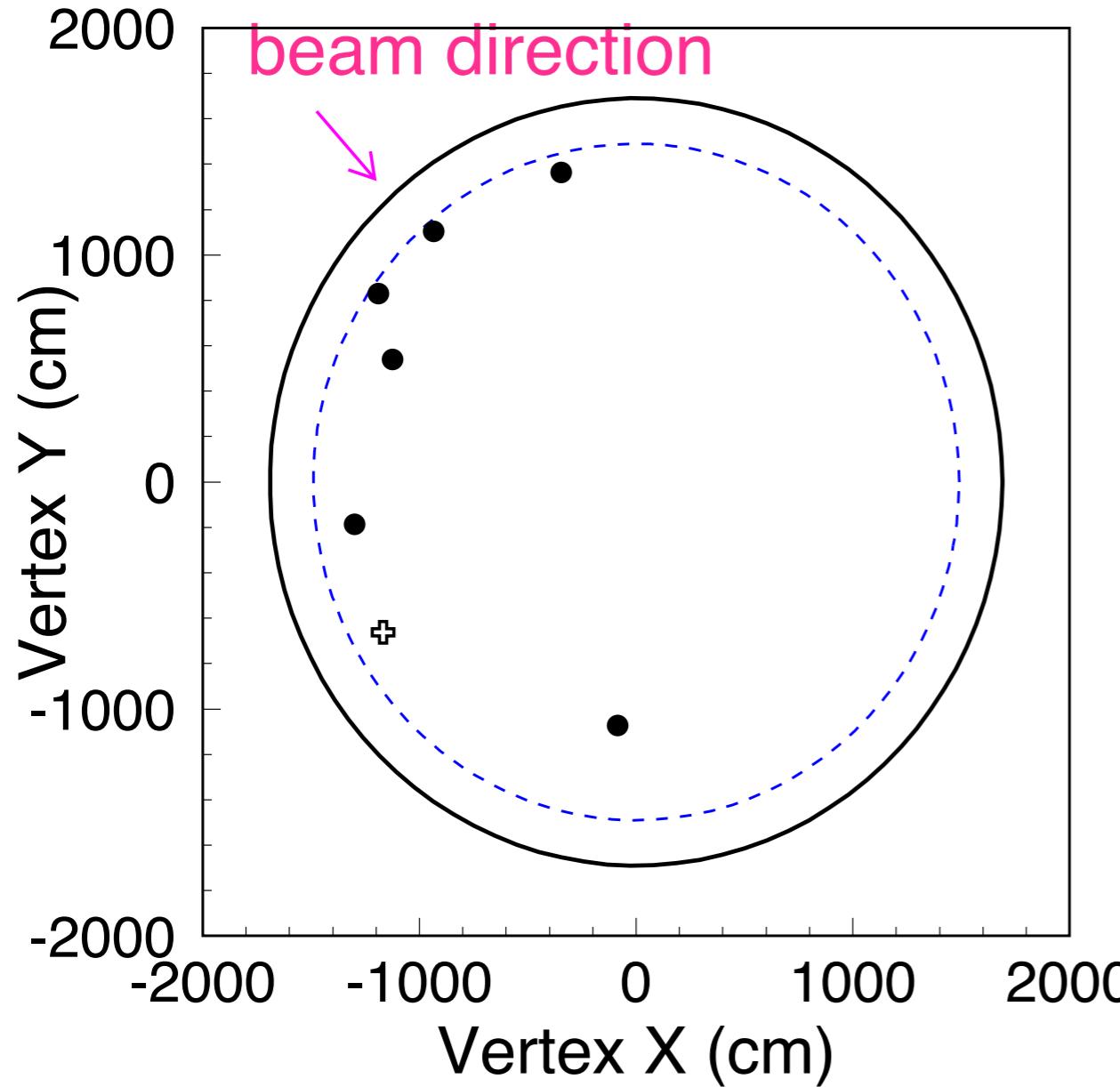


Further check

Check several distribution of ν_e candidate events



Vertex distribution of ν_e candidate events



Events tend to cluster at large R

→ Perform several checks. for example

- * Check distribution of events outside FV → no indication of BG contamination
- * Check distribution of OD events → no indication of BG contamination
- * A K.S. test on the R² distribution yields a p-value of 0.03

Event outside FV

Results for ν_e appearance search with 1.43×10^{20} p.o.t.

