

2013/04/17 KMI theory seminar@Nagoya

# Spontaneous supersymmetry breaking in noncritical covariant superstring theory

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collaboration with

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+ two forthcoming papers

# 1 Motivations

LHC  $\rightarrow$  SUSY br. at (or just below) Planck scale?

$N \rightarrow \infty$  gauge th. or matrix models: promising candidates for nonpert. def.

SUSY: necessary for consistency of def. of quantum gravity

$\rightarrow$  “desirable” scenario:

SUSY: preserved for finite  $N$ , but gets **spontaneously** broken in the large- $N$  limit

but very few examples (in spite of its importance!)

◇ SUSY breaking/restoration in the large- $N$  limit

[T.K.-Sugino '08  $\sim$  ]

**SUSY DW MM**

## 2 Review of SUSY double-well matrix model

SUSY double-well matrix model:

$$S = N \text{tr} \left[ \frac{1}{2} B^2 + iB(\phi^2 - \mu^2) + \bar{\psi}(\phi\psi + \psi\phi) \right]$$

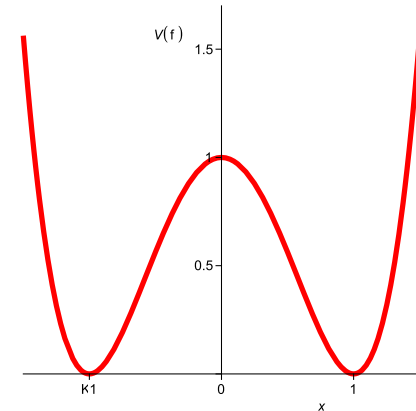
Properties:

- **nilpotent** SUSY:

$$\begin{aligned} Q\phi &= \psi, & Q\psi &= 0, & Q\bar{\psi} &= -iB, & QB &= 0, \\ \bar{Q}\phi &= -\bar{\psi}, & \bar{Q}\bar{\psi} &= 0, & \bar{Q}\psi &= -iB, & \bar{Q}B &= 0, \end{aligned}$$

- parameters:  $N, \mu^2$ ;  $V(\phi) = \frac{1}{2}(\phi^2 - \mu^2)^2$

**finite  $\forall N$ : SUSY br.**  $\iff$  instanton  
 ( $N = 1$  case can be checked explicitly)



In terms of eigenvalues

$$\begin{aligned}
\mathbf{Z} &= \int d\phi d\psi d\bar{\psi} e^{-N \text{tr} \left( \frac{1}{2}(\phi^2 - \mu^2)^2 + \bar{\psi}(\phi\psi + \psi\phi) \right)} \\
&= \int \left( \prod_i d\lambda_i \right) d\psi d\bar{\psi} \Delta(\lambda)^2 e^{-N \left[ \sum_i \frac{1}{2}(\lambda_i^2 - \mu^2)^2 + \bar{\psi}_{ij}(\lambda_j + \lambda_i)\psi_{ji} \right]} \\
&= \int \left( \prod_i d\lambda_i \right) \prod_{i>j} (\lambda_i - \lambda_j)^2 \prod_{i,j} (\lambda_i + \lambda_j) e^{-N \sum_i \frac{1}{2}(\lambda_i^2 - \mu^2)^2} \\
&= \int \left( \prod_i d\lambda_i \right) \prod_i (2\lambda_i) \prod_{i>j} (\lambda_i^2 - \lambda_j^2)^2 e^{-N \sum_i \frac{1}{2}(\lambda_i^2 - \mu^2)^2}
\end{aligned}$$

$$\begin{aligned}
\text{SPE : } \quad 0 &= 2 \sum_{j(\neq i)} \frac{1}{\lambda_i - \lambda_j} + 2 \sum_j \frac{1}{\lambda_i + \lambda_j} - N(\lambda_i^2 - \mu^2) \cdot 2\lambda_i \\
&\Rightarrow \int dy \rho(y) \frac{P}{x - y} + \int dy \rho(y) \frac{P}{x + y} = x^3 - \mu^2 x \\
&\qquad\qquad\qquad \left( \rho(x) = \frac{1}{N} \text{tr} \delta(x - \phi) \right)
\end{aligned}$$

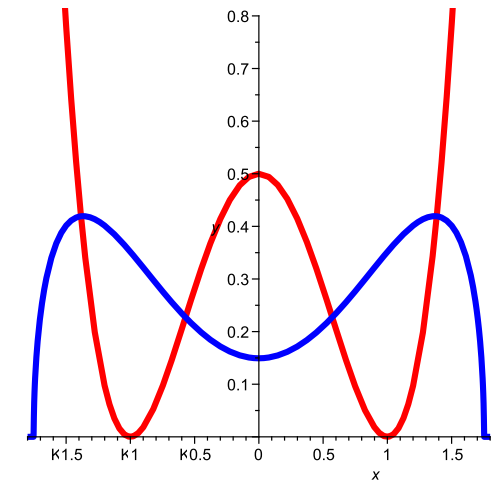
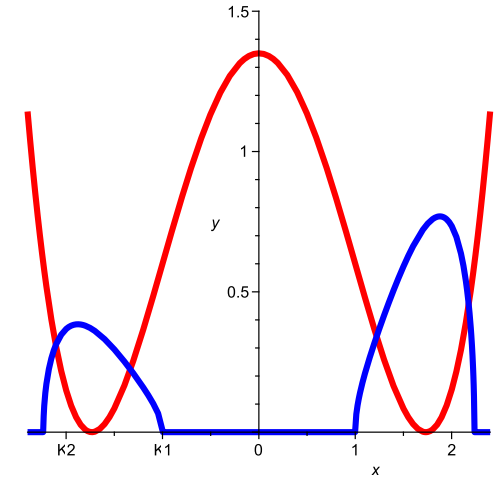
- $N \rightarrow \infty$ : two phases:

1.  $\mu^2 \geq 2$ : two-cut phase:  $(\nu_+, \nu_-)$  ( $\nu_+ + \nu_- = 1$ )

$$\rho(x) = \begin{cases} \frac{\nu_+}{\pi} x \sqrt{(x^2 - a^2)(b^2 - x^2)} & (a < x < b) \\ \frac{\nu_-}{\pi} |x| \sqrt{(x^2 - a^2)(b^2 - x^2)} & (-b < x < -a) \end{cases}$$

$$a = \sqrt{\mu^2 - 2}, \quad b = \sqrt{\mu^2 + 2}$$

2.  $\mu^2 < 2$ : one-cut phase:



