# Hadronic Interaction Models and Ultra-High Energy Cosmic Rays

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KMI Symposium, Nagoya, Japan April the 4<sup>th</sup> 2012 **Models and EAS** 

**LHC Data** 

# Preamble



From R. Ulrich (KIT)

#### Goal of Astroparticle Physics :

- Astronomy with high energy particles
- Why hadronic interactions matter for Astrophysics ?
  - May be a little at the source to escape acceleration :

 $charged \rightarrow neutral \rightarrow charged$ 

- A bit more during propagation
  - interaction with medium on the way to Earth can change mass distribution
- A lot for detection
  - Detection using Earth's atmosphere as calorimeter :

Mass and Energy of Cosmic Ray only if EAS well described !

**Models and EAS** 

**LHC Data** 

# Preamble



Goal of Astroparticle Physics :

- Astronomy with high energy particles
- Why Astrophysics matter for hadronic interactions ?
  - If the source mechanism is well understood we could have a known beam at ultra-high energy (10<sup>10</sup> GeV and more)
    - source detection + known magnetic field = limit on CR mass
  - reasonable minimum limits from CR abundance :
    - Iow = hydrogen (proton)
    - high = iron (A=56)

EAS measurements should be between proton and iron simulated showers !

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Outline

#### Introduction

- Basic concepts
- Hadronic Models in EAS
  - Needs
  - Constraints
- LHC data
  - Comparison with minimum bias data

#### Consequences

- LHC simulations
- EAS simulations

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## **Cosmic Ray Spectrum**



- Origins of spectrum properties
  - mostly unknown
  - depend on primary CR mass

- Most of analysis based on EAS simulations
  - ➡ CORSIKA ➡ AIRES
- ➡ CONEX, ...

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# **Extensive Air Shower**



From R. Ulrich (KIT)

 $\begin{array}{l} A + air \rightarrow \text{hadrons} \\ p + air \rightarrow \text{hadrons} \\ \pi + air \rightarrow \text{hadrons} \\ \text{intial } \gamma \text{ from } \pi^0 \text{ decay} \\ e^{\pm} \rightarrow e^{\pm} + \gamma \\ \gamma \rightarrow e^+ + e^- \end{array}$ 

main source of uncertainties

well known

$$\pi^{\pm} \to \mu^{\pm} + \nu_{\mu}/\bar{\nu_{\mu}}$$

#### Cascade of particle in Earth's atmosphere

Number of particles at maximum

- ✤ 99,88% of electromagnetic (e/m) particles
- 0.1% of muons
- 0.02% hadrons

Energy

from 100% hadronic to 90% in e/m + 10% in muons at ground (vertical)

# **Extensive Air Shower Observables**





#### Lateral distribution function (LDF)

- particle density at ground vs distance to the impact point (core)
- can be muons or electrons/gammas or a mixture of all.

midrapidity

n=0

 $\theta = 90^{\circ}$ 

 $\theta = 45^{\circ}$ 

forward

# Some more definitions

#### Pseudorapidity

 emission angle of a particle from interaction point ("midrapidity" : η=0) :

$$\eta = -\ln\left[\tan\left(\frac{\theta}{2}\right)\right] \qquad \eta = \frac{1}{2}\ln\left(\frac{|\mathbf{p}| + p_{\rm L}}{|\mathbf{p}| - p_{\rm L}}\right)$$

when the mass of the particle is known the rapidity is used :



n=0.88

.θ=10°→η=2.44 −θ=0°→η=∞ fo

$$y = \frac{1}{2} \ln \left( \frac{E + p_{\rm L}}{E - p_{\rm L}} \right)$$

 for EAS development, "forward" particles (with large η) are most important

# Transverse momentum

$$p_t = \sqrt{p_x^2 + p_y^2}$$

Multiplicity

- number of particles in a given  $\eta$  and  $p_t$  range

# **Models for Air Shower Simulation**



Thickness = amount of energy

Hadronic models for simulations :