The observed number of events is **6**

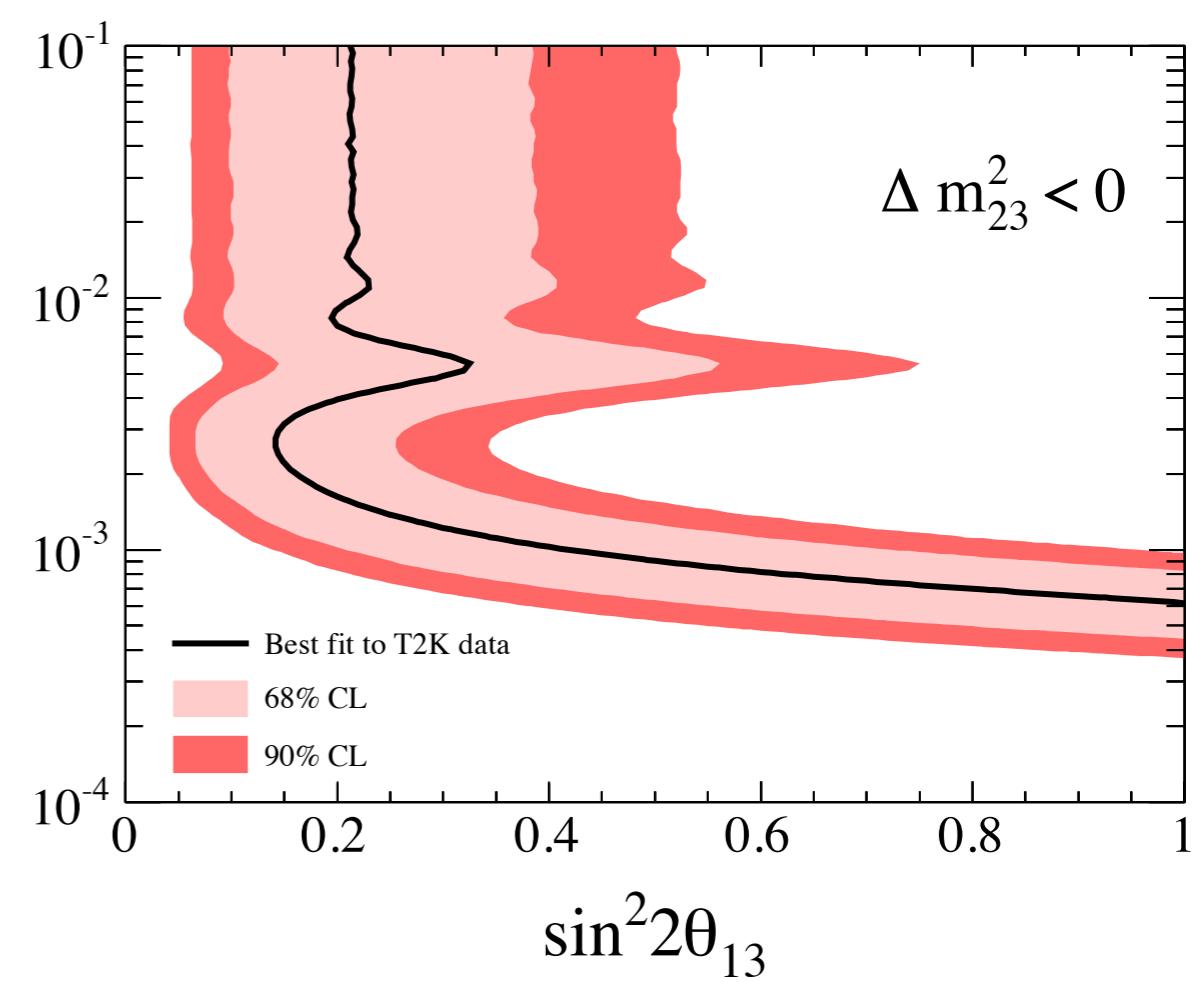
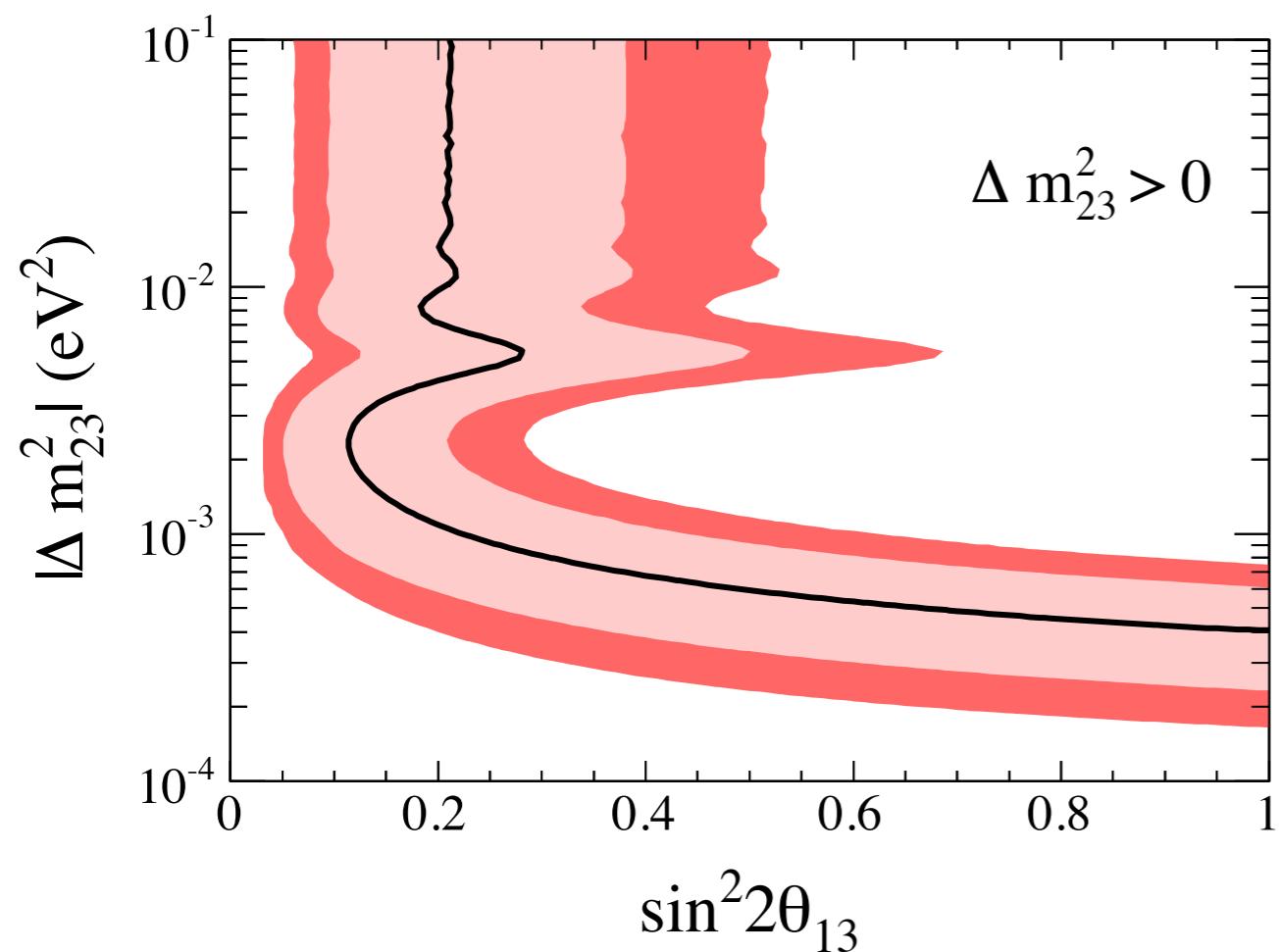
The expected number of events is 1.5 ± 0.3

for $\sin^2 2\theta_{13} = 0$

→ Probability to observe 6 or more events is 0.7%, assuming $\theta_{13}=0$, corresponding to 2.5σ significance.