Order parameter:  $(B^n = iQ(B^{n-1}\bar{\psi}) = i\bar{Q}(B^{n-1}\psi))$

$$\left\langle \frac{1}{N} \text{tr } B^n \right\rangle = 0 \quad \text{for } \forall n \quad (\text{two-cut phase})$$

$$\neq 0 \quad \text{for } n = 1 \quad (\text{one-cut phase})$$

$\therefore \underline{\mu^2 \geq 2}$ :

- SUSY vacua continuously parametrized by  $\nu_+$
- $\mu^2 = 2$ : critical pt.  $\rightarrow$  **SUSY/nonSUSY phase transition! (3rd)**

possible to define a superstring theory by taking a **double scaling limit**?:

$\mu^2 \rightarrow 2 + 0, N \rightarrow \infty$  with  $(\mu^2 - 2)N^*$ :fixed

### 3 One-point function

Nicolai mapping:

[Gaiotto-Rastelli-Takayanagi '04]

$X = \phi^2 - \mu^2 \implies$  Gaussian matrix model:  $c = -2$  topological gravity  
loop gas ( $O(-2)$ ) model  
[Kostov-Staudacher 1992]

$\left\langle \prod_i \frac{1}{N} \text{tr} \phi^{2n_i} \right\rangle$ : regular in  $\mu^2 \rightarrow 2$

However, this model also has

$\frac{1}{N} \text{tr} \phi^{2n+1}, \frac{1}{N} \text{tr} \psi^{2n+1}, \frac{1}{N} \text{tr} \bar{\psi}^{2n+1}$  ( $n = 0, 1, 2, \dots$ )  $\rightarrow$  nontrivial

One-point function ( $N \rightarrow \infty$ )

$$\begin{aligned} \left\langle \frac{1}{N} \text{tr } \phi^n \right\rangle_0 &= \int_{\Omega} dx x^n \rho(x) \quad (\Omega = [-b, -a] \cup [a, b]) \\ &= (\nu_+ + (-1)^n \nu_-) (\mu^2 + 2)^{\frac{n}{2}} F \left( -\frac{n}{2}, \frac{3}{2}, 3; \frac{4}{\mu^2 + 2} \right) \end{aligned}$$

- $n$ : even:  $(\nu_+, \nu_-)$ -indep., poly. in  $\mu^2$
- $n$ : odd:  $(\nu_+ - \nu_-)$ -dep., logarithmic singular behavior:

$\omega = \frac{\mu^2 - 2}{4}$ : deviation from the critical pt.

$$\left\langle \frac{1}{N} \text{tr } \phi^{2k+1} \right\rangle_0 = (\nu_+ - \nu_-) (\text{const.}) \omega^{k+2} \ln \omega + \dots$$

## 4 Multi-point functions

two-point functions for boson (leading part containing log)

- $\left\langle \frac{1}{N} \text{tr} \phi^{2k} \frac{1}{N} \text{tr} \phi^{2\ell} \right\rangle_C$  : indep. of  $(\nu_+, \nu_-)$ , poly. of  $\mu^2$
- $\left\langle \frac{1}{N} \text{tr} \phi^{2k+1} \frac{1}{N} \text{tr} \phi^{2\ell} \right\rangle_C \sim (\nu_+ - \nu_-) (\text{const.}) \omega^{k+1} \ln \omega$
- $\left\langle \frac{1}{N} \text{tr} \phi^{2k+1} \frac{1}{N} \text{tr} \phi^{2\ell+1} \right\rangle_C \sim (\nu_+ - \nu_-)^2 (\text{const.}) \omega^{k+\ell+1} (\ln \omega)^2$

$$\begin{aligned} \therefore \left\langle \frac{1}{N} \text{tr} \phi^{2k_1+1} \dots \frac{1}{N} \text{tr} \phi^{2k_n+1} \right\rangle_{C,0} \\ \sim (\nu_+ - \nu_-)^n (\text{const.}) \omega^{2-\gamma+\sum_{i=1}^n (k_i-1)} (\ln \omega)^n + \dots \end{aligned}$$

- confirmed for general 2-pt functions, first two simplest 3-pt. functions
- new critical behavior as **power of log**
- $\gamma = -1$ : string susceptibility of  $c = -2$  topological gravity  
 $\Rightarrow$  **double scaling limit:  $N^2 \omega^3 \sim 1/g_s^2$ : fixed**



two-point function for fermion

$$\left\langle \frac{1}{N} \text{tr} \psi^{2k+1} \frac{1}{N} \text{tr} \bar{\psi}^{2l+1} \right\rangle = \delta_{kl} (\nu_+ - \nu_-)^{2k+1} \omega^{2k+1} \ln \omega + \dots$$

- confirmed up to  $k, l = 0, 1$

## 5 Correspondence to $D = 2$ IIA superstring

logarithmic singularity  $\rightarrow$  scaling violation in bosonic string in  $D = 2$

[Brezin, Kazakov Zamolodchikov 1990]

[Gross-Klebanov 1990][Polchinski 1990]

“new” MM interpretation: **matrix=field on target space** (cf. “old” MM)

$\rightarrow$   $D = 2$  superstring theory with **unbroken target space SUSY**

$\rightarrow$   $D = 2$  IIA superstring theory [Kutasov-Seiberg 1990][Ita-Nieder-Oz '05]

• action:  $\mathcal{N} = 2$  Liouville theory

$$S = \frac{1}{2\pi} \int d^2z \left( \partial x \bar{\partial} x + \partial \varphi \bar{\partial} \varphi + \frac{Q}{4} R \varphi + \mathbf{g}_{\pm}(\psi_l \pm i\psi_x)(\bar{\psi}_l \pm i\bar{\psi}_x) e^{\frac{1}{Q}(\varphi \pm ix)} \right. \\ \left. + \text{fermion kin. terms} \right)$$

i.e. target sp.  $(x, \varphi)$ :  $2D$ ,  $Q = 2$

• target sp. SUSY:

$$L : q_+ = e^{-\frac{1}{2}\phi - \frac{i}{2}H - ix}, \quad R : \bar{q}_- = e^{-\frac{1}{2}\bar{\phi} + \frac{i}{2}\bar{H} + i\bar{x}} \quad (\psi_l \pm i\psi_x = \sqrt{2}e^{\mp iH})$$

$$\Rightarrow \mathbf{Q}_+^2 = \mathbf{\bar{Q}}_-^2 = \{Q_+, \bar{Q}_-\} = 0 : \text{ nilpotent!, no spacetime translation}$$