- mainly soft (low p<sub>t</sub> (< 2 GeV/c)) physics + diffraction (forward region)
- should handle p-, π-Air, K-Air and A-Air interactions
- should be able to run at 10<sup>6</sup> GeV center-ofmass (cms) energy
- Single set of parameters
- models used for EAS analysis :
  - QGSJET01/II
  - SIBYLL 2.1
  - EPOS 1.99

# **Hadronic Interaction Models**

#### Theoretical basis :

- → pQCD (large p<sub>t</sub>)
- Gribov-Regge (cross section with multiple scattering)
- energy conservation
- Phenomenology (models) :
  - string fragmentation
  - 🔶 beam remnants
  - diffraction (Good-Walker, ...)
  - higher order effects
- Comparison with data to fix parameters :
  - minimum theory requirement with few parameters and limited data set (QGSJET approach) : better predictive power

#### ... or ...

more detailed data with more parameters (EPOS approach) : nothing neglected

#### What is the minimum to describe EAS correctly ?

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# Pb : CR physic dominated by soft interactions

Pb : Gribov-Regge do not take into account energy conservation ...

Need Parameters !

#### Introduction

Models and EAS



#### Using generalized Heitler model and superposition model :



J. Matthews, Astropart.Phys. 22 (2005) 387-397

$$X_{max} \sim \lambda_e \ln \left( (1-k) \cdot E_0 / (2 \cdot N_{tot} \cdot A) \right) + \lambda_{ine}$$

- Model independent parameters :
  - $\bullet$  E<sub>0</sub> = primary energy
  - A = primary mass
  - $\lambda_{a}$  = electromagnetic mean free path
- Model dependent parameters :
  - k = elasticity
  - N<sub>tot</sub> = total multiplicity
  - λ<sub>ine</sub> = hadronic mean free path (cross section)

# **Cross Section and Multiplicity in Models**



# Gribov-Regge and optical theorem

- Basis of all models (multiple scattering) but
  - Classical approach for QGSJET and SIBYLL (no energy conservation for cross section calculation)
  - Parton based Gribov-Regge theory for EPOS (energy conservation at amplitude level)

#### **pQCD**



QGSJET II

>=1

- Minijets with cutoff in SIBYLL
- Same hard Pomeron (DGLAP convoluted with soft part : not cutoff) in QGS and EPOS but
  - No enhanced diagram in Q01
  - Generalized enhanced diagram in QII
  - Simplified non linear effect in EPOS
    - Phenomenological approach

partons

### **Cross Section**

- Same cross section at pp level and low energy (data)
- extrapolation to pA or to high energy
  - different amplitude and scheme : different extrapolations
- multiple scattering + screening needed to use pQCD hard amplitude in inelastic cross section calculation (σ<sub>hard</sub>>σ<sub>ine</sub>)



# **Pseudorapidity and p<sub>T</sub>**



Models and EAS

LHC Data

# **Multiplicity**



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## **Beam Remnants**

#### Forward particle production dominated by beam remnants



- Each model has its own approach
- Can be tested at low energy



Rapidity y



# **Forward Spectra**

#### Forward particles mainly from projectile remnant



### SPS high ~17 GeV



# dn/dy remnant

# The (in)elasticity is closely related to diffraction and forward spectra

- At very low energy only particles from remnants
- At low energy (fixed target experiments) (SPS) strong mixing
- At intermediate energy (RHIC) mainly string contribution at mid-rapidity with tail of remnants.
- At high energy (LHC) only strings at mid-rapidity (baryon free)

Different contributions of particle production at different energies or rapidities

# **Diffraction and x<sub>F</sub> Distributions**



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# **Ultra-High Energy Hadronic Model Predictions**



<Xmax>



#### Large spread of model predictions = large uncertainties on CR mass But no contradiction with data ...

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#### Introduction

Models and EAS





#### EPOS and SIBYLL

(almost) consistent with light mix to heavy mix <X<sub>max</sub>> and RMS

#### QGSJETII

<X<sub>max</sub>> and RMS not really consistent at high E (because of <X<sub>max</sub>> only)

#### QGSJET01

 $\rightarrow$  inconsistent description of  $\langle X_{max} \rangle$  and RMS (because of  $\langle X_{max} \rangle$  and RMS)