Allowed region of $\sin^2 2\theta_{13}$ for each Δm^2_{23}

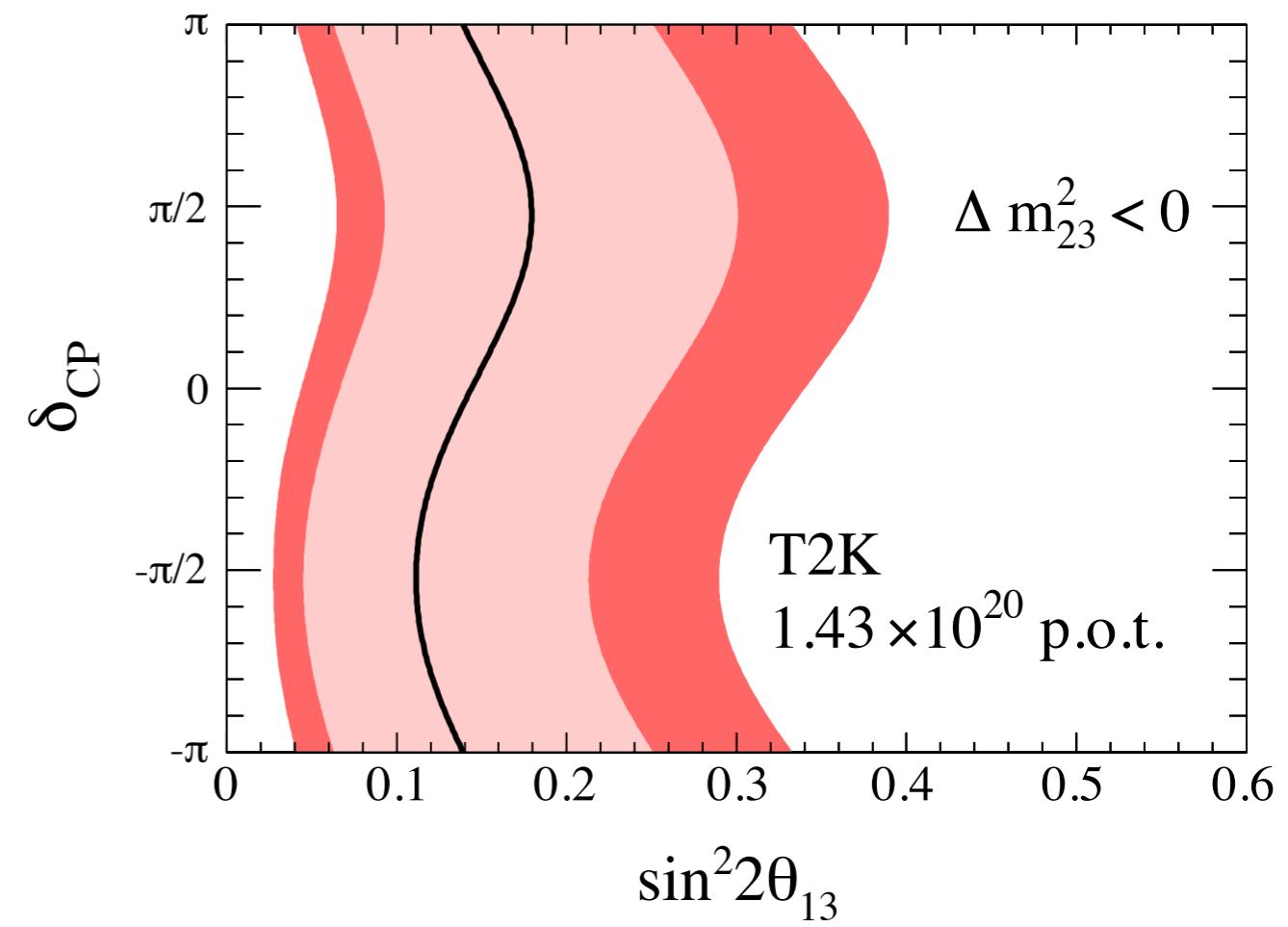
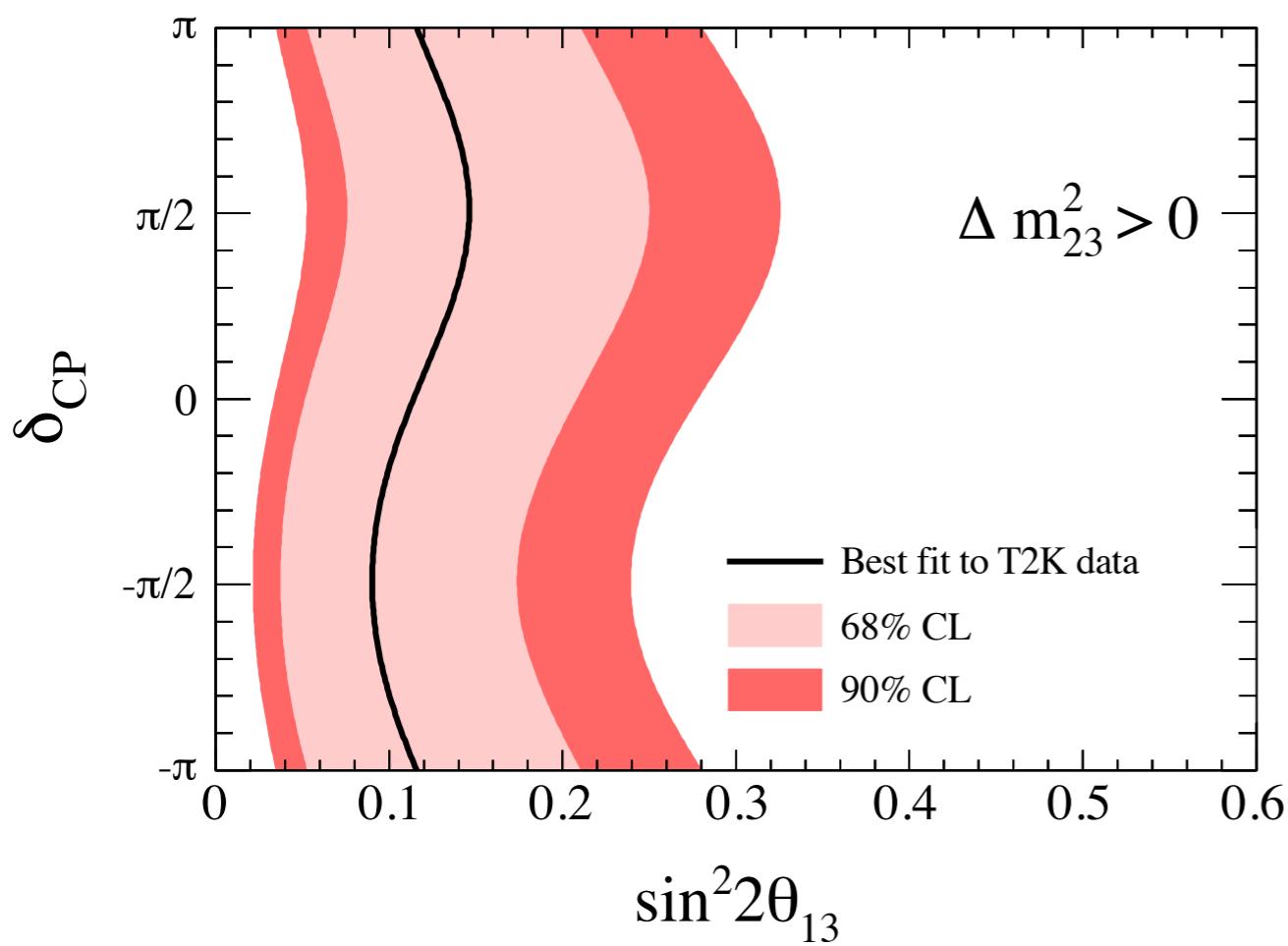
(assuming $\delta_{CP}=0, \sin^2 2\theta_{23}=1.0$)