- physical vertex ops.:

- NS: (-1)-picture tachyon:  $T_k(z) = e^{-\phi+ikx+p_l\varphi}(z)$   
with  $p_l = 1 - |k|$  (Seiberg bound)

- R: (-1/2)-picture R field:  $V_{k,\epsilon}(z) = e^{-\frac{1}{2}\phi+\frac{i}{2}\epsilon H+ikx+p_l\varphi}(z)$

- both **WS & TS SUSY**  $\rightarrow x \in S^1$  with  $R = 2/Q = 1$  (self-dual radius)

- physical states: (winding background)

(NS, NS)	$T_k \bar{T}_{-k}$	$k \in \mathbb{Z} + 1/2$
(R+, R-)	$V_{k,+1} \bar{V}_{-k,-1}$	$k \in \mathbb{Z}_{\geq 0} + 1/2$
(R-, R+)	$V_{k,-1} \bar{V}_{-k,+1}$	$k \in \mathbb{Z}_{\leq 0}$
(NS, R-)	$T_k \bar{V}_{k,-1}$	$k \in \mathbb{Z}_{\leq 0} - 1/2$
(R+, NS)	$V_{k,+1} \bar{T}_k$	$k \in \mathbb{Z}_{\geq 0} + 1/2$

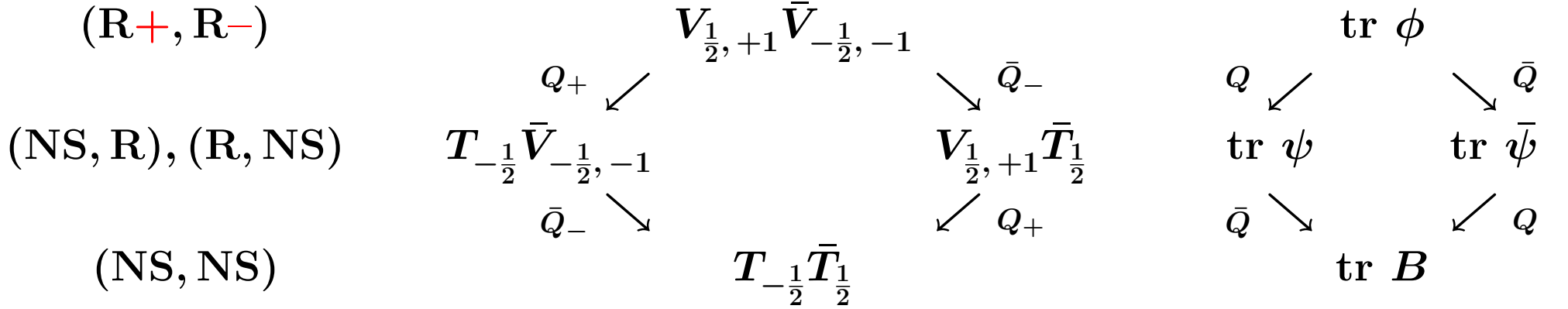
“new” matrix model interpretation  $\Rightarrow$  natural to identify

$$\frac{1}{N} \text{tr } \psi \quad \longleftrightarrow \quad (\text{NS}, \text{R}) \quad \frac{1}{N} \text{tr } \bar{\psi} \quad \longleftrightarrow \quad (\text{R}, \text{NS})$$

$\Rightarrow$  how about boson?

SUSY multiplet: identify  $(Q, \bar{Q})$  in MM  $\iff (Q_+, \bar{Q}_-)$  in IIA

lowest momentum ( $k = \pm\frac{1}{2}$ ) sector:



i.e.

$$\begin{aligned}
 \frac{1}{N} \text{tr } \psi &\iff (\mathbf{NS}, \mathbf{R}) \quad T_{-\frac{1}{2}} \bar{V}_{-\frac{1}{2}, -1} & \frac{1}{N} \text{tr } \bar{\psi} &\iff (\mathbf{R}, \mathbf{NS}) \quad V_{\frac{1}{2}, +1} \bar{T}_{\frac{1}{2}} \\
 \frac{1}{N} \text{tr } \phi &\iff (\mathbf{R}+, \mathbf{R}-) \quad V_{\frac{1}{2}, +1} \bar{V}_{-\frac{1}{2}, -1} & \frac{1}{N} \text{tr } B &\iff (\mathbf{NS}, \mathbf{NS}) \quad T_{-\frac{1}{2}} \bar{T}_{\frac{1}{2}}
 \end{aligned}$$

Then

$Z_2$ -symmetry in MM:  $\bar{\psi} \rightarrow -\bar{\psi}, \phi \rightarrow -\phi$

$$S = N \text{tr} \left[ \frac{1}{2} B^2 + iB(\phi^2 - \mu^2) + \bar{\psi}(\phi\psi + \psi\phi) \right]$$

automatically realized as  $(-1)^{FL}$  symmetry in IIA:  $V_{\frac{1}{2}, +1} \rightarrow -V_{\frac{1}{2}, +1}$

observations:

- where's information of momentum?

cf. Penner model

[Distler-Vafa '90][Mukhi '03]

$$Z(t, \bar{t}) = \int dM e^{\text{tr} \left( -\nu M + (\nu - N) \log M - \sum_{k=1}^{\infty} \bar{t}_k (M A^{-1})^k \right)}, \quad t_k = \frac{1}{\nu k} \text{tr} A^{-k}$$

$$\rightarrow \langle T_{k_1} \cdots T_{k_m} T_{-l_1} \cdots T_{-l_n} \rangle_{c=1, R=1} = \frac{\partial}{\partial t_{k_1}} \cdots \frac{\partial}{\partial t_{k_m}} \frac{\partial}{\partial \bar{t}_{k_1}} \cdots \frac{\partial}{\partial \bar{t}_{k_n}} F(t, \bar{t}) \Big|_{t, \bar{t}=0}$$

→ power of matrices

- $\left\langle \frac{1}{N} \text{tr} \phi^{2k+1} \right\rangle_0 \neq 0$  (logarithmic behavior)?

(R+, R-) one-point function  $\neq 0$  → **RR background!**

- missing (R-, R+) sector?

not in MM (i.e. (asymptotic) target sp. fields),

but this must be a **background** in IIA!

In fact, (R-, R+)-sector:  $Q_+, \bar{Q}_-$ -singlet. → target sp. SUSY inv.!