# **Hybrid Measurements**



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# **Muon Deficit in EAS Simulations**

#### No hadronic model predicts as many muons as observed in EAS

- up to a factor of 2 at large angle
- no clear solution
  - more baryons
  - flatter LDF (muons@1000m in Auger) : larger p<sub>t</sub> for forward pions



# **More Tests with EAS**

#### EPOS 1.6 (2006) and KASCADE data

- ➡ Large muon number :
  - proton flux to high: not enough electron at ground
- not enough energy per hadron

#### Showers develop to fast using EPOS 1.6 more screening in nuclear cross-section



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# **KASCADE Hadron Correlation**

Jörg R. Hörandel, RU Nijmegen Jens Milke, IWR, FZK

•EPOS 1.6 is not compatible with KASCADE measurements → can not be recommended for air shower simulations

•QGSJET-II has some deficiencies
→ should be used for simulations with care

•QGSJET 01 and SIBYLL 2.1 still most compatible models

#### EPOS 1.99

- these data used to understand problem with cross section and inelasticity
- extrapolation constrained by EAS data



# **Uncertainties in Model Extrapolation**



- Hadronic models used for EAS simulations :
  - good agreement with pre-LHC data
  - large discrepancies were model are extrapolated (kinematic range and/or energy and mass)
  - compatible with most of CR data (within proton/iron limit) but no consistent description
  - muons not reproduced at high energy

# Can the large uncertainties be reduced by the LHC ?

Plots by R. Ulrich (KIT)

# **LHC Detectors**



### **Pseudorapidity Distributions**

#### No model with perfect prediction : but data well bracketed



Predictions ! ... newest model released in march 2009

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## **Multiplicity Distributions**



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### **Pseudorapidity Distributions**

#### No model with perfect prediction : but better than HEP MC





# Pt @ LHC



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# **Identified Particles @ LHC**





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# **Identified Particles @ LHC**

#### New results from CMS (last week) :



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# **CMS Forward Spectra**



#### **Forward Spectra**



Fitting of LHCf data  $\rightarrow$  effect on air shower development under investigation

# **Rapidity Gap**



**ATLAS Collaboration** 

#### Rapidity gap closely related to diffraction

- diffractive cross-section
- AND diffractive mass distribution
- Hard constraint for CR
  - change elasticity


## **Comparison to LHC data**

#### Globaly hadronic models for CR reproduce LHC data

- Data bracketed by models : even imprecise the extrapolations are not wrong
  - exclude explanations of the knee in the CR spectrum due to a change in hadronic physics : change at the CR source
- room for improvement with really nice and new data
  - cross section well measured at LHC
  - multiplicity distributions for various kinematic ranges
  - access to forward spectra and diffraction and energy 2 orders of magnitude higher than before

#### Can LHC data be fully described by CR models after retuning ?

Try with latest model QGSJETII and EPOS





**Consequences on Astro and Particles** 

## **QGSJETII-04**





from S. Ostapchenko



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**Consequences on Astro and Particles** 

## **QGSJETII-04**

After retuning some parameters and with loop diagrams, very good description of main LHC data for CR



from S. Ostapchenko



**Models and EAS** 

**LHC** Data

**Consequences on Astro and Particles** 

## **QGSJETII-04**

#### **Consequences on EAS** $X_{max} (g/cm^2)$ p-induced EAS development 850 deeper showers 800 slightly more muons (less) than 10% increase) 750 SIBYLL-2.1 **QGSJET-II-03 Consequences for LHC** 700 QGSJET-II-04 difficult to use because of the limited type of particles 18 19 10 10 correlation p<sub>t</sub> vs N<sub>ch</sub> ? $E_0 (eV)$

from S. Ostapchenko

## **EPOS LHC**

#### Small change needed

- tune cross section to TOTEM value
- change old flow calculation to a more realistic one



keep compatibility with lower energies

## **EPOS LHC**

- Detailed description can be achieved
  - multiplicities (ATLAS and ALICE)
  - → p<sub>t</sub> distributions