Feldman-Cousins method was used

Allowed region of $\sin^2 2\theta_{13}$ for each δ_{CP}

(assuming $\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$)

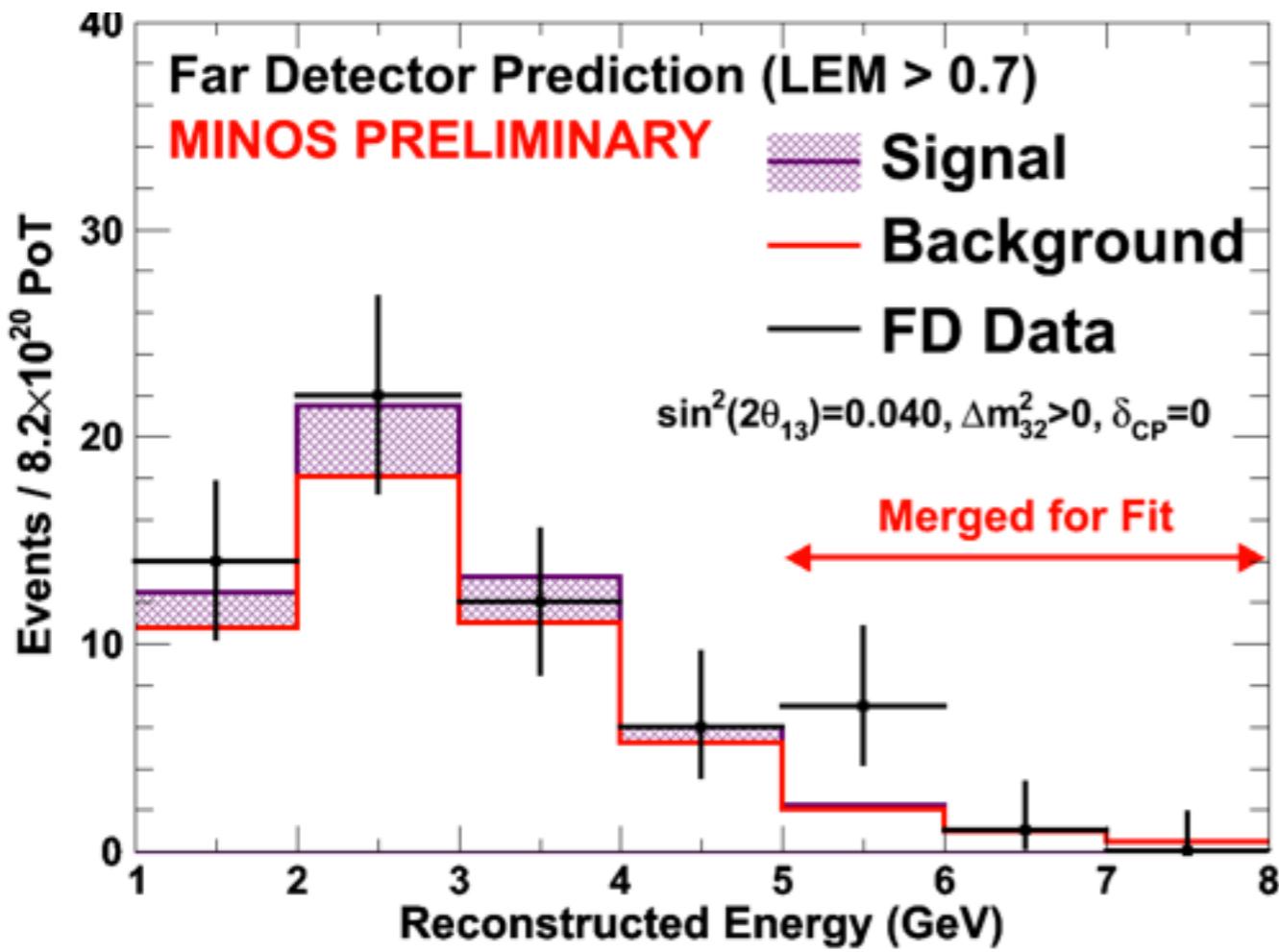


90% C.L. interval (assuming $\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$, $\delta_{\text{CP}}=0$)