Claim

SUSY DW MM = 2D type IIA **at the level of correlation functions** under:

$$\begin{aligned}
\frac{1}{N} \text{tr } \phi^{2k+1} &\Leftrightarrow (\text{R}, \text{R}): \int d^2 z V_{k+\frac{1}{2}, +1}(z) \bar{V}_{-k-\frac{1}{2}, -1}(\bar{z}) \\
\frac{1}{N} \text{tr } \psi^{2k+1} &\Leftrightarrow (\text{NS}, \text{R}): \int d^2 z T_{-k-\frac{1}{2}}(z) \bar{V}_{-k-\frac{1}{2}, -1}(\bar{z}) \\
\frac{1}{N} \text{tr } \bar{\psi}^{2k+1} &\Leftrightarrow (\text{R}, \text{NS}): \int d^2 z V_{k+\frac{1}{2}, +1}(z) \bar{T}_{k+\frac{1}{2}}(\bar{z}) \\
\frac{1}{N} \text{tr } B &\Leftrightarrow (\text{NS}, \text{NS}): \int d^2 z T_{-\frac{1}{2}}(z) \bar{T}_{\frac{1}{2}}(\bar{z})
\end{aligned}$$

where the IIA correlation functions are

$$\begin{aligned}
&\left\langle\left\langle \prod_i \int d^2 z_i V_i(z_i, \bar{z}_i) \right\rangle\right\rangle \\
&= \left\langle \prod_i \int d^2 z_i V_i(z_i, \bar{z}_i) e^{(\nu_+ - \nu_-) \sum_{k \in \mathbb{Z}} a_k \omega^{k+1} \int d^2 z V_{-|k|, -1} \bar{V}_{|k|, +1}} \right\rangle_{\mathcal{N}=2 \text{ Liouville}}
\end{aligned}$$

with

$$\underline{\omega = g_-}, \quad g_+ = 0$$

Note:

- RR flux term:  $(\nu_+ - \nu_-) \sum_{k \in \mathbb{Z}} a_k \omega^{k+1} \int d^2 z V_{-|k|, -1} \bar{V}_{|k|, +1}$

$(\nu_+ - \nu_-)$ : RR flux source

[Takayanagi '04]

$k > 0$ : wrong branch **breaking Seiberg bound**:

$$V_{-k, -1}^{(\text{NL})} = e^{-\frac{\phi}{2} - \frac{i}{2} H - ikx + p_l \varphi} \quad \text{with} \quad p_l = 1 + |k|$$

: nonlocal disturbance on string WS

- MM & IIA action:

$$S_{\text{MM}} = N \text{tr} \left[ \frac{1}{2} B^2 + iB(\phi^2 - \mu^2) + \bar{\psi}(\phi\psi + \psi\phi) \right], \quad \omega = \frac{\mu^2 - 2}{4}$$

$$S_{\text{IIA}} = \frac{1}{2\pi} \int d^2 z \left( \partial x \bar{\partial} x + \partial \varphi \bar{\partial} \varphi + \frac{Q}{4} \sqrt{g} R \varphi \right. \\ \left. + g_- \underbrace{(\psi_l - i\psi_x)(\bar{\psi}_l - i\bar{\psi}_x) e^{\frac{1}{Q}(\varphi - ix)}}_{\propto T_{-\frac{1}{2}} \bar{T}_{\frac{1}{2}}} + \dots \right)$$

$$\therefore \partial_\omega \propto \text{tr} B \quad \Longleftrightarrow \quad \int d^2 z T_{-\frac{1}{2}} \bar{T}_{\frac{1}{2}} \propto \partial_{g_-}$$

Examples:

$$\begin{aligned}
& \bullet \left\langle \frac{1}{N} \text{tr} (-iB) \frac{1}{N} \text{tr} \phi^{2k+1} \right\rangle_{C,0} = \frac{1}{4} \partial_\omega \left\langle \frac{1}{N} \text{tr} \phi^{2k+1} \right\rangle_0 = (\nu_+ - \nu_-) c_k \omega^{k+1} \ln \omega \\
& \left\langle \left\langle \int d^2 z_1 T_{-\frac{1}{2}}(z_1) \bar{T}_{\frac{1}{2}}(\bar{z}_1) \int d^2 z_2 V_{k+\frac{1}{2},+1}(z_2) \bar{V}_{-k-\frac{1}{2},-1}(\bar{z}_2) \right\rangle \right\rangle \\
& = \left\langle \int d^2 z_1 T_{-\frac{1}{2}}(z_1) \bar{T}_{\frac{1}{2}}(\bar{z}_1) \int d^2 z_2 V_{k+\frac{1}{2},+1}(z_2) \bar{V}_{-k-\frac{1}{2},-1}(\bar{z}_2) \right. \\
& \quad \left. \times (\nu_+ - \nu_-) a_k \omega^{k+1} \int d^2 z V_{-k,-1}^{(\text{NL})}(z) \bar{V}_{k,+1}^{(\text{NL})}(\bar{z}) \right\rangle \\
& = (\nu_+ - \nu_-) a_k \omega^{k+1} \cdot \underbrace{2 \ln g_-}_{\text{Liouville vol.}} \quad (a_k : \text{finite via } \exists \text{ regularization})
\end{aligned}$$



$$\begin{aligned}
& \bullet \left\langle \frac{1}{N} \text{tr} \phi^{2k+1} \frac{1}{N} \text{tr} \phi^{2\ell+1} \right\rangle_{C,0} = (\nu_+ - \nu_-)^2 C_{kl} \omega^{k+\ell+1} (\ln \omega)^2 \\
& \left\langle \left\langle \int d^2 z_1 V_{k+\frac{1}{2},+1}(z_1) \bar{V}_{-k-\frac{1}{2},-1}(\bar{z}_1) \int d^2 z_2 V_{\ell+\frac{1}{2},+1}(z_2) \bar{V}_{-\ell-\frac{1}{2},-1}(\bar{z}_2) \right\rangle \right\rangle \\
& = \left\langle \int d^2 z_1 V_{k+\frac{1}{2},+1}(z_1) \bar{V}_{-k-\frac{1}{2},-1}(\bar{z}_1) \int d^2 z_2 V_{\ell+\frac{1}{2},+1}(z_2) \bar{V}_{-\ell-\frac{1}{2},-1}(\bar{z}_2) \right. \\
& \quad \times (\nu_+ - \nu_-) a_{-1} \omega^{-1+1} \int d^2 z V_{-1,-1}(z) \bar{V}_{1,+1}(\bar{z}) \\
& \quad \times (\nu_+ - \nu_-) a_{k+\ell} \omega^{k+\ell+1} \int d^2 w V_{-k-\ell,-1}^{(\text{NL})}(w) \bar{V}_{k+\ell,+1}^{(\text{NL})}(\bar{w}) \left. \right\rangle \\
& = (\nu_+ - \nu_-)^2 a_{-1} a_{k+\ell} C_{kl} \omega^{k+\ell+1} (2 \ln g_-)^2
\end{aligned}$$

similar for fermion 2-pt. function

strong evidence that our matrix model provides  
**nonperturbative def.** of  $D = 2$  IIA superstring theory  
in the RR-background!