## **EPOS LHC**

- Detailed description can be achieved
  - better than HEP MC used by LHC collaborations
  - can be used as min bias generator at LHC

not suitable for rare events (high pt jets or electroweak)



**LHC** Data

## **EAS with Re-tuned CR Models**

- Cross section and multiplicity fixed at 7 TeV
  - smaller <X<sub>max</sub>> for EPOS and larger for QGSJETII
  - re-tuned model converge to old Sibyll 2.1 predictions
    - reduced uncertainty from ~50 g/cm<sup>2</sup> to ~20 g/cm<sup>2</sup>
      (difference proton/iron is about 100 g/cm<sup>2</sup>)



## **Application to Astrophysics**

#### Reduced uncertainty allows a better mass measurement

- 🔶 global fit
- constraint on source mass distribution and spectrum



Allard et al. [arXiv:1111.3290] with EPOS 1.99

## Mass Measurement : Muon Number

From Heitler

$$N_{\mu} = \left| \frac{E_0}{E_{dec}} \right|^{\alpha}, \quad \alpha = \frac{\ln N_{\pi^{ch}}}{\ln \left( N_{\pi^{ch}} + N_{\pi^0} \right)}$$

In real shower, not only pions : Kaons and (anti)Baryons (but 10 times less ...)

- $\rightarrow$  Baryons do not produce leading  $\pi^0$
- With leading baryon, energy kept in hadronic channel = muon production
- Cumulative effect for low energy muons
- High energy muons
  - important effect of first interactions
    and baryon spectrum (LHC energy range)



Muon number depends on the number of (anti)B in p- or  $\pi$ -Air interactions at all energies

More fast (anti)baryons = more muons

T. Pierog et al., Phys. Rev. Lett. 101 (2008) 171101

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## **EPOS LHC**

- Detailed description can be achieved
  - identified spectra
  - p<sub>t</sub> behavior driven by collective effects (statistical hadronization + flow)



**LHC Data** 

**Consequences on Astro and Particles** 

## Number of Muons and LHC

**Discrepancy** (baryon and pion spectra) between models

#### Large differences in the number of muons Reduced a lot by LHC data !



Models and EAS

**LHC Data** 

**Consequences on Astro and Particles** 

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Models and EAS

**LHC Data** 

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## LHCf for neutrons

- Very forward measurement at LHCf
  - very different predictions from models
  - important for inelasticity and shower development
  - one possible origin of the muon puzzle



## Summary

- Hadronic interaction models for CR reproduce LHC data in a reasonable way
  - ➡ No change of hadronic physics around the knee (10<sup>15</sup> eV)
  - Large uncertainties in <X<sub>max</sub>> simulations due to hadronic models reduced by precise fit of LHC data to the value of the exp. resolution
  - Muon puzzle needs forward baryon and p<sub>t</sub> measurements :
    - NA61 will help here.
    - LHC energies important for high energy muons :

need more baryon measurements (forward)

Depending on the result, other mechanism may be needed ... or not !

Hadronic interaction models for CR <u>can</u> be re-tuned to LHC data without too many changes

- Better predictive power than HEP MC models
- EPOS LHC precise enough to be used for min. bias analysis
- All CR models available with hepMC interface !
  - CRMC interface already in GENSER

**Models and EAS** 

**LHC Data** 

**Consequences on Astro and Particles** 

## **History of Models**



## LHC data : ALICE



#### • Published data (0.9, 2.36 and 7 TeV) :

- Charged particles = charged hadrons and charged leptons (~1.5%)
- Various triggers (Inelastic, NSD@900GeV, NSD@2.36TeV, Inel>0)
- Particle density of charged particles at  $\eta$ =0 vs energy
- Pseudorapidity ( $\eta$ ) distributions of charged particles
- Multiplicity distributions of identified charged particles

NSD = Non Single Diffractive = proj & targ destroyed

<sup>-</sup>. Pierog, KIT - 54/52

inner

tracker

solenoid

muon detectors magnet voke

HF

EM and HAD calorimeters

#### LHC data : CMS



#### Published data (7 TeV 2011) :

- Pseudorapidity ( $\eta$ ) distributions of charged particles
- Transverse momentum distributions of charged particles
- Forward calorimetric measurements
- Inelastic cross section