$$0.03 < \sin^2 2\theta_{13} < 0.28$$

$$0.04 < \sin^2 2\theta_{13} < 0.34$$

Comparison with MINOS



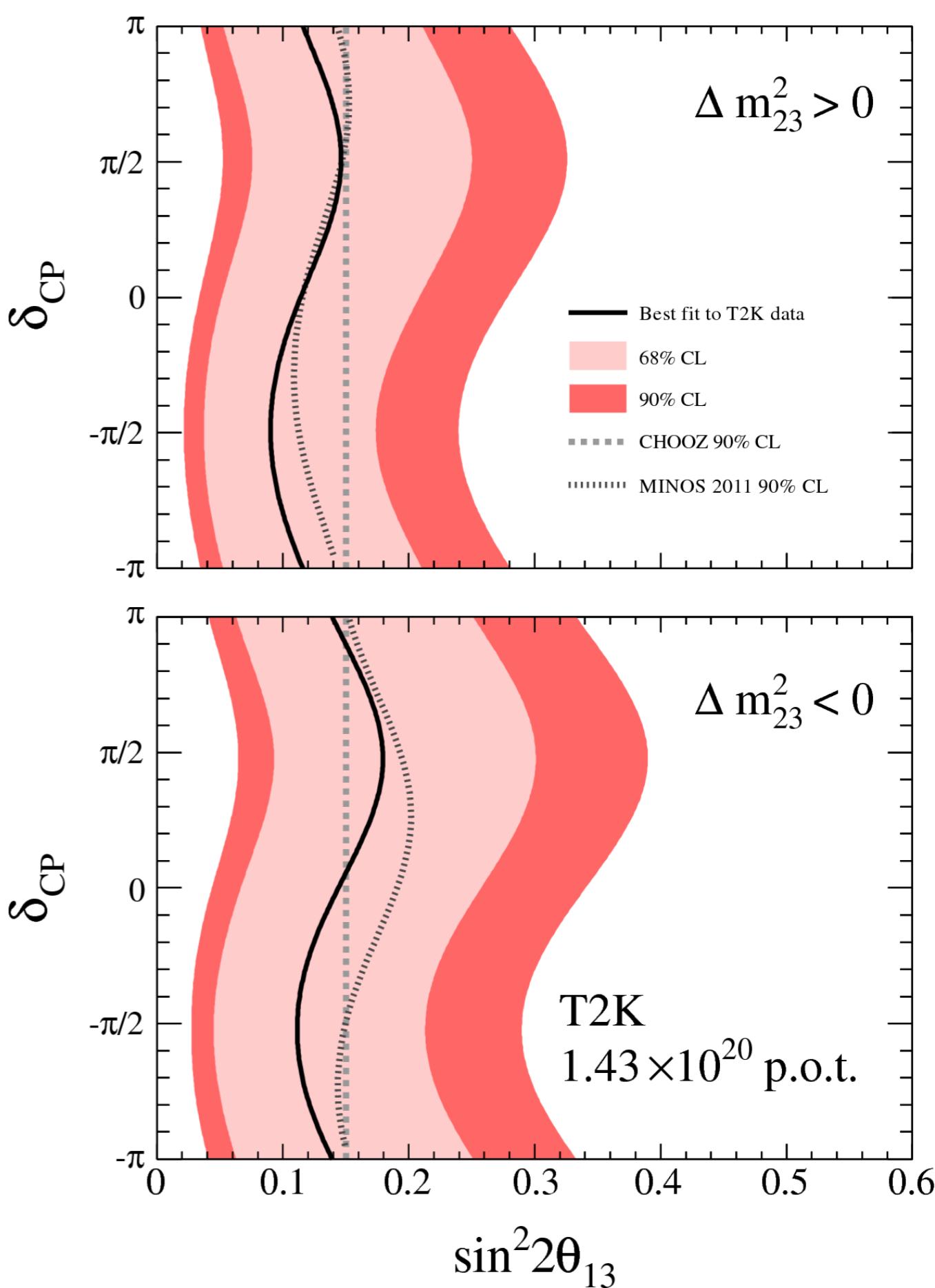
In signal-enhanced region(LEM>0.7):

Expected background ($\theta_{13}=0$):

49.5 + 2.8 (syst) + 7.0 (stat)

Observed data:

62



T2K Next steps

**Aim to establish ν_e appearance and
to determine the angle θ_{13}**

This result is obtained by only 2% exposure of T2K's goal.

- Plan for re-starting experiment in this calendar year
 - Recovery works in progress
- Analysis improvement
 - New analysis methods using ν_e signal shape (e.g. recon. energy) are under developing
 - Improve uncertainties in the Super-K for subdominant BG sources, i.e. π^\pm , $\pi^\pm\pi^0$, $\mu\pi^0$ etc.

Conclusion

- We reported new results from $\nu_\mu \rightarrow \nu_e$ oscillation analysis based on 1.43×10^{20} p.o.t. (2010 Jan. - 2011 Mar.)
 - Observe 6 candidate events
 - # of expected events = 1.5 ± 0.3 (syst.) ($\sin^2 2\theta_{13} = 0$)
 - Under null θ_{13} hypothesis, prob. of observing 6 or more events is 0.007, equivalent to 2.5σ significance.
 - $0.03 \text{ (0.04)} < \sin^2 2\theta_{13} < 0.28 \text{ (0.34)}$ at 90% C.L. for normal (inverted) hierarchy (assuming $\Delta m^2_{23}=2.4 \times 10^{-3}$ eV², $\delta_{CP}=0$, $\sin^2 2\theta_{23}=1.0$)

Indication of $\nu_\mu \rightarrow \nu_e$ appearance

This result was published as Phys. Rev. Lett. 107, 041801 (2011)

Reference: arXiv:1106.1238 for the T2K experimental setup.

- Plan for improve the measurement after recovery of the experiment in this calendar year
- ν_μ disappearance result with 1.43×10^{20} p.o.t. data will be reported this summer

Backup

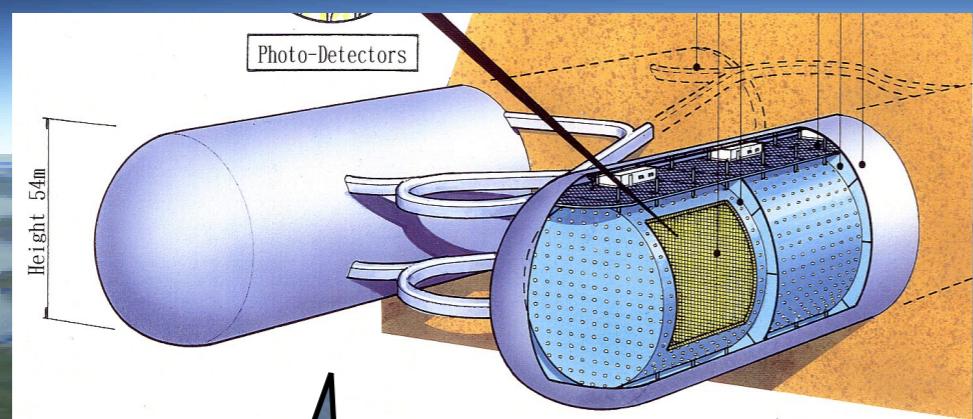
Toward full picture of neutrino masses and mixings

Discovery of $(\theta_{23}, \Delta m^2_{23})$
atmospheric ν
→ $(\theta_{12}, \Delta m^2_{12})$ solar, reactor ν
→ θ_{13} in a few year?

If θ_{13} is really large ($\sin^2 2\theta_{13} \sim 0.1$) as indicated by T2K,
we have to think very seriously how to explore last ν's parameter in the MNS matrix:

δ_{CP}

CP odd term in $P(\nu_\mu \rightarrow \nu_e)$
 $\propto \sin \theta_{12} \sin \theta_{13} \sin \theta_{23} \sin \delta$



Hyper-K

x20 Larger Target

Super-K

Quest for CP Violation
in lepton sector.