## 6 Spontaneous SUSY breaking of superstring

Let's try to compute SUSY br. order parameter **exactly in the DSL**  
order parameter:

$$\left\langle \frac{1}{N} \text{tr} B \right\rangle \left( = -\frac{i}{4N^2} \partial_\omega F \right) \quad (\text{recall } iQ \text{tr} (\bar{\psi}) = \text{tr} B)$$

$$\left\langle \frac{1}{N} \text{tr} B \right\rangle = -i \left\langle \frac{1}{N} \text{tr} (\phi^2 - \mu^2) \right\rangle = \left\langle \left\langle \int d^2z T_{-\frac{1}{2}}(z) \bar{T}_{\frac{1}{2}}(\bar{z}) \right\rangle \right\rangle$$

Nicolai mapping

$$\mathbf{X} = \phi^2 - \mu^2 \quad \text{or} \quad x_i = \lambda_i^2 - \mu^2$$

$$\mathbf{Z} = \int dB dX e^{-N \text{tr} (\frac{1}{2} B^2 + iBX)} = \prod_i \left( \int_{-\mu^2}^{\infty} dx_i \right) \prod_{i>j} (x_i - x_j)^2 e^{-N \sum_i \frac{1}{2} x_i^2}$$

**if** we can ignore effect of boundary

$$\left\langle \frac{1}{N} \text{tr} B^n \right\rangle = \frac{1}{\mathbf{Z}} \int dB \int dX \frac{1}{N} \text{tr} B^n e^{-N \text{tr} (\frac{1}{2} B^2 + iBX)} = 0 \quad \text{for } \forall n \in \mathbb{N}$$

$$\rightarrow \left\langle \frac{1}{N} \text{tr} B^n \right\rangle = 0 \quad \text{i.e. } \mathbf{SUSY} \text{ for all order of } 1/N\text{-expansion}$$

exact calculation: evaluation of boundary effect

$$Z = \int_{-\mu^2}^{\infty} \left( \prod_i dx_i \right) \Delta(x)^2 e^{-\frac{N}{2} \sum_i x_i^2}$$

orthogonal polynomial:

$$P_n(x) = x^n + \mathcal{O}(x^{n-1}),$$

$$(P_n, P_m) \equiv \int_{-\mu^2}^{\infty} dx e^{-\frac{N}{2}x^2} P_n(x) P_m(x) = h_n \delta_{nm}$$

$$\rightarrow xP_n(x) = P_{n+1}(x) + s_n P_n(x) + r_n P_{n-1}(x) \quad \text{e.g.} \quad r_n = \frac{h_n}{h_{n-1}}$$

$$\left\langle \frac{1}{N} \text{tr} (\phi^2 - \mu^2) \right\rangle = \frac{1}{N} \sum_{k=0}^{N-1} s_k$$

---

without boundary,  $P_n(x) = H_n(\sqrt{N}x)$

$$xH_n(x) = H_{n+1}(x) + nH_{n-1}(x) \quad \rightarrow \quad s_n = 0, \quad r_n = \frac{n}{N}$$

However, taking account of the boundary,

$$P_1(x) = x + c,$$

$$\begin{aligned} 0 = (P_0, P_1) &= \int_{-\mu^2}^{\infty} dx e^{-\frac{N}{2}x^2} 1 \cdot (x + c) = \int_{-\mu^2}^{\infty} dx e^{-\frac{N}{2}x^2} x + ch_0 \\ &= \frac{1}{N} e^{-\frac{N}{2}\mu^4} + ch_0, \quad h_0 = (P_0, P_0) = \int_{-\mu^2}^{\infty} dx e^{-\frac{N}{2}x^2} \end{aligned}$$

$$\therefore c = -\frac{1}{N} \frac{1}{h_0} e^{-\frac{N}{2}\mu^4} = s_0 \neq 0$$

$$\text{In general, } s_k = \frac{1}{N} \frac{1}{h_n} P_k(-\mu^2)^2 e^{-\frac{N}{2}\mu^4}$$

**nonperturbative effect:  $\exp(-NC)$  makes  $s_n$  nonvanishing!!**

boundary effect  $\iff$  nonperturbative effect

[Gaiotto-Rastelli-Takayanagi '04]

$$\left\langle \frac{1}{N} \text{tr} B \right\rangle = \frac{1}{32\pi N^2 \omega} e^{-\frac{32}{3} N \omega^{\frac{3}{2}}} + \mathcal{O}(e^{-\frac{64}{3} N \omega^{\frac{3}{2}}}) \quad \text{for } N \omega^{\frac{3}{2}} \sim \frac{1}{g_s} : \text{ fixed, large}$$

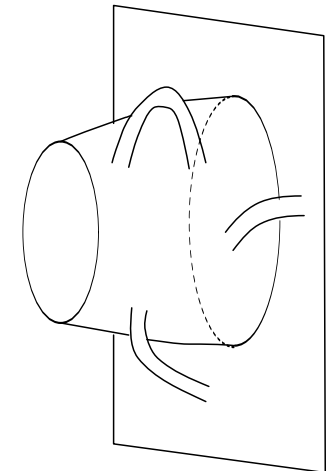
$$\rightarrow F = \frac{1}{128\pi} \frac{1}{N \omega^{\frac{3}{2}}} e^{-\frac{32}{3} N \omega^{\frac{3}{2}}} + \mathcal{O}(e^{-\frac{64}{3} N \omega^{\frac{3}{2}}})$$

Note:

- zero in all orders in  $1/N$ -expansion, but nonperturbatively nonzero due to boundary effect  $\rightarrow$  **spontaneous breaking of SUSY** in SUSY DW MM
- **finite in the double scaling limit** (cf. correlation functions)
- **TS SUSY can be broken in nonperturbative superstring theory**  
(we DO NOT put a D-brane by hand!! RR flux DOES NOT break SUSY)  
**“D-brane superposition” triggers SUSY**
- **exact** result in the one-instanton sector by  $\text{Ai}(t)$ :

$$\text{Ai}'(4/g_s)^2 - \frac{4}{g_s} \text{Ai}(4/g_s)^2$$

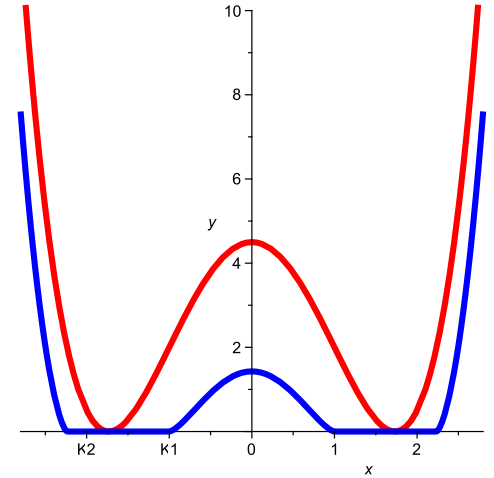
( $\therefore$  disk amp. with **arbitrary holes and handles**)



## Physical interpretation

MM instanton action

$$\begin{aligned}
 V_{\text{eff}}^{(0)}(0) - V_{\text{eff}}^{(0)}(a) &= \int_0^{a^2} dy \sqrt{(y - \mu^2)^2 - 4} \\
 &= \frac{1}{2} \mu^2 \sqrt{\mu^4 - 4} + 2 \log \left( \frac{\mu^2 - \sqrt{\mu^4 - 4}}{2} \right) \\
 &\quad \text{(complete agreement with OP)} \\
 \rightarrow \frac{32}{3} \omega^{\frac{3}{2}} &\quad \left( \omega = \frac{\mu^2 - 2}{4} \right)
 \end{aligned}$$