#### LHC data : ATLAS





#### Published data (7 TeV 2011) :

- Pseudorapidity ( $\eta$ ) distributions of charged particles
- Multiplicity distributions of charged particles
- Transverse momentum distributions of charged particles
- inelastic cross section

## **FD and SD mismatch**



#### **AUGER**

- Comparison event-by-event
  - Fix simulated FD profile with data
  - Compare measured SD signal with simulated one

SD systematically lower in simulation : ~25 % shift in energy scale + ~50 % deficit in muon number (for QGSJETII-03)

#### TA C

- Spectrum reconstruction
  - Spectrum using QGSJETII-03 for energy reconstruction
  - Renormalize energy using event seen by FD and SD using FD energy as reference

27 % shift in energy scale needed

## **FD and SD mismatch**



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27 % shift in energy scale needed

## **Xmax Fluctuations**



### **Forward Neutron Distributions**



Analysis by A. Bunyatian

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#### Average value used

- Small error due to models (~1-2%)
- Main uncertainty from unknown mass (~5-2%)

From Heitler model

$$E_{em} = \left[1 - \left(\frac{N_{em}}{N_{tot}}\right)^{n(A)}\right] E_0$$

- Energy deposit depends on muon number
  - Primary mass dependent







**Consequences on Astro and Particles** 

#### Models and EAS **Toy Model for Electromagnetic Cascade**(skip)



n=3

**Primary particle :** photon/electron

#### Heitler toy model :

➡ 2 particles produced with equal energy

2<sup>n</sup> particles after  $n = X/\lambda_{e}$ *n* interactions  $N(X) = 2^n = 2^{X/\lambda_e}$  $E(X) = E_o/2^{X/\lambda_e}$ 

sumption: shower maximum reached if 
$$E(X) = E_c$$
 (critical energy)



 $\lambda_e$  ,

$$N_{max} = E_0 / E_c \qquad X_{max} \sim \lambda_e \ln(E_0 / E_c)$$

## **Toy Model for Hadronic Cascade**



#### Primary particle : hadron

## Using a simple generalized Heitler model to understand EAS characteristics :

- fixed interaction length
- equally shared energy
- 2 types of particles :
  - N<sub>had</sub> continuing hadronic cascade until decay at E<sub>dec</sub> producing muons (charged pions).
  - N<sub>em</sub> transferring their energy to electromagnetic shower (neutral pions).

Models and EAS

**LHC Data** 

## **Energy Transfer : Energy Deposit**



## **Cross Section Calculation : SIBYLL / QGSJET**

Interaction amplitude given by parameterization (soft) or pQCD (hard) and Gribov-Regge for multiple scattering :



- $\rightarrow \chi(s,b)$  parameters for a given model fixed by pp cross-section
- pp to pA or AA cross section from Glauber
- energy conservation not taken into account at this level

## **Cross Section Calculation : EPOS**



#### **Different approach in EPOS :**

- Gribov-Regge but with energy sharing at parton level : MPI with energy conservation !
- amplitude parameters fixed from QCD and pp cross section
- cross section calculation take into account interference term

$$\Phi_{\rm pp}\left(x^+, x^-, s, b\right) = \sum_{l=0}^{\infty} \int dx_1^+ dx_1^- \dots dx_l^+ dx_l^- \left\{ \frac{1}{l!} \prod_{\lambda=1}^l -G(x_\lambda^+, x_\lambda^-, s, b) \right\}$$
  
 
$$\times F_{\rm proj}\left(x^+ - \sum x_\lambda^+\right) F_{\rm targ}\left(x^- - \sum x_\lambda^-\right).$$