$\sim 0.6\text{GeV} \nu\bar{\nu}$
295km

Higher Intensity



JPARC



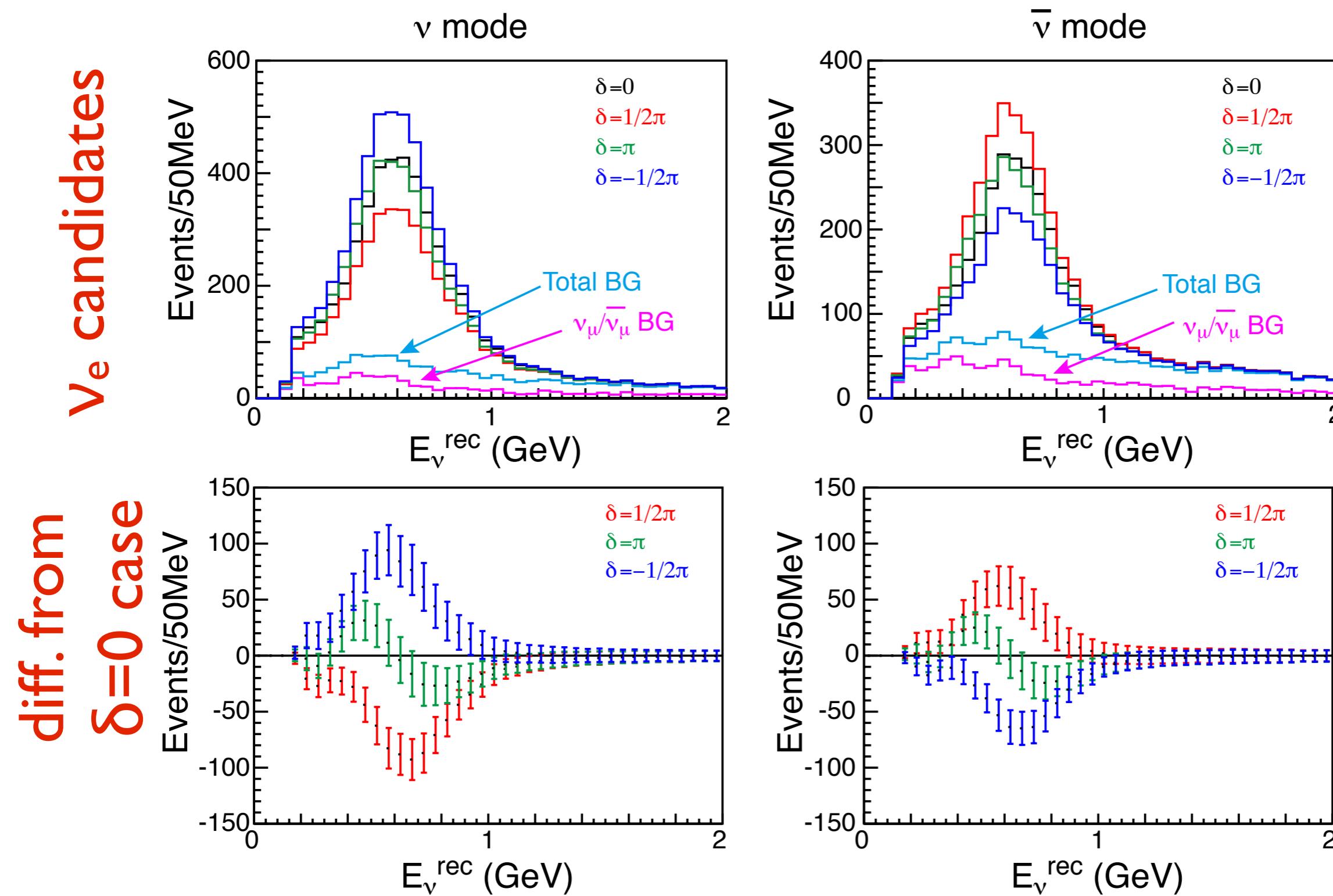
© 2010 ZENRIN
Data © 2010 MIRC/JHA
© 2010 Cnes/Spot Image
© 2010 Mapabc.com