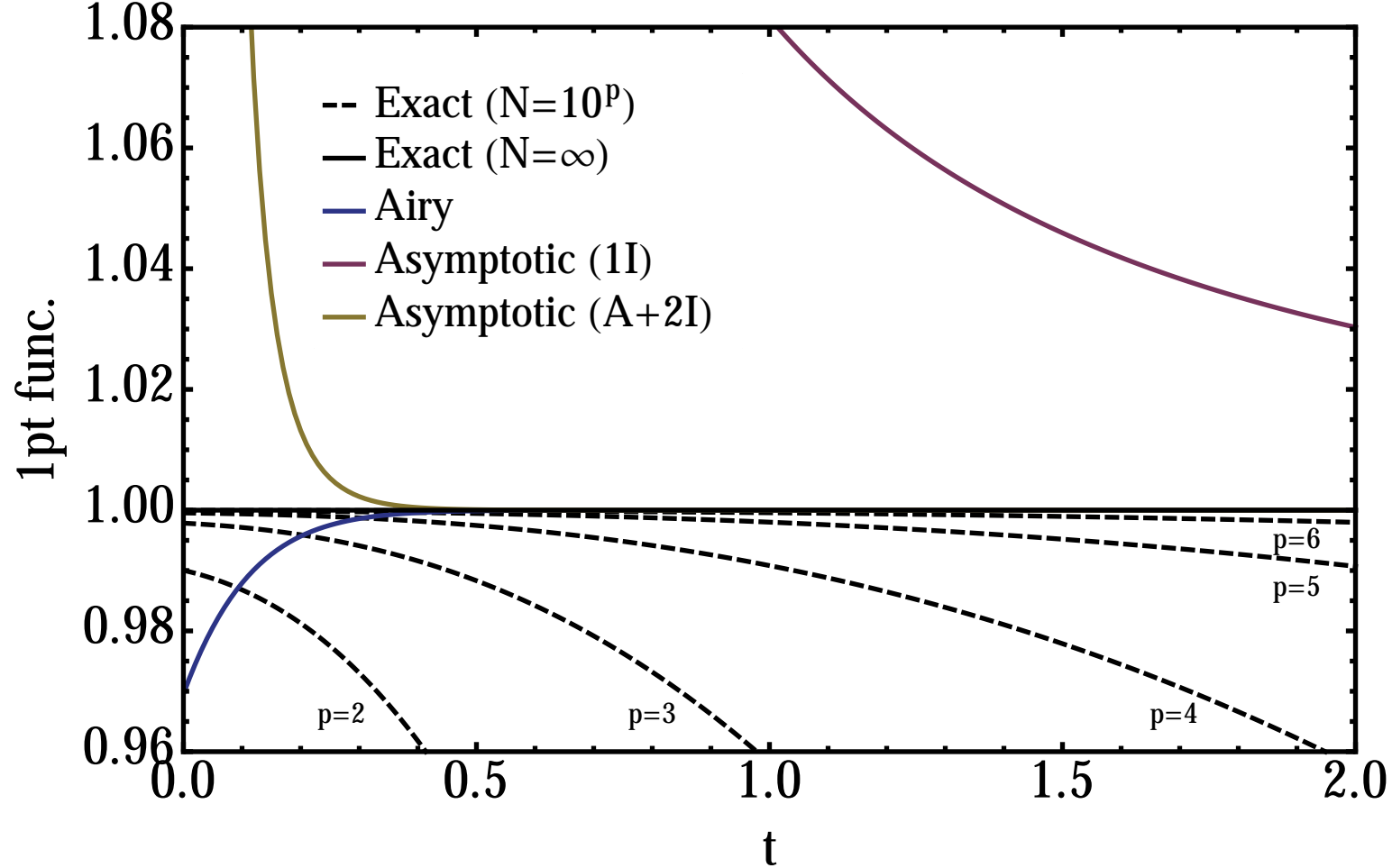


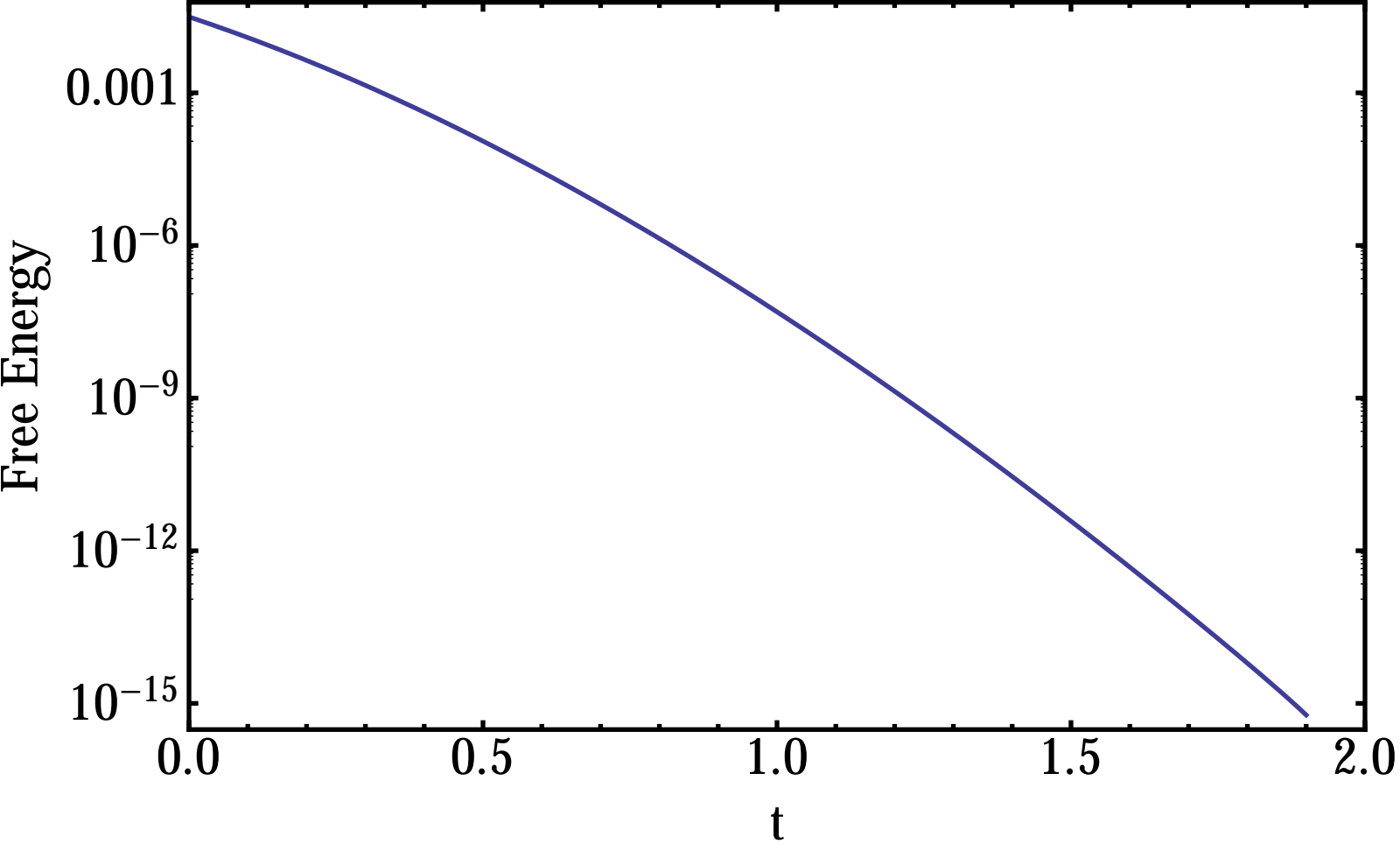
→ eigenvalue tunneling, **condensation of D-brane?** [Hanada et. al. '04]

♠ SUSY br. nonpert. effect ← boundary of Nicolai mapping  $x = -\mu^2$   
 $\iff \lambda = 0$  the instanton is located

“dramatic” story!!

finite  $N$ : SUSY (MM instanton),  $N \rightarrow \infty$ : SUSY (by  $\exp(-NC)$ ),  
 double scaling lim.: SUSY (MM instanton with finite action)







## 7 Conclusions & Discussions

- at last we would get nonperturbative formulation of **covariant superstring theory** with (perturbatively) unbroken target space SUSY! (target sp. interpretation)
  - agreement **in fundamental correlation functions** cf. Kaku-Kikkawa  
not in D-brane decay rate [Takayanagi '04]
- **But nonperturbatively, target space SUSY is broken spontaneously without introducing source for it by hand.**
  - Even quite difficult in field theory case**
- noncritical (restricted to  $R = 1$ ), nilpotent SUSY  
SUSY version of Penner model
- “ matrix reloaded ” interpretation:  
origin of MM: effective action on IIA D-particle?  
(power=winding or momentum  $\rightarrow$  large- $N$  reduced model?)
- origin of breakdown of Seiberg bound? (D-brane?)
- identification of missing states (positive winding tachyon, discrete states,  $\dots$ ), more general correlation functions,  $s = 1$  correlation functions,  $\dots$

- usefulness of **orthogonal polynomial with boundary**, or Nicolai mapping  
→ application of Yang-Mills type?  
(essentially Gaussian, but **taking account of boundaries**)
- **SUSY is not for using it, but (may be) for breaking it!**

## A Sectors for finite $N$

define the sector with  $(\nu_+, \nu_-)$  for finite  $N$ :

decomposition of integration region of eigenvalues:

divide the integration region for each  $\lambda_i$ :

$$\int_{-\infty}^{\infty} d\lambda_i = \int_{-\infty}^0 d\lambda_i + \int_0^{\infty} d\lambda_i$$

$\rightarrow (\nu_+, \nu_-)$ -sector:

$\nu_+ N$  eigenvalues integrated over  $R_{\geq 0}$ ,  $\nu_- N$  ones over  $R_{\leq 0}$

$$\begin{aligned} Z &= \sum_{\nu_+ N=0}^N {}_N C_{\nu_+ N} Z_{(\nu_+, \nu_-)} \\ Z_{(\nu_+, \nu_-)} &= \prod_{i=1}^{\nu_+ N} \int_0^{\infty} (d\lambda_i 2\lambda_i) \prod_{j=\nu_+ N+1}^N \int_{-\infty}^0 (d\lambda_j 2\lambda_j) \\ &\quad \times \prod_{i>j} (\lambda_i^2 - \lambda_j^2)^2 e^{-N \sum_i \frac{1}{2} (\lambda_i^2 - \mu^2)^2} \end{aligned}$$

flipping sign:  $\lambda_j \rightarrow -\lambda_j \quad \longrightarrow \quad \underline{Z_{(\nu_+, \nu_-)} = (-1)^{\nu_- N} Z_{(1,0)}}$

Note:

$Z = 0$ : corresponding to “Witten index” (SUSY breaking case)

this argument can be applied to correlation func. (confirmed up to 3-pt.):

$$\frac{1}{N} \text{tr} \phi^{2n} \propto (\nu_+ + \nu_-) = 1, \quad \frac{1}{N} \text{tr} \phi^{2n+1} \propto (\nu_+ - \nu_-)$$

simple  $(\nu_+, \nu_-)$ -dep.  $\longrightarrow$  **calculations can be reduced to (1, 0)-sector**

## B $\mathcal{N} = 2$ Liouville theory

$\mathcal{N} = (2, 2)$  WS SUSY, flat Euclidean:

$$S = \frac{1}{8\pi} \int d^2z d\theta^+ \theta^- d\bar{\theta}^+ d\bar{\theta}^- \Phi \bar{\Phi} \\ + \frac{g}{2\pi} \int d^2z d\theta^+ d\bar{\theta}^+ e^{-\frac{1}{Q}\Phi} + \frac{\bar{g}}{2\pi} \int d^2z d\theta^- d\bar{\theta}^- e^{-\frac{1}{Q}\bar{\Phi}}$$

$\Phi$ : chiral s.f.:

$$\left( \frac{\partial}{\partial\theta^-} - i\theta^+ \partial \right) \Phi = \left( \frac{\partial}{\partial\bar{\theta}^-} - i\bar{\theta}^+ \bar{\partial} \right) \Phi = 0, \\ \left( \frac{\partial}{\partial\theta^+} - i\theta^- \partial \right) \Phi = \left( \frac{\partial}{\partial\bar{\theta}^+} - i\bar{\theta}^- \bar{\partial} \right) \Phi = 0 \\ \rightarrow \Phi = \phi + i\sqrt{2}\theta^+ \psi_+ + i\sqrt{2}\bar{\theta}^+ \bar{\psi}_+ + 2\theta^+ \bar{\theta}^+ F + \dots, \\ \bar{\Phi} = \bar{\phi} + i\sqrt{2}\theta^- \psi_- + i\sqrt{2}\bar{\theta}^- \bar{\psi}_- + 2\theta^- \bar{\theta}^- \bar{F} + \dots \\ \rightarrow S = \frac{1}{2\pi} \int d^2z \left( \partial x \bar{\partial} x + \partial \varphi \bar{\partial} \varphi + \psi_+ \bar{\partial} \psi_- + \bar{\psi}_+ \partial \bar{\psi}_- \right) \\ + \frac{ig}{\pi Q^2} \int d^2z \psi_+ \bar{\psi}_+ e^{-\frac{1}{Q}\phi} + \frac{i\bar{g}}{\pi Q^2} \int d^2z \psi_- \bar{\psi}_- e^{-\frac{1}{Q}\bar{\phi}}$$

$$\phi = -\varphi + ix, \quad \text{rescaled } \psi_{\pm} = -\psi_l \mp i\psi_x, \quad F = \bar{F} = 0,$$

curved sp.  $\rightarrow$  linear dilation ( $\mathcal{N} = 2$  WS superconf. alg.):

$$S = \frac{1}{2\pi} \int d^2z \left( \partial x \bar{\partial} x + \partial \varphi \bar{\partial} \varphi + \frac{Q}{4} \sqrt{g} R \varphi + g_{\pm} (\psi_l \pm i\psi_x) (\bar{\psi}_l \pm i\bar{\psi}_x) e^{\frac{1}{Q}(\varphi \pm ix)} \right. \\ \left. + \text{fermion kin. terms} \right)$$

### C Instanton action in SUSY double-well matrix model

$$\begin{aligned}
Z &= \int \left( \prod_i d\lambda_i 2\lambda_i \right) \prod_{i>j} (\lambda_i^2 - \lambda_j^2)^2 e^{-\sum_i \frac{N}{2} (\lambda_i^2 - \mu^2)^2} \\
&= \int dx 2x \int \left( \prod_i d\lambda'_i 2\lambda'_i \right) \prod_{i=1}^{N-1} (x^2 - \lambda_i'^2)^2 \prod_{N-1 \geq i > j \geq 1} (\lambda_i'^2 - \lambda_j'^2)^2 \\
&\quad \times e^{-\sum_{i=1}^{N-1} \frac{N}{2} (\lambda_i'^2 - \mu^2)^2} e^{-\frac{N}{2} (x^2 - \mu^2)^2} \quad (x = \lambda_N) \\
&= \int dx 2x \langle \det(x^2 - \phi'^2)^2 \rangle'^{(N-1)} e^{-\frac{N}{2} (x^2 - \mu^2)^2} \\
&\equiv \int dx 2x e^{-NV_{\text{eff}}(x)} \\
V_{\text{eff}}(x) &= \frac{1}{2} (x^2 - \mu^2)^2 - \frac{1}{N} \log \langle \det(x^2 - \phi'^2)^2 \rangle \\
&= \frac{1}{2} (x^2 - \mu^2)^2 - \frac{1}{N} \log \left\langle e^{2\text{Re tr log}(x^2 - \phi'^2)} \right\rangle \\
&= \frac{1}{2} (x^2 - \mu^2)^2 - \frac{1}{N} \log e^{\langle 2\text{Re tr log}(x^2 - \phi'^2) \rangle + \frac{1}{2} \langle (2\text{Re tr log}(x^2 - \phi'^2))^2 \rangle_c + \dots}
\end{aligned}$$

$$\begin{aligned}
\therefore V_{\text{eff}}^{(0)}(x) &= \frac{1}{2}(x^2 - \mu^2)^2 - 2\text{Re} \left\langle \frac{1}{N} \text{tr} \log(x^2 - \phi^2) \right\rangle_0 \\
&= \frac{1}{2}(x^2 - \mu^2)^2 - 2\text{Re} \int^{x^2} dy \left\langle \frac{1}{N} \text{tr} \frac{1}{y - \phi^2} \right\rangle_0 \\
&= -\text{Re} \int^{x^2} dy \sqrt{(y - \mu^2)^2 - 4}
\end{aligned}$$

$$\begin{aligned}
V_{\text{eff}}^{(0)}(0) - V_{\text{eff}}^{(0)}(a) &= \int_0^{a^2} dy \sqrt{(y - \mu^2)^2 - 4} \\
&= \frac{1}{2} \mu^2 \sqrt{\mu^4 - 4} + 2 \log(\mu^2 - \sqrt{\mu^4 - 4}) - 2 \log 2
\end{aligned}$$

(complete agreement with OP)

$$\rightarrow \frac{32}{3} \omega^{\frac{3}{2}} \quad \left( \omega = \frac{\mu^2 - 2}{4} \right)$$