## $\sigma_{\rm ine}(s) = \int d^2b \left(1 - \Phi_{\rm pp}(1, 1, s, b)\right) \rightarrow {\rm can not use complex diagram like QII}$ with energy sharing

non linear effects taken into account as correction of single amplitude G

## Particle Production in SIBYLL and QGSJET

# Number n of exchanged elementary interaction per event fixed from elastic amplitude (cross section) :

→ n from :

$$P(n) = \frac{(2\chi)^n}{n!} \cdot \exp(-2\chi)$$

- no energy sharing accounted for (interference term)
- → 2n strings formed from the n elementary interactions
  - in QGSJET II, n is increased by the sub-diagrams
  - energy conservation : energy shared between the 2n strings
  - particles from string fragmentation
- inconsistency : energy sharing should be taken into account when fixing n
  - EPOS approach

## **Particle Production in EPOS**

m number of exchanged elementary interaction per event fixed from elastic amplitude taking into account energy sharing :

 $\rightarrow$  m from :

$$\Omega_{AB}^{(s,b)}(m,X^+,X^-) = \prod_{k=1}^{AB} \left\{ \frac{1}{m_k!} \prod_{\mu=1}^{m_k} G(x_{k,\mu}^+,x_{k,\mu}^-,s,b_k) \right\} \Phi_{AB} \left( x^{\text{proj}},x^{\text{targ}},s,b \right)$$

m and X fixed together by a complex Metropolis (Markov Chain)

→ 2m strings formed from the m elementary interactions

- energy conservation : energy fraction of the 2m strings given by X
- consistent scheme : energy sharing reduce the probability to have large m
- modified hadronization due to high density effect
  - statistical hadronization instead of string fragmentation

Iarger Pt (flow)

## **Remnants in SIBYLL**

#### In SIBYLL : valence quarks attached to main string

- Iimited quark exchange
- very hard baryon and meson spectra
- string fragmentation
  - forward particle can be anything





Π

## **Remnants in QGSJET**

#### In QGSJET : One quark exchange and leading remnant



#### **Remnants in EPOS**

#### In EPOS : any possible quark/diquark transfer

- Diquark transfer between string ends and remnants
- Baryon number can be removed from nucleon remnant :
  - Baryon stopping
- Baryon number can be added to pion/kaon remnant :
  - Baryon acceleration



## **Baryons and Remnants**

#### Parton ladder string ends :

Problem of multi-strange baryons at low energy (Bleicher et al., Phys.Rev.Lett.88:202501,2002)

- 2 strings approach :
- $\Rightarrow \Omega / \Omega$  always > 1
- But data < 1 (Na49)</p>
- EPOS



- No "first string" with valence quarks :  $\overline{a}$  II strings equivalent
- Wide range of excited remnants (from light resonances to heavy quark-bag)
- $\Rightarrow \Omega / \Omega$  always < 1 \_


Models and EAS

LHC Data

## **Muon Number**

From Heitler

$$N_{\mu} = \left(\frac{E_0}{E_{dec}}\right)^{\alpha}, \quad \alpha = \frac{\ln N_{\pi^{ch}}}{\ln \left(N_{\pi^{ch}} + N_{\pi^0}\right)}$$

➡ In real shower, not only pions : Kaons and (anti)Baryons (but 10 times less ...)



$$\alpha = \frac{\ln(N_{had})}{\ln(N_{tot})} = 1 + \frac{\ln(R)}{\ln(N_{tot})}$$
$$R = \frac{N_{had}}{N_{tot}} \approx \frac{N_{\pi^{ch}} + N_B}{N_{\pi^{ch}} + N_B + N_{\pi^0}}$$
$$\frac{\text{Very important}}{\log N_{\pi^{ch}} + N_B + N_{\pi^0}}$$

in (a)Baryon-Air interactions, no leading neutral pion ! R~1

R depends on the number of (anti)B in p- or  $\pi$ -Air interactions

More fast (anti)baryons =  $\alpha \rightarrow 1$  = more muons

T. Pierog et al., Phys. Rev. Lett. 101 (2008) 171101

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**LHC Data** 

## **Baryon Forward Spectra**



- Large differences between models
- Need a new remnant approach for a complete description (EPOS)
- Problems even at low energy
- No measurement at high energy !



**LHC Data** 

# **Total Number of Muons**

# Discrepancy (baryon and pion spectra) between models

#### Large differences in the number of muons