36°24'46.66" N 139°18'01.27" E 標高 214 メートル

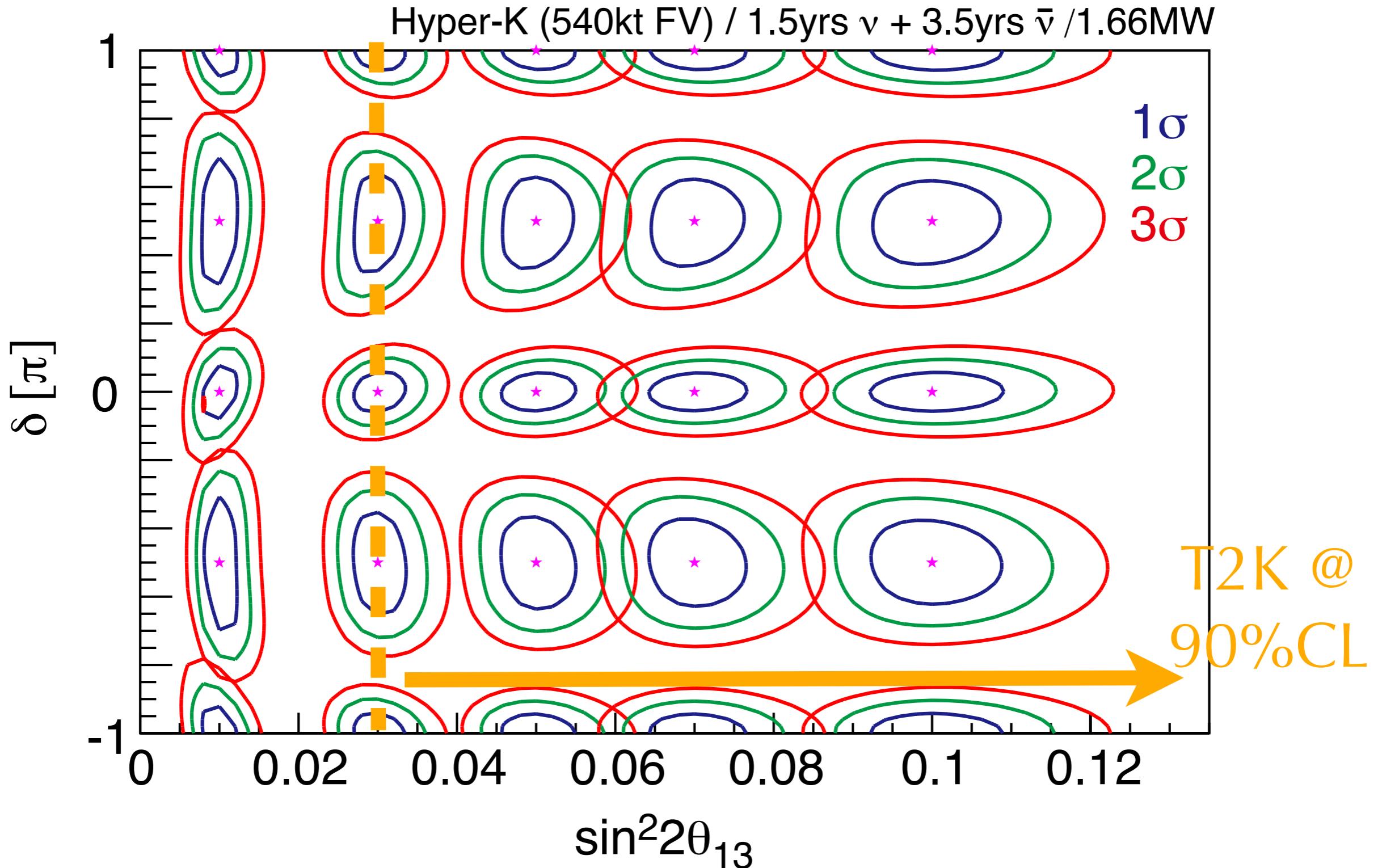
高度 188.55 キロメートル

Google

Compare electron appearance (number and spectrum) in ν and anti- ν beam



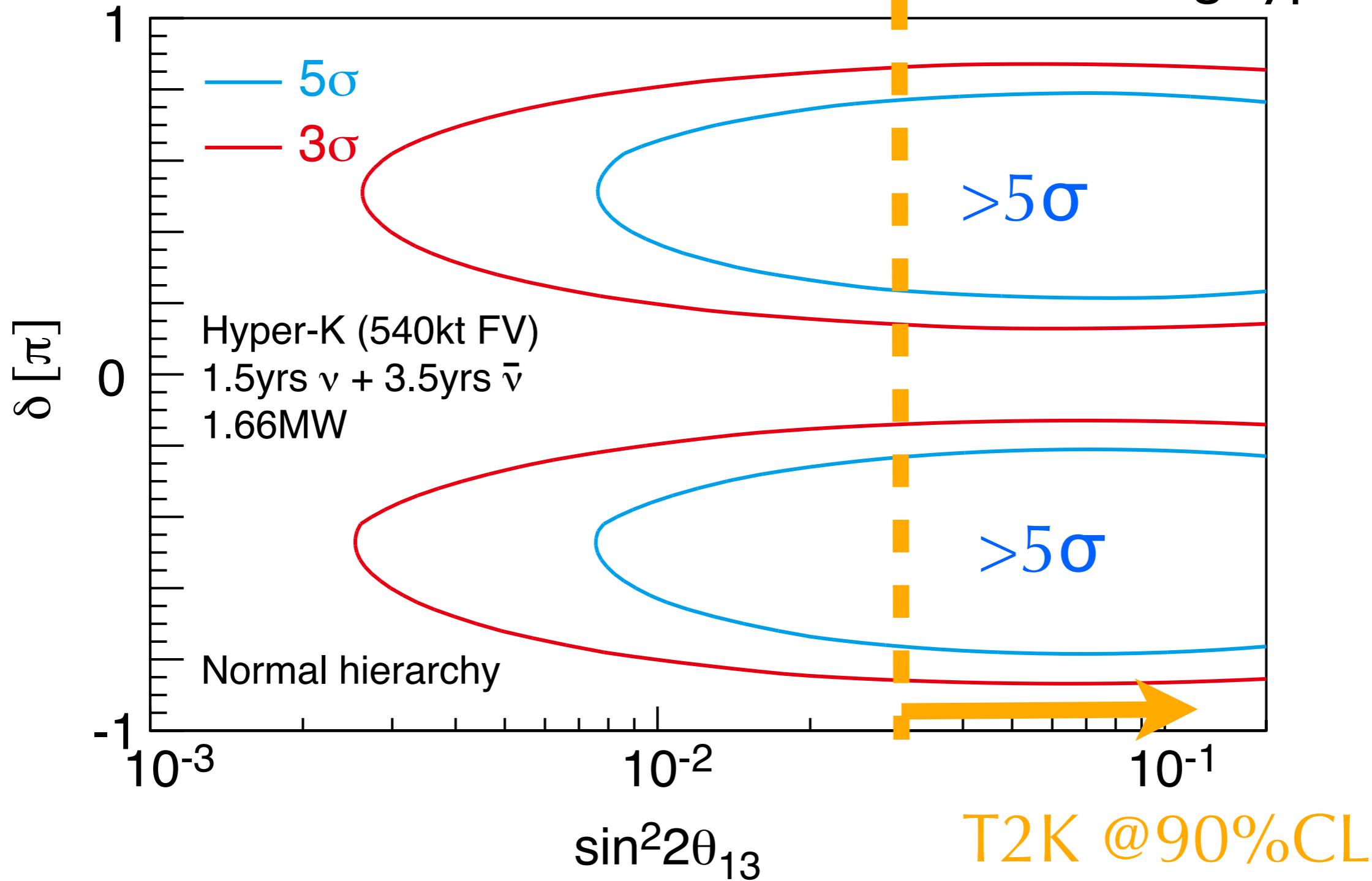
Sensitivity on δ_{CP}



5 years (1.1yrs ν beam and 3.9yrs anti- ν beam)
assuming 5% uncertainties for signal, ν_μ BG, ν_e BG, and ν_e /anti- ν_e .

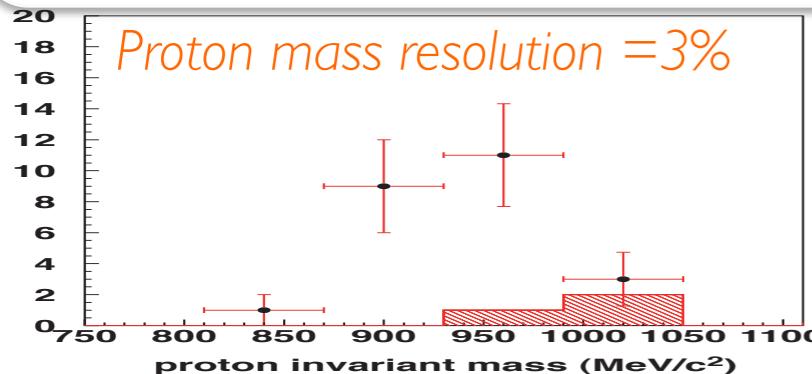
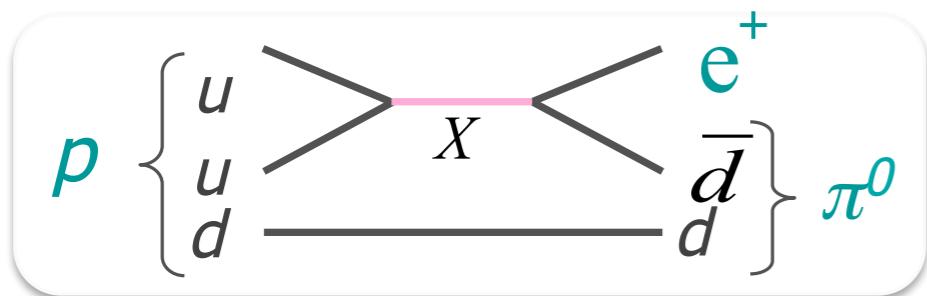
CPV discovery potential

CP δ value for which we can exclude CP conserving hypothesis.



Proton Decay

- explore quark-lepton unification -

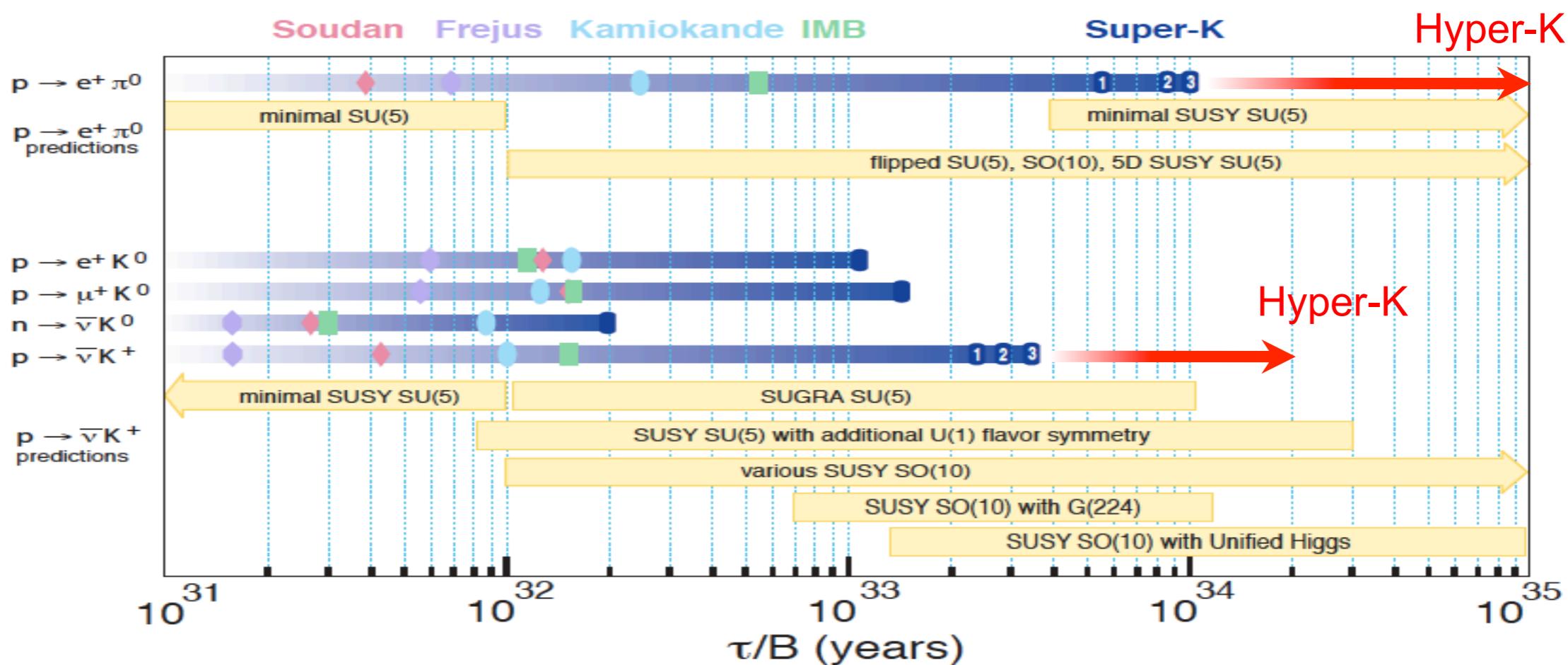


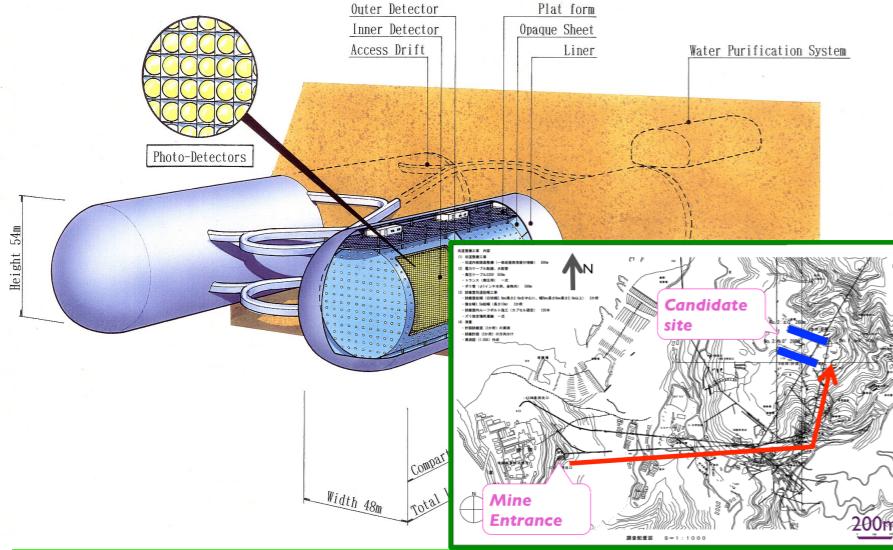
$$p \rightarrow e^+ \pi^0$$

- 1.0×10^{34} years (Super-K I+II+II @ 90% C.L.)
 $\rightarrow \underline{1 \times 10^{35} \text{ years}} \text{ (0.54 Mton} \times 10 \text{ yrs @ 90\% CL)}$

$$p \rightarrow \nu K^+$$

- 3.3×10^{33} years (Super-K I+II+III @ 90% C.L.)
 $\rightarrow \underline{2 \times 10^{34} \text{ years}} \text{ (0.54 Mton} \times 10 \text{ yrs @ 90\% CL)}$





Hyper-K Base-Design

- 1Mton total volume, twin cavity
- 0.54Mton fiducial volume
- Inner (D43m x L250m) x 2
- Outer Detector >2m
- Photo coverage 20% (1/2 x SK)

- Base-design to be optimized
- Geological survey of the site is going on
- Qualitative studies on physics potential

